

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-A

ELLSWORTH GROWTH & INCOME FUND LTD

Form NSAR-A

May 24, 2016

PAGE 1
000 A000000 03/31/2016
000 C000000 0000793040
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 Ellsworth Growth and Income Fund Ltd.
001 B000000 811-04656
001 C000000 9149215100
002 A000000 One Corporate Center
002 B000000 Rye
002 C000000 NY
002 D010000 10580
002 D020000 1422
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 GABELLI FUNDS, LLC
008 B000001 A
008 C000001 801-37706
008 D010001 RYE
008 D020001 NY
008 D030001 10580
008 D040001 1422
010 A000001 GABELLI FUNDS, LLC
010 B000001 801-37706
010 C010001 RYE
010 C020001 NY
010 C030001 10580
010 C040001 1422
010 A000002 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000002 84-01761
010 C010002 WILMINGTON
010 C020002 DE
010 C030002 19809
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER, LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
PAGE 2
013 B040001 3659
014 A000001 G.research, LLC
014 B000001 8-21373
014 A000002 G.distributors, LLC
014 B000002 8-68697
015 A000001 STATE STREET BANK AND TRUST COMPANY

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-A

015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02110
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 36
019 C000000 GABELLIGRP
020 A000001 GMP SECURITIES LLC
020 B000001 13-4036836
020 C000001 2
020 A000002 G.RESEARCH, LLC
020 B000002 13-2885006
020 C000002 1
021 000000 3
022 A000001 GOLDMAN, SACHS & CO.
022 B000001 13-5108880
022 C000001 3345
022 D000001 457
022 A000002 MILLER TABAK + CO. LLC
022 B000002 13-3086847
022 C000002 0
022 D000002 3621
022 A000003 BARCLAYS CAPITAL, INC.
022 B000003 06-1031656
022 C000003 2062
022 D000003 406
022 A000004 OPPENHEIMER & CO., INC.
022 B000004 13-5657518
022 C000004 554
022 D000004 1852
022 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
022 B000005 13-5674085
022 C000005 1000
022 D000005 1147
022 A000006 JEFFERIES & COMPANY, INC.
022 B000006 95-2622990
022 C000006 770
022 D000006 1348
022 A000007 WELLS FARGO SECURITIES LLC
022 B000007 56-2326000
022 C000007 708
022 D000007 477
022 A000008 LAZARD FRERES & CO., LLC
PAGE 3
022 B000008 13-5545100
022 C000008 0
022 D000008 1135
022 A000009 STIFEL, NICOLAUS & CO., INC.
022 B000009 43-0538770
022 C000009 1013
022 D000009 0
022 A000010 US BANCORP PIPER JAFFRAY INC
022 C000010 0
022 D000010 795
023 C000000 10695
023 D000000 12049
024 000000 Y
025 A000001 WELLS FARGO & CO.
025 B000001 94-1347393
025 C000001 E

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-A

025	D000001	1676	
025	A000002	BANK OF AMERICA CORP.	
025	B000002	56-0906609	
025	C000002	E	
025	D000002	1138	
026	A000000	N	
026	B000000	Y	
026	C000000	N	
026	D000000	Y	
026	E000000	N	
026	F000000	Y	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
	PAGE	4	
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-A

042 H000000 0
043 000000 0
044 000000 0
045 000000 Y
046 000000 Y
047 000000 Y
048 000000 0.000
048 A010000 100000
048 A020000 0.800
048 B010000 0
048 B020000 0.000
048 C010000 0
048 C020000 0.000
048 D010000 0
048 D020000 0.000
048 E010000 0
048 E020000 0.000
048 F010000 0
048 F020000 0.000
048 G010000 0
048 G020000 0.000
048 H010000 0
048 H020000 0.000
048 I010000 0
048 I020000 0.000
PAGE 5
048 J010000 0
048 J020000 0.000
048 K010000 100000
048 K020000 0.550
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 Y
053 B000000 Y
053 C000000 N
054 A000000 Y
054 B000000 Y
054 C000000 Y
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 N
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 N
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 N

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-A

062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0

PAGE 6

062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 Y
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 N
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 N
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 N
070 K010000 Y
070 K020000 N
070 L010000 Y
070 L020000 Y
070 M010000 N
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 Y

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-A

070 P020000 N
 070 Q010000 N
 070 Q020000 N
 070 R010000 Y
 070 R020000 N
 PAGE 7
 071 A000000 16601
 071 B000000 18578
 071 C000000 117127
 071 D000000 14
 072 A000000 6
 072 B000000 286
 072 C000000 1585
 072 D000000 0
 072 E000000 0
 072 F000000 434
 072 G000000 4
 072 H000000 0
 072 I000000 15
 072 J000000 15
 072 K000000 0
 072 L000000 31
 072 M000000 60
 072 N000000 0
 072 O000000 1
 072 P000000 0
 072 Q000000 19
 072 R000000 28
 072 S000000 24
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 23
 072 X000000 654
 072 Y000000 3
 072 Z000000 1220
 072AA000000 5667
 072BB000000 0
 072CC010000 0
 072CC020000 5131
 072DD010000 223
 072DD020000 0
 072EE000000 6902
 073 A010000 0.0200
 073 A020000 0.0000
 073 B000000 0.5300
 073 C000000 0.0200
 074 A000000 1115
 074 B000000 0
 074 C000000 395
 074 D000000 51601
 074 E000000 28975
 074 F000000 35733
 074 G000000 0
 074 H000000 0
 074 I000000 0
 074 J000000 393
 PAGE 8
 074 K000000 0
 074 L000000 603
 074 M000000 0
 074 N000000 118815

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-A

074	O000000	920
074	P000000	80
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	93
074	S000000	0
074	T000000	117722
074	U010000	13111
074	U020000	0
074	V010000	8.98
074	V020000	0.00
074	W000000	0.0000
074	X000000	4189
074	Y000000	0
075	A000000	0
075	B000000	118939
076	000000	0.00
077	A000000	Y
077	B000000	N
077	C000000	Y
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	Y
077	Q020000	N
077	Q030000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
086	A010000	335
086	A020000	2611
086	B010000	23
	PAGE	9
086	B020000	171
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	289074106
087	A030000	ECF
088	A000000	N
088	B000000	N

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-A

088 C000000 N

088 D000000 N

SIGNATURE SCOTT R. FUCHS

TITLE ASST. TREASURER