Sarret Joseph J. Form 4 December 05, 2017

### FORM 4

Check this box

if no longer

subject to

Section 16.

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or
Form 5
obligations
may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading
Sarret Joseph J.

Symbol
Corium International, Inc. [CORI]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

C/O CORIUM INTERNATIONAL, INC., 235 CONSTITUTION DRIVE

MENLO PARK, CA 94025

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

12/01/2017

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

\_\_\_\_ Director \_\_\_\_ 10% Owner \_\_X\_ Officer (give title \_\_\_\_ Other (specify below)

Chief Business Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4)

(A) Reported Transaction(s) or (Label 2)

Code V Amount (D) Price (Instr. 3 and 4)

Common 8,462 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Sarret Joseph J. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 11.59	12/01/2017		A	52,500	(2)	11/30/2027	Common Stock	52,500
Restricted Stock Units	(3)	12/01/2017		A	8,750	<u>(4)</u>	<u>(4)</u>	Common Stock	8,750

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Sarret Joseph J. C/O CORIUM INTERNATIONAL, INC. 235 CONSTITUTION DRIVE MENLO PARK, CA 94025

Chief Business Officer

## **Signatures**

/s/Christina Dickerson,

Attorney-in-Fact 12/05/2017

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,000 shares acquired under the Issuer's employee stock purchase plan on November 17, 2017.
- (2) The option vests as to 1/48th of the total number of shares on January 1, 2018 and then 1/48th monthly thereafter.
- (3) Each restricted stock unit ("RSUs") represents the contingent right to receive one share of the issuer's common stock upon settlement.
- (4) The RSUs vest as to 1/4 of the total number of shares on December 1, 2018 and then 1/4 of the total number of shares on December 1 of each successive year thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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