#### **BOSTON BEER CO INC**

Form 4 June 24, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **KOCH C JAMES** 

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**BOSTON BEER CO INC [SAM]** 

(Check all applicable)

C/O THE BOSTON BEER

3. Date of Earliest Transaction (Month/Day/Year) 06/23/2014

\_X\_\_ Director \_X\_\_ 10% Owner \_ Other (specify X\_ Officer (give title below)

Chairman

COMPANY, ONE DESIGN **CENTER PLACE, SUITE 850** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BOSTON, MA 02210

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	e Secu	rities Acqu	ired, Disposed of	f, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi or(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount		Price \$	(Instr. 3 and 4)		
Class A Common	06/23/2014		S(1)	1,500	D	222.28 (2)	92,132	D	
Class A Common	06/23/2014		S <u>(1)</u>	1,500	D	\$ 223.28 (3)	90,632	D	
Class A Common	06/23/2014		S <u>(1)</u>	500	D	\$ 224.44 (4)	90,132	D	
Class A	06/23/2014		S(1)	500	D	\$ 225.9	89,632	D	

## Edgar Filing: BOSTON BEER CO INC - Form 4

Class A Common  135,000  1  By Spouse in Trust under GRAT  By LLC managed by spouse Torst of creating the spouse By LLC managed by spouse Torst of creating the spouse By LLC managed by spouse Torst of creating the spouse Torst of children Trust of custodian Trust of custodian Trust of custodian Trust of children Trust of children Torst of creating the spouse Torst of creating the spouse Torst of children Tor	Common	<u>(5)</u>						
Common  44,248 I managed by spouse  Class A Common		135,000 I	in Trust under					
Class A Common  Class A Common		44,248 I	managed					
Class A Common  Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.		23,486 I	for children under					
Class A Common  3,656 I  as custodian for children under UGTMA  Class A Common  2,532 I  By spouse in trust for children in trust for children		5,000 I						
Common 2,532 I in trust for children  Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.		3,656 I	as custodian for children under					
		2,532 I	in trust for					
	Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of SEC 1474							

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Title a		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amount	01	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr. 3	and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						Ì
					4, and 5)						
					, ,						
				Code V	(A) (D)	Date	Expiration	Title A	mount		
						Exercisable	Date	OI	r		
								N	umber		

of Shares

# Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director 10% Owner Officer			Other		
KOCH C JAMES C/O THE BOSTON BEER COMPANY ONE DESIGN CENTER PLACE, SUITE 850 BOSTON, MA 02210	X	X	Chairman			
Signatures						

Kathleen H. Wade under POA for the benefit of C. James Koch

06/24/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 15, 2014.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,500 shares (2) is from \$221.95 to \$222.66. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,500 shares (3) is from \$223.00 to \$223.79. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 500 shares is from \$224.37 to \$224.48. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 500 shares is (5) from \$225.83 to \$226.01. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3