Hilltop Holdings Inc. Form 4

January 08, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-0287

January 31,

2005

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OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2. Issuer Name and Ticker or Trading

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

White Alan B

(Print or Type Responses)

1. Name and Address of Reporting Person *

			Hilltop Holdings Inc. [HTH]			(Check all applicable)					
(Last) 200 CRESO 1330	(First) CENT COURT,	(Middle) SUITE	3. Date of Earliest Transaction (Month/Day/Year) 01/06/2014			X Director X Officer (below)	10% Owner				
	(Street)		4. If Amendment, Date Origin Filed(Month/Day/Year)			U	1		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
DALLAS,	TX 75201								Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Tab	le I - No	on-D	erivative	Secur	ities A	cquired, Dispose	d of, or Benefi	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Execution any		Code (Instr.	8) V	4. Securi nAcquired Disposed (Instr. 3,	(A) of (D) 4 and (A) or (D)	9) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Stock	01/06/2014			G	V	2,200	D	\$ 0	417,455 <u>(1)</u>	D	
Common Stock									10,239 (2) (3)	I	By Wife
Common Stock									23,806 (3)	I	By Double E Investments
Common Stock									12,883 (3)	I	By EAW White Family Partnership, Ltd.

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Common Stock	8,045 (3)	I	By Maedgen, White and Maedgen
Common Stock	1,853,958 (3)	I	By Maedgen & White, Ltd.
Common Stock	952 (3)	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

of 9. Nuive Deriv

Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

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Reporting Owners

Reporting Owner Name / Address	Keiauonsnips						
	Director	10% Owner	Officer	Other			
White Alan B 200 CRESCENT COURT, SUITE 1330 DALLAS, TX 75201	X		CEO of PlainsCapital Corp.				

Signatures

/s/ Alan B.	01/09/201
White	01/08/2014
**C: anatura of	D-4-

**Signature of Date
Reporting Person

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 94,893 shares of common stock held in an individual retirement account for the benefit of the reporting person.
- (2) Includes 454 shares of common stock held in the Plains Capital Corporation Employee Stock Ownership Plan.
 - The reporting person disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest therein. The reporting
- (3) person states that neither the filing of this statement nor anything herein shall be deemed an admission that the reporting person is, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owner of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.