#### Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

#### MONMOUTH REAL ESTATE INVESTMENT CORP

Form 4

**MNR** 

Stock

Common

November 30, 2015

| November 3  | 30, 2015                                |   |  |               |                         |   |   |                         |                             |  |  |  |
|---|---|---|--|---------------|-------------------------|---|---|-------------------------|-----------------------------|--|--|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION   |   |   |  |               |                         |   |   |                         | OMB APPROVAL                |  |  |  |
|   | UNITED                                  | STATES SE   | ECURITIES A Washington                       |               |                         | NGE   | COMMISSION  | OMB Number              | 3235-0287                   |  |  |  |
| Check t<br>if no lor<br>subject<br>Section  | nger<br>to STATEN<br>16.                | MENT OF C   | VNERSHIP OF                                  | burden l      | ed average<br>nours per |   |   |                         |                             |  |  |  |
| Form 4 or Form 5 obligations may continue.  See Instruction 1(b).  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 |   |   |  |               |                         |   |   |                         |                             |  |  |  |
| (Print or Type  | Responses)                              |   |  |               |                         |   |   |                         |                             |  |  |  |
| LANDY EUGENE W S  |   |   | . Issuer Name <b>an</b><br>mbol<br>ONMOUTH I | REAL ES       | ТАТ                     | E   | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  |                         |                             |  |  |  |
| (Last)  | (First) (                               | Middle) 3. ]  | VESTMENT  Date of Earliest T                 | _             | VIINK                   | .]  | X Director<br>X Officer (gi   |                         | 10% Owner<br>Other (specify |  |  |  |
| 3499 RT. 9  | NORTH, SUITE                            |   | (onth/Day/Year)<br>/30/2015                  |               |                         |   | below)  | below)<br>rman of the I |                             |  |  |  |
|   | (Street)                                |   | If Amendment, Ded(Month/Day/Yea              | _             | ıl                      |   | 6. Individual or . Applicable Line) _X_ Form filed by Form filed by   | One Reportin            | g Person                    |  |  |  |
| FREEHOL   | LD, NJ 07728                            |   |  |               |                         |   | Person  | wore than on            | e Reporting                 |  |  |  |
| (City)  | (State)                                 | (Zip)   | Table I - Non-                               | Derivative    | Secu                    | rities A  | cquired, Disposed   | of, or Benefi           | cially Owned                |  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date<br>any<br>(Month/Day/Y | Code (Instr. 3, 4 and 5)                     |               |                         | Securities Beneficially Owned Following Reported Transaction(s) | urities Ownership Indirect neficially Form: Beneficial ned Direct (D) Ownership lowing or Indirect (Instr. 4) oorted (I) nsaction(s) (Instr. 4) |                         |                             |  |  |  |
| MNID  |   |   | Code V                                       | Amount        | (D)                     | Price   | (Instr. 3 and 4)  |                         |                             |  |  |  |
| MNR<br>Common<br>Stock  | 11/30/2015                              |   | M  | 65,000<br>(1) | A                       | \$<br>8.22  | 785,866.566   | D                       |                             |  |  |  |
| MNR<br>Common<br>Stock  |   |   |  |               |                         |   | 33,183.072  | I                       | Juniper Plaza<br>Associates |  |  |  |
| MNR   |   |   |  |               |                         |   |   |                         | Windsor                     |  |  |  |

Industrial

Associates

Park

26,211.662

131,200

I

Ι

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| MNR<br>Common<br>Stock |                           |                                      |   |                              |   |  |                  | Eugene W.<br>and Gloria<br>Landy<br>Family<br>Foundation  |
|------------------------|---------------------------|--------------------------------------|---|------------------------------|---|--|------------------|---|
| MNR<br>Common<br>Stock |                           |                                      |   |                              | Ģ   | 97,913.57  | I                | Spouse  |
| MNR<br>Common<br>Stock |                           |                                      |   |                              | 1   | 92,293.62  | Ι                | Landy &<br>Landy<br>Employees'<br>Pension Plan            |
| MNR<br>Common<br>Stock |                           |                                      |   |                              | 1   | 3,048  | I                | Landy<br>Investments,<br>Ltd.                             |
| MNR<br>Common<br>Stock |                           |                                      |   |                              | 2   | 225,426.819  | I                | Landy & Landy Employees' Profit Sharing Plan              |
| Reminder: R            | eport on a sepa           | arate line for each clas             | s of securities benefi                    | Person<br>informa<br>require | s who respond<br>ation contained to respond<br>as a currently | ndirectly.  Ind to the column this for the funders the formula the | m are not<br>orm | SEC 1474<br>(9-02)  |
|                        |                           |                                      | tive Securities Acquuts, calls, warrants, |                              |   |  | ed               |   |
| 1. Title of Derivative | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) |   |                              | 5. Number of orDerivative Securities                          | f 6. Date Exe<br>Expiration 1  |                  | 7. Title and Amou<br>Underlying Secur<br>(Instr. 3 and 4) |

| Derivative<br>Security<br>(Instr. 3)                                  | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Transacti<br>Code<br>(Instr. 8) | or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date Une |                    | Underlying             | Underlying Securities (Instr. 3 and 4) |  |
|---|---|------------------|---|---------------------------------|---|---------------------|--------------------|------------------------|--|--|
|   |   |                  |   | Code V                          | (A) (D)                                 | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of Shares    |  |
| Qualified<br>Stock<br>Option to<br>Purchase<br>MNR<br>Common<br>Stock | \$ 8.22   | 11/30/2015       |   | M                               | 65,000                                  | 12/12/2008          | 12/12/2015         | MNR<br>Common<br>Stock | 65,000                                 |  |

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| Qualified<br>Stock<br>Option to<br>Purchase<br>MNR<br>Common<br>Stock | \$ 11.16 | 01/05/2016 | 01/05/2023 | MNR<br>Common<br>Stock | 65,000 |
|---|----------|------------|------------|------------------------|--------|
| Qualified<br>Stock<br>Option to<br>Purchase<br>Common<br>Stock        | \$ 8.94  | 01/03/2015 | 01/03/2022 | MNR<br>Common<br>Stock | 65,000 |
| Qualified<br>Stock<br>Option to<br>Purchase<br>Common<br>Stock        | \$ 10.46 | 01/03/2014 | 01/03/2021 | MNR<br>Common<br>Stock | 65,000 |
| Qualified<br>Stock<br>Option to<br>Purchase<br>Common<br>Stock        | \$ 9.33  | 01/03/2013 | 01/03/2020 | MNR<br>Common<br>Stock | 65,000 |
| Qualified<br>Stock<br>Option to<br>Purchase<br>Common<br>Stock        | \$ 8.72  | 01/03/2012 | 01/03/2019 | MNR<br>Common<br>Stock | 65,000 |
| Qualified<br>Stock<br>Option to<br>Purchase<br>Common<br>Stock        | \$ 7.22  | 01/05/2011 | 01/05/2018 | MNR<br>Common<br>Stock | 65,000 |
| Qualified<br>Stock<br>Option to<br>Purchase<br>Common<br>Stock        | \$ 7.25  | 10/20/2009 | 10/20/2016 | MNR<br>Common<br>Stock | 65,000 |

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LANDY EUGENE W

3499 RT. 9 NORTH X Chairman of the Board

FREEHOLD, NJ 07728

# **Signatures**

Eugene W. 11/30/2015 Landy

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Monmouth Real Estate Investment Stock Option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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