Lynch Roger Form 4 January 30, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Lynch Roger

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(C:tr.)

(Middle)

(7:m)

Pandora Media, Inc. [P] 3. Date of Earliest Transaction

(Check all applicable)

C/O PANDORA MEDIA, INC, 2100

(Street)

(State)

(First)

(Month/Day/Year) 12/27/2018

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below)

CEO & President

FRANKLIN STREET, 7TH FLOOR

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

OAKLAND, CA 94612

| (City)     | (State) (           | Table Table        | e I - No                          | n-D      | erivative S         | ecurit     | ies Acq        | uired, Disposed o     | f, or Beneficial | ly Owned     |
|------------|---------------------|--------------------|-----------------------------------|----------|---------------------|------------|----------------|-----------------------|------------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.                                |          | 4. Securit          | ies Ac     | quired         | 5. Amount of          | 6. Ownership     | 7. Nature of |
| Security   | (Month/Day/Year)    | Execution Date, if | Transaction(A) or Disposed of (D) |          |                     | Securities | Form: Direct   | Indirect              |                  |              |
| (Instr. 3) |                     | any                | Code                              | 0.       | (Instr. 3, 4 and 5) |            |                | Beneficially          | (D) or           | Beneficial   |
|            |                     | (Month/Day/Year)   | (Instr.                           | 8)       | )                   |            |                | Owned                 | Indirect (I)     | Ownership    |
|            |                     |                    |                                   |          |                     |            |                | Following<br>Reported | (Instr. 4)       | (Instr. 4)   |
|            |                     |                    |                                   |          | (A)                 |            | Transaction(s) |                       |                  |              |
|            |                     |                    | <i>a</i> .                        |          |                     | or         | <b>.</b> .     | (Instr. 3 and 4)      |                  |              |
| a          |                     |                    | Code                              | V        | Amount              | (D)        | Price          | ,                     |                  |              |
| Common     | 12/27/2018          |                    | G                                 | V        | 19,430              | D          | \$0            | 712,688               | D                |              |
| Stock      |                     |                    | _                                 |          | -,,                 |            | Ť -            | ,                     |                  |              |
| Common     |                     |                    |                                   |          |                     |            |                |                       |                  |              |
| Stock      | 12/31/2018          |                    | G                                 | V        | 18,500              | D          | \$0            | 694,188               | D                |              |
| Stock      |                     |                    |                                   |          |                     |            |                |                       |                  |              |
| Common     | 01/28/2019          |                    | <b>Δ</b> (1)                      | V        | 3 049               | Δ          | \$             | 697 237               | D                |              |
| Stock      | 01/20/2017          |                    | · <b>·</b>                        | <b>,</b> | 3,017               |            | 6.97           | 071,231               | D                |              |
|            | 01/28/2019          |                    | A(1)                              | V        | 3,049               | A          | \$<br>6.97     | 697,237               | D                |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

#### Edgar Filing: Lynch Roger - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc                | cisable and | 7. Titl | e and        | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------|------------------------------|-------------|---------|--------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | actionNumber Expiration Date |             | Amou    | nt of        | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of         | (Month/Day/                  | Year)       | Under   | lying        | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative | e                            |             | Securi  | ities        | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities |                              |             | (Instr. | 3 and 4)     |             | Owne   |
|             | Security    |                     |                    |                   | Acquired   |                              |             |         |              |             | Follo  |
|             |             |                     |                    |                   | (A) or     |                              |             |         |              |             | Repo   |
|             |             |                     |                    |                   | Disposed   |                              |             |         |              |             | Trans  |
|             |             |                     |                    |                   | of (D)     |                              |             |         |              |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3, |                              |             |         |              |             |        |
|             |             |                     |                    |                   | 4, and 5)  |                              |             |         |              |             |        |
|             |             |                     |                    |                   |            |                              |             |         | Amount       |             |        |
|             |             |                     |                    |                   |            |                              |             |         |              |             |        |
|             |             |                     |                    |                   |            | Date                         | Expiration  |         | or<br>Number |             |        |
|             |             |                     |                    |                   |            | Exercisable                  | Date        |         | of           |             |        |
|             |             |                     |                    | Code V            | (A) (D)    |                              |             |         | Shares       |             |        |
|             |             |                     |                    | Code V            | (A) $(D)$  |                              |             |         | Shares       |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                 |       |  |  |  |
|---|---------------|-----------|-----------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer         | Other |  |  |  |
| Lynch Roger<br>C/O PANDORA MEDIA, INC<br>2100 FRANKLIN STREET, 7TH FLOOR<br>OAKLAND, CA 94612 | X             |           | CEO & President |       |  |  |  |

## **Signatures**

/s/ Jeremy Liegl, Attorney-in-Fact 01/30/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were acquired under the Issuer's 2014 Employee Stock Purchase Plan in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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