

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-B

Oxford Lane Capital Corp.  
Form NSAR-B  
May 30, 2013

PAGE 1  
000 B000000 03/31/2013  
000 C000000 0001495222  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 OXFORD LANE CAPITAL CORP.  
001 B000000 811-22432  
001 C000000 2039835275  
002 A000000 8 SOUND SHORE DRIVE  
002 B000000 GREENWICH  
002 C000000 CT  
002 D010000 06830  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 OXFORD LANE MANAGEMENT, LLC  
008 B000001 A  
008 C000001 801-71654  
008 D010001 GREENWICH  
008 D020001 CT  
008 D030001 06830  
010 A000001 BDC PARTNERS, LLC  
010 C010001 GREENWICH  
010 C020001 CT  
010 C030001 06830  
012 A000001 COMPUTERSHARE, INC.  
012 B000001 84-05925  
012 C010001 CANTON  
012 C020001 MA  
012 C030001 02021  
013 A000001 PRICewaterHOUSECOOPERS, LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10017  
PAGE 2  
015 A000001 STATE STREET BANK AND TRUST COMPANY  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02110  
015 E010001 X

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-B

018 000000 Y  
019 A000000 N  
019 B000000 0  
020 C000001 0  
020 C000002 0  
020 C000003 0  
020 C000004 0  
020 C000005 0  
020 C000006 0  
020 C000007 0  
020 C000008 0  
020 C000009 0  
020 C000010 0  
021 000000 0  
022 A000001 BANK OF AMERICA  
022 B000001 560906609  
022 C000001 0  
022 D000001 8125  
022 A000002 CITIBANK  
022 B000002 112418191  
022 C000002 0  
022 D000002 4000  
022 A000003 MORGAN STANLEY  
022 B000003 363145972  
022 C000003 15250  
022 D000003 6000  
022 A000004 UBS INVESTMENT BANK  
022 C000004 25434  
022 D000004 0  
022 A000005 GOLDMAN SACHS  
022 B000005 135108880  
022 C000005 19564  
022 D000005 0  
022 A000006 JEFFERIES & COMPANY, INC.  
022 B000006 954719745  
022 C000006 13900  
022 D000006 0  
022 A000007 THE SEAPORT GROUP  
022 B000007 133026219  
022 C000007 5910  
022 D000007 0  
022 A000008 BNP PARIBAS  
022 C000008 4667  
022 D000008 0  
022 A000009 DEUTSCHE BANK SECURITIES, INC.  
PAGE 3  
022 C000009 4000  
022 D000009 0  
022 A000010 RBS SECURITIES  
022 B000010 133172275  
022 C000010 3500  
022 D000010 0  
023 C000000 106504  
023 D000000 18125  
024 000000 N  
025 D000001 0  
025 D000002 0  
025 D000003 0  
025 D000004 0  
025 D000005 0  
025 D000006 0  
025 D000007 0

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-B

|     |         |      |
|-----|---------|------|
| 025 | D000008 | 0    |
| 026 | A000000 | N    |
| 026 | B000000 | N    |
| 026 | C000000 | N    |
| 026 | D000000 | Y    |
| 026 | E000000 | N    |
| 026 | F000000 | N    |
| 026 | G010000 | N    |
| 026 | G020000 | N    |
| 026 | H000000 | N    |
| 027 | 000000  | N    |
| 028 | A010000 | 0    |
| 028 | A020000 | 0    |
| 028 | A030000 | 0    |
| 028 | A040000 | 0    |
| 028 | B010000 | 0    |
| 028 | B020000 | 0    |
| 028 | B030000 | 0    |
| 028 | B040000 | 0    |
| 028 | C010000 | 0    |
| 028 | C020000 | 0    |
| 028 | C030000 | 0    |
| 028 | C040000 | 0    |
| 028 | D010000 | 0    |
| 028 | D020000 | 0    |
| 028 | D030000 | 0    |
| 028 | D040000 | 0    |
| 028 | E010000 | 0    |
| 028 | E020000 | 0    |
| 028 | E030000 | 0    |
| 028 | E040000 | 0    |
| 028 | F010000 | 0    |
| 028 | F020000 | 0    |
| 028 | F030000 | 0    |
| 028 | F040000 | 0    |
|     | PAGE    | 4    |
| 028 | G010000 | 0    |
| 028 | G020000 | 0    |
| 028 | G030000 | 0    |
| 028 | G040000 | 0    |
| 028 | H000000 | 0    |
| 030 | A000000 | 0    |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0    |
| 031 | B000000 | 0    |
| 032 | 000000  | 0    |
| 033 | 000000  | 0    |
| 035 | 000000  | 0    |
| 036 | B000000 | 0    |
| 038 | 000000  | 0    |
| 042 | A000000 | 0    |
| 042 | B000000 | 0    |
| 042 | C000000 | 0    |
| 042 | D000000 | 0    |
| 042 | E000000 | 0    |
| 042 | F000000 | 0    |
| 042 | G000000 | 0    |
| 042 | H000000 | 0    |
| 043 | 000000  | 0    |
| 044 | 000000  | 0    |
| 045 | 000000  | Y    |

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-B

046 000000 N  
047 000000 N  
048 000000 0.000  
048 A010000 0  
048 A020000 0.000  
048 B010000 0  
048 B020000 0.000  
048 C010000 0  
048 C020000 0.000  
048 D010000 0  
048 D020000 0.000  
048 E010000 0  
048 E020000 0.000  
048 F010000 0  
048 F020000 0.000  
048 G010000 0  
048 G020000 0.000  
048 H010000 0  
048 H020000 0.000  
048 I010000 0  
048 I020000 0.000  
048 J010000 0  
048 J020000 0.000  
048 K010000 0  
048 K020000 0.000  
PAGE 5  
049 000000 N  
050 000000 Y  
051 000000 N  
052 000000 N  
053 A000000 N  
054 A000000 N  
054 B000000 N  
054 C000000 N  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 N  
054 H000000 N  
054 I000000 N  
054 J000000 N  
054 K000000 N  
054 L000000 N  
054 M000000 N  
054 N000000 N  
054 O000000 N  
055 A000000 N  
055 B000000 N  
056 000000 N  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 N  
060 B000000 N  
061 000000 0  
062 A000000 Y  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-B

|     |         |        |
|-----|---------|--------|
| 062 | H000000 | 0.0    |
| 062 | I000000 | 0.0    |
| 062 | J000000 | 0.0    |
| 062 | K000000 | 0.0    |
| 062 | L000000 | 13.1   |
| 062 | M000000 | 0.0    |
| 062 | N000000 | 0.0    |
| 062 | O000000 | 0.0    |
| 062 | P000000 | 0.0    |
| 062 | Q000000 | 34.5   |
| 062 | R000000 | 68.8   |
| 063 | A000000 | 0      |
| 063 | B000000 | 8.3    |
| 064 | A000000 | N      |
| 064 | B000000 | N      |
|     | PAGE    | 6      |
| 066 | A000000 | N      |
| 067 | 000000  | N      |
| 068 | A000000 | N      |
| 068 | B000000 | N      |
| 069 | 000000  | N      |
| 070 | A010000 | Y      |
| 070 | A020000 | N      |
| 070 | B010000 | N      |
| 070 | B020000 | N      |
| 070 | C010000 | N      |
| 070 | C020000 | N      |
| 070 | D010000 | N      |
| 070 | D020000 | N      |
| 070 | E010000 | N      |
| 070 | E020000 | N      |
| 070 | F010000 | N      |
| 070 | F020000 | N      |
| 070 | G010000 | N      |
| 070 | G020000 | N      |
| 070 | H010000 | N      |
| 070 | H020000 | N      |
| 070 | I010000 | N      |
| 070 | I020000 | N      |
| 070 | J010000 | Y      |
| 070 | J020000 | N      |
| 070 | K010000 | Y      |
| 070 | K020000 | N      |
| 070 | L010000 | Y      |
| 070 | L020000 | Y      |
| 070 | M010000 | N      |
| 070 | M020000 | N      |
| 070 | N010000 | N      |
| 070 | N020000 | N      |
| 070 | O010000 | Y      |
| 070 | O020000 | N      |
| 070 | P010000 | N      |
| 070 | P020000 | N      |
| 070 | Q010000 | N      |
| 070 | Q020000 | N      |
| 070 | R010000 | N      |
| 070 | R020000 | N      |
| 071 | A000000 | 87173  |
| 071 | B000000 | 12345  |
| 071 | C000000 | 100480 |
| 071 | D000000 | 12     |
| 072 | A000000 | 12     |

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-B

072 B000000 11598  
 072 C000000 0  
 072 D000000 0  
 072 E000000 0  
 072 F000000 1793

PAGE 7

072 G000000 670  
 072 H000000 0  
 072 I000000 18  
 072 J000000 58  
 072 K000000 0  
 072 L000000 0  
 072 M000000 179  
 072 N000000 0  
 072 O000000 0  
 072 P000000 525  
 072 Q000000 0  
 072 R000000 315  
 072 S000000 78  
 072 T000000 0  
 072 U000000 37  
 072 V000000 0  
 072 W000000 2000  
 072 X000000 5673  
 072 Y000000 0  
 072 Z000000 5925  
 072AA000000 2374  
 072BB000000 0  
 072CC010000 16016  
 072CC020000 0  
 072DD010000 0  
 072DD020000 0  
 072EE000000 0  
 073 A010000 2.2000  
 073 A020000 0.0000  
 073 B000000 0.0000  
 073 C000000 0.0000  
 074 A000000 16173  
 074 B000000 0  
 074 C000000 0  
 074 D000000 0  
 074 E000000 0  
 074 F000000 0  
 074 G000000 0  
 074 H000000 0  
 074 I000000 127230  
 074 J000000 0  
 074 K000000 0  
 074 L000000 1484  
 074 M000000 1000  
 074 N000000 145887  
 074 O000000 5528  
 074 P000000 594  
 074 Q000000 0  
 074 R010000 15811  
 074 R020000 0  
 074 R030000 0

PAGE 8

074 R040000 815  
 074 S000000 0  
 074 T000000 123139  
 074 U010000 7602719

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-B

|     |         |                              |
|-----|---------|------------------------------|
| 074 | U020000 | 0                            |
| 074 | V010000 | 16.20                        |
| 074 | V020000 | 0.00                         |
| 074 | W000000 | 0.0000                       |
| 074 | X000000 | 71                           |
| 074 | Y000000 | 0                            |
| 075 | A000000 | 0                            |
| 075 | B000000 | 100480                       |
| 076 | 000000  | 15.98                        |
| 077 | A000000 | Y                            |
| 077 | B000000 | Y                            |
| 077 | C000000 | N                            |
| 077 | D000000 | N                            |
| 077 | E000000 | N                            |
| 077 | F000000 | N                            |
| 077 | G000000 | N                            |
| 077 | H000000 | N                            |
| 077 | I000000 | N                            |
| 077 | J000000 | N                            |
| 077 | K000000 | N                            |
| 077 | L000000 | N                            |
| 077 | M000000 | N                            |
| 077 | N000000 | N                            |
| 077 | O000000 | N                            |
| 077 | P000000 | N                            |
| 077 | Q010000 | N                            |
| 077 | Q020000 | Y                            |
| 077 | Q030000 | N                            |
| 078 | 000000  | N                            |
| 080 | A000000 | ICI MUTUAL INSURANCE COMPANY |
| 080 | C000000 | 1000                         |
| 081 | A000000 | N                            |
| 081 | B000000 | 0                            |
| 082 | A000000 | N                            |
| 082 | B000000 | 0                            |
| 083 | A000000 | N                            |
| 083 | B000000 | 0                            |
| 084 | A000000 | N                            |
| 084 | B000000 | 0                            |
| 085 | A000000 | Y                            |
| 085 | B000000 | N                            |
| 086 | A010000 | 5030                         |
| 086 | A020000 | 67695                        |
| 086 | B010000 | 0                            |
| 086 | B020000 | 0                            |
| 086 | C010000 | 15811                        |
| 086 | C020000 | 14804                        |
|     | PAGE    | 9                            |
| 086 | D010000 | 0                            |
| 086 | D020000 | 0                            |
| 086 | E010000 | 0                            |
| 086 | E020000 | 0                            |
| 086 | F010000 | 0                            |
| 086 | F020000 | 0                            |
| 087 | A010000 | COMMON                       |
| 087 | A020000 | 691543102                    |
| 087 | A030000 | OXLC                         |
| 087 | B010000 | PREFERRED                    |
| 087 | B020000 | 691543201                    |
| 087 | B030000 | OXLCP                        |
| 088 | A000000 | N                            |
| 088 | B000000 | N                            |

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-B

088 C000000 N

088 D000000 Y

SIGNATURE PATRICK F. CONVOY

TITLE CFO