Edgar Filing: DST SYSTEMS INC - Form 3/A

DST SYSTEMS INC Form 3/A March 12, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

response...

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

TOWLE STEVEN J

(Last)

(First) (Middle)

C/O DST SYSTEMS, 333 W. 11TH ST 5TH FL

(Street)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

01/09/2004

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

01/09/2004

(Check all applicable)

President of subsidiary

DST SYSTEMS INC [DST]

Director _X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

KANSAS CITY, MOÂ 64105

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

Form: Direct (D) or Indirect

(I) (Instr. 5) 4. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

or Indirect

(I)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date Exercisable Date

Expiration Title

Amount or Number of Shares

Derivative Security

Direct (D)

(Instr. 5)

Common Deferred (1) (1) \$ (1) Phantom Stock 1,071.12 I Stock Compensation Plan

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer

Other

TOWLE STEVEN J C/O DST SYSTEMS 333 W. 11TH ST 5TH FL KANSAS CITY, MOÂ 64105

Â Â A President of subsidiary A

Signatures

/s/Randall D. Young, Attorney in Fact for Steven J. 03/12/2014 Towle

> **Signature of Reporting Person Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares of phantom stock were previously reported in Table I as shares of Common Stock. They have been moved to Table II to reflect that they are derivative securities and the share number has been updated to reflect the correct number of shares. Each share of

(1) phantom stock is the economic equivalent of one share of DST Systems, Inc. common stock. The phantom shares were issued pursuant to the Boston Financial Data Services, Inc. ("BFDS") Officers Deferred Compensation Plan. Phantom shares are settled in cash on a schedule determined by the Reporting Person during his service with BFDS.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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