

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

JAPAN SMALLER CAPITALIZATION FUND INC

Form NSAR-A

October 28, 2013

PAGE 1
000 A000000 08/31/2013
000 C000000 0000859796
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 JAPAN SMALLER CAPITALIZATION FUND, INC.
001 B000000 811-05992
001 C000000 2126671290
002 A000000 WORLDWIDE PLAZA, 309 W. 49TH STREET
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10019
002 D020000 7316
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
007 C011100 11
007 C011200 12
007 C011300 13
007 C011400 14
007 C011500 15
007 C011600 16
007 C011700 17
007 C011800 18
007 C011900 19
007 C012000 20
007 C012100 21
007 C012200 22
007 C012300 23
007 C012400 24
007 C012500 25
007 C012600 26
007 C012700 27
007 C012800 28
PAGE 2
007 C012900 29
007 C013000 30
008 A000001 NOMURA ASSET MANAGEMENT USA INC.
008 B000001 A
008 C000001 801-12129
008 D010001 NEW YORK

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

008 D020001 NY
008 D030001 10019
008 D040001 7316
008 A000002 NOMURA ASSET MANAGEMENT CO., LTD.
008 B000002 S
008 C000002 801-24357
008 D010002 TOKYO
008 D050002 JAPAN
008 D060002 103-8260
008 A000003 DELETE
008 A000004 DELETE
010 A000001 NOMURA ASSET MANAGEMENT USA INC
010 B000001 801-12129
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10019
010 C040001 7316
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A
012 B000001 85-11340
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3078
013 A000001 ERNST AND YOUNG, LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
014 A000001 NOMURA SECURITIES INTERNATIONAL, INC.
014 B000001 8-15255
014 A000002 NOMURA SECURITIES CO., LTD.
014 B000002 8-00000
015 A000001 BROWN BROTHERS HARRIMAN AND CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02110
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 10
019 C000000 NOMURAASSE
020 A000001 MIZUHO SEC
020 C000001 119
020 A000002 MITSUBISHI UFJ SEC
020 C000002 50
PAGE 3
020 A000003 MORGAN STANLEY SEC
020 C000003 33
020 A000004 UBS SECURITIES
020 C000004 16
020 A000005 CITIGROUP GLOBAL MARKETS INC
020 C000005 10
020 A000006 JP MORGAN SEC
020 C000006 9
020 A000007 CREDIT SUISSE SECURITIES
020 C000007 7
020 A000008 SMBC NIKKO SECURITIES INC
020 C000008 4
020 A000009 DAIWA SECURITIES CO. LTD
020 C000009 4
020 A000010 BARCLAYS SECURITIES JAPAN LTD
020 C000010 3

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

021	000000	259
022	A000001	BROWN BROTHERS HARRIMAN
022	B000001	13-4973745
022	C000001	213143
022	D000001	191950
022	C000002	0
022	D000002	0
022	C000003	0
022	D000003	0
022	C000004	0
022	D000004	0
022	C000005	0
022	D000005	0
022	C000006	0
022	D000006	0
022	C000007	0
022	D000007	0
022	C000008	0
022	D000008	0
022	C000009	0
022	D000009	0
022	C000010	0
022	D000010	0
023	C000000	213143
023	D000000	191950
024	000000	N
025	D000001	0
025	D000002	0
025	D000003	0
025	D000004	0
025	D000005	0
025	D000006	0
025	D000007	0
025	D000008	0
026	A000000	N
	PAGE	4
026	B000000	Y
026	C000000	Y
026	D000000	Y
026	E000000	Y
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
	PAGE	5
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	50
048	A020000	1.100
048	B010000	50
048	B020000	1.000
048	C010000	75
048	C020000	0.900
048	D010000	75
048	D020000	0.800
048	E010000	75
048	E020000	0.700
048	F010000	100
048	F020000	0.600
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	425
048	K020000	0.500
049	000000	N
050	000000	N
051	000000	N

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 Y
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
PAGE 6
054 N000000 N
054 O000000 N
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 N
060 B000000 N
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 Y
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 Y
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 N
070 B020000 N

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

070	C010000	N	
070	C020000	N	
070	D010000	N	
070	D020000	N	
070	E010000	N	
	PAGE	7	
070	E020000	N	
070	F010000	N	
070	F020000	N	
070	G010000	Y	
070	G020000	N	
070	H010000	N	
070	H020000	N	
070	I010000	Y	
070	I020000	N	
070	J010000	Y	
070	J020000	N	
070	K010000	Y	
070	K020000	N	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	N	
070	R020000	N	
071	A000000		213143
071	B000000		214322
071	C000000		262310
071	D000000	81	
072	A000000	6	
072	B000000		0
072	C000000		2365
072	D000000		0
072	E000000		0
072	F000000		1237
072	G000000		0
072	H000000		0
072	I000000		6
072	J000000		188
072	K000000		0
072	L000000		21
072	M000000		41
072	N000000		13
072	O000000		0
072	P000000		0
072	Q000000		0
072	R000000		34
072	S000000		103
072	T000000		0
	PAGE	8	
072	U000000		0
072	V000000		14
072	W000000		3
072	X000000		1660

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

072 Y000000	0
072 Z000000	705
072AA000000	22143
072BB000000	0
072CC010000	0
072CC020000	6789
072DD010000	0
072DD020000	0
072EE000000	0
073 A010000	0.0000
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	60
074 B000000	0
074 C000000	0
074 D000000	0
074 E000000	0
074 F000000	263116
074 G000000	0
074 H000000	0
074 I000000	5067
074 J000000	2192
074 K000000	0
074 L000000	271
074 M000000	30
074 N000000	270735
074 O000000	3989
074 P000000	208
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	206
074 S000000	0
074 T000000	266332
074 U010000	28334
074 U020000	0
074 V010000	9.40
074 V020000	0.00
074 W000000	0.0000
074 X000000	4640
074 Y000000	0
075 A000000	0
075 B000000	266285
076 000000	8.31
077 A000000	N
	PAGE 9
078 000000	N
080 C000000	0
081 B000000	0
082 B000000	0
083 B000000	0
084 B000000	0
086 A010000	0
086 A020000	0
086 B010000	0
086 B020000	0
086 C010000	0
086 C020000	0
086 D010000	0
086 D020000	0

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 JAPAN SMALLER CAPITALIZATION FUND, INC.
087 A020000 47109U104
087 A030000 JOF
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE AMY J. MAROSE
TITLE TREASURER