

Edgar Filing: StoneCastle Financial Corp. - Form NSAR-A

StoneCastle Financial Corp.
Form NSAR-A
August 25, 2015

PAGE 1
000 A000000 06/30/2015
000 C000000 0001578987
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 StoneCastle Financial Corp.
001 B000000 811-22853
001 C000000 2123546500
002 A000000 152 West 57th Street, 35th Floor
002 B000000 New York
002 C000000 NY
002 D010000 10019
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 StoneCastle Asset Management LLC
008 B000001 A
008 C000001 801-77433
008 D010001 New York
008 D020001 NY
008 D030001 10019
010 A000001 BNY Mellon
010 B000001 84-01761
010 C010001 Boston
010 C020001 MA
010 C030001 02108
011 A000001 Keefe, Bruyette & Woods, Inc.
011 B000001 8-10888
011 C010001 New York
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011 C030001 10019
012 A000001 Computershare Trust Company, N.A.
012 B000001 84-05925
012 C010001 Canton
012 C020001 MA
012 C030001 02021
013 A000001 KPMG LLP
013 B010001 Roseland
013 B020001 NJ
013 B030001 07068
014 A000001 StoneCastle Securities, LLC
014 B000001 8-66434
015 A000001 BNY Mellon
PAGE 2
015 B000001 C
015 C010001 Boston
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018 000000 Y

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019 A000000 N
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 020 A000001 VINING-SPARKS IBG
 020 C000001 40
 020 A000002 FIDELITY CAPITAL MARKETS
 020 B000002 04-3523439
 020 C000002 21
 020 A000003 FIG PARTNERS
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 020 C000003 4
 021 000000 65
 022 A000001 STIFEL, NICOLAUS & CO., INC.
 022 B000001 43-0538770
 022 C000001 16200
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 022 B000002 04-3523439
 022 C000002 23000
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 022 A000006 CORNERSTONE BANK
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 022 B000008 13-3906061
 022 C000008 4500
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 022 A000009 WILLIAMS BANK
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 023 C000000 79112
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 026 F000000 N
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048 I010000 0
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PAGE 5
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054 K000000 N
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054 N000000 N
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055 B000000 Y
056 000000 N
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 PAGE 6
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 072 F000000 1646
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 072 I000000 18

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| 072 L000000 | 25 |
| 072 M000000 | 90 |
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| PAGE 7 | |
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| 072 X000000 | 3319 |
| 072 Y000000 | 345 |
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| 074 R040000 | 352 |
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| 074 V010000 | 22.05 |
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| 074 W000000 | 0.0000 |
| PAGE 8 | |
| 074 X000000 | 4 |
| 074 Y000000 | 3500 |
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| 075 B000000 | 142713 |

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| | | | |
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| 077 | B000000 | N | |
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| 077 | D000000 | N | |
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| 077 | G000000 | N | |
| 077 | H000000 | N | |
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| 077 | K000000 | N | |
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| 077 | P000000 | N | |
| 077 | Q010000 | Y | |
| 077 | Q020000 | N | |
| 077 | Q030000 | N | |
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| 086 | B010000 | | 0 |
| 086 | B020000 | | 0 |
| 086 | C010000 | | 0 |
| 086 | C020000 | | 0 |
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| 086 | F020000 | | 0 |
| 087 | A010000 | Common Stock | |
| 087 | A020000 | 861780104 | |
| 087 | A030000 | BANX | |
| SIGNATURE | PATRICK J. FARRELL | | |
| TITLE | CFO | | |