

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-A

FLAHERTY & CRUMRINE TOTAL RETURN FUND INC

Form NSAR-A

July 26, 2013

PAGE 1  
000 A000000 05/31/2013  
000 C000000 1245648  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FLAHERTY&CRUMRINE TOTAL RETURN FUND  
001 B000000 811-21380  
001 C000000 6267957300  
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720  
002 B000000 PASADENA  
002 C000000 CA  
002 D010000 91101  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FLAHERTY & CRUMRINE INCORPORATED  
008 B000001 A  
008 C000001 801-19384  
008 D010001 PASADENA  
008 D020001 CA  
008 D030001 91101  
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000001 84-1761  
010 C010001 WESTBOROUGH  
010 C020001 MA  
010 C030001 01581  
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
012 B000001 84-1761  
012 C010001 WESTBOROUGH  
012 C020001 MA  
012 C030001 01581  
012 A000002 Destra Capital Investment LLC  
012 B000002 84-68006  
012 C010002 Lisle  
012 C020002 IL  
012 C030002 60532  
013 A000001 KPMG LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C  
015 C010001 NEW YORK  
PAGE 2  
015 C020001 NY  
015 C030001 10286  
018 000000 Y  
019 A000000 N  
019 B000000 0  
021 000000 0

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022 A000001 WELLS FARGO SECURITIES, LLC.  
 022 B000001 56-2326000  
 022 C000001 15111  
 022 D000001 14520  
 022 A000002 BANK OF AMERICA SECURITIES, LLC  
 022 B000002 13-4078651  
 022 C000002 5614  
 022 D000002 1123  
 022 A000003 JPMORGAN CHASE & CO  
 022 B000003 13-3224016  
 022 C000003 3818  
 022 D000003 838  
 022 A000004 MORGAN STANLEY  
 022 B000004 13-2655998  
 022 C000004 568  
 022 D000004 3819  
 022 A000005 CANTOR FINITZGERALD  
 022 B000005 13-2698893  
 022 C000005 4276  
 022 D000005 0  
 022 A000006 GOLDMAN SACHS & CO.  
 022 B000006 13-5108880  
 022 C000006 3434  
 022 D000006 0  
 022 A000007 SumRidge Partners Holdings LLC  
 022 B000007 00-0000000  
 022 C000007 1441  
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 022 A000008 STORMHARBOUR SECURITIES LP  
 022 B000008 26-4606771  
 022 C000008 0  
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 022 A000009 CASTLEOAK SECURITIES, LP  
 022 B000009 45-0496456  
 022 C000009 0  
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 022 A000010 GLEACHER & COMPANY SECURITIES  
 022 B000010 14-1391446  
 022 C000010 0  
 022 D000010 1874  
 023 C000000 40492  
 023 D000000 31052  
 024 000000 Y  
 025 A000001 GOLDMAN SACHS & CO.  
 025 B000001 13-5108880  
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 025 C000001 E  
 025 D000001 4124  
 025 A000002 WELLS FARGO SECURITIES  
 025 B000002 56-2326000  
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 025 A000003 GOLDMAN SACHS & CO.  
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 025 C000004 E  
 025 D000004 634  
 025 A000005 MORGAN STANLEY  
 025 B000005 13-2655998

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|     |         |                       |      |
|-----|---------|-----------------------|------|
| 025 | C000005 | E                     |      |
| 025 | D000005 |                       | 285  |
| 025 | A000006 | MORGAN STANLEY        |      |
| 025 | B000006 | 13-2655998            |      |
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| 025 | D000006 |                       | 383  |
| 025 | A000007 | JP MORGAN CHASE & CO. |      |
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042 C000000 0
042 D000000 0
042 E000000 0
042 F000000 0
042 G000000 0
042 H000000 0
043 000000 0
044 000000 0
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046 000000 N
047 000000 Y
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048 A010000 200000
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048 B010000 300000
048 B020000 0.500
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048 D010000 0
048 D020000 0.000
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048 F010000 0
048 F020000 0.000
048 G010000 0
    PAGE 5
048 G020000 0.000
048 H010000 0
048 H020000 0.000
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048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 500000
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052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 Y
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y

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    PAGE 6
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066 B000000 N
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066 D000000 N
066 E000000 N
066 F000000 Y
066 G000000 N
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068 B000000 N
069 000000 N
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070 K020000 Y
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070 L020000 Y
070 M010000 Y
070 M020000 N
070 N010000 Y
070 N020000 N

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| 070   | O020000 | Y |        |
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| 070   | P020000 | N |        |
| 070   | Q010000 | N |        |
|       | PAGE    | 7 |        |
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| 070   | R020000 | N |        |
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| 074   | K000000 |   | 0      |

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|     |         |              |
|-----|---------|--------------|
| 074 | L000000 | 4212         |
| 074 | M000000 | 109          |
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| 074 | Q000000 | 101300       |
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| 075 | B000000 | 204257       |
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| 077 | C000000 | Y            |
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| 077 | F000000 | N            |
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| 077 | Q020000 | N            |
| 077 | Q030000 | N            |
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| 086 | F010000 | 0            |
| 086 | F020000 | 0            |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 338479108    |

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087 A030000 FLC

088 A000000 N

088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE R. ERIC CHADWICK

TITLE CHIEF FINANCIAL