Five9, Inc. Form SC 13G/A February 11, 2016

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Schedule 13G

## INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO RULES 13d-1 (b), (c) AND (d)

## AND AMENDMENTS THERETO FILED PURSUANT TO 13d-2 (b)

(Amendment No. 1)\*

Five9, Inc. (Name of Issuer)

Common Stock (Title of Class of Securities)

338307101 (CUSIP Number)

December 31, 2015 (Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

	)
Rule 13d-1(c	:)

x Rule 13d-1(d)

## Edgar Filing: Five9, Inc. - Form SC 13G/A

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

Page 1 of 12 Pages

Exhibit Index Contained on Page 10

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## NAME OF REPORTING PERSONS

1

Hummer Winblad Venture Partners V, L.P. ("HWVP V")

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions) 2 (a) <sup>..</sup> (b) x 3 SEC USE ONLY CITIZENSHIP OR PLACE OF ORGANIZATION 4

Delaware

## SOLE VOTING POWER

NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	<ul> <li><sup>5</sup><sup>8,410,041</sup> shares, except that Hummer Winblad Equiperent partner of HWVP V, may be deemed to have Hummer ("Hummer"), Ann L. Winblad ("Winblad") managing members of HWEP V, may be deemed to SHARED VOTING POWER</li> <li><sup>6</sup> See response to row 5. SOLE DISPOSITIVE POWER</li> <li><sup>78,410,041</sup> shares, except that HWEP V, the general passing power to dispose of these shares, and Hummer, members of HWEP V, may be deemed to have share SHARED DISPOSITIVE POWER</li> <li><sup>8</sup> See response to row 7.</li> </ul>	e sole power to vote these shares, and John and Mitchell Kertzman ("Kertzman"), the have shared power to vote these shares.		
AGGREGATE	AMOUNT BENEFICIALLY OWNED BY EACH			
9 REPORTING PERSON		8,410,041		
CHECK BOX	IF THE AGGREGATE AMOUNT IN ROW (9)			
10 EXCLUDES CERTAIN SHARES (See Instructions) PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 11				
		16.7%		
TYPE OF REPORTING PERSON (See Instructions)				
12		PN		

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## NAME OF REPORTING PERSONS

1

Hummer Winblad Equity Partners V, L.L.C.

```
CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions)

2

(a) <sup>..</sup> (b) x

3 SEC USE ONLY

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware
```

## SOLE VOTING POWER

NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	<ul> <li><sup>5</sup> 8,410,041 shares, all of which are directly owned by HWVP V, may be deemed to have sole power to vot Kertzman, the managing members of HWEP V, may these shares.</li> <li>SHARED VOTING POWER</li> <li><sup>6</sup> See response to row 5.</li> <li>SOLE DISPOSITIVE POWER</li> <li><sup>7</sup> 8,410,041 shares, all of which are directly owned by HWVP V, may be deemed to have sole power to dispand Kertzman, the managing members of HWEP V, dispose of these shares.</li> <li>SHARED DISPOSITIVE POWER</li> <li><sup>8</sup> See response to row 7.</li> </ul>	e these shares, and Hummer, Winblad and be deemed to have shared power to vote HWVP V. HWEP V, the general partner of pose of these shares, and Hummer, Winblad		
AGGREGATE	AMOUNT BENEFICIALLY OWNED BY EACH			
9				
REPORTING P		8,410,041		
CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) 10				
	ERTAIN SHARES (See Instructions)			
	CLASS REPRESENTED BY AMOUNT IN ROW (9)			
11				
		16.7%		
12TYPE OF REP	ORTING PERSON (See Instructions)			

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CUSIP NO. 338307101 Page 4 of 12
```

## NAME OF REPORTING PERSONS

1

```
John Hummer
 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions)
2
 (a)
           (b)
                 х
3SEC USE ONLY
 CITIZENSHIP OR PLACE OF ORGANIZATION
4
 United States
                SOLE VOTING POWER
NUMBER OF
               5
                -0-
                SHARED VOTING POWER
SHARES
BENEFICIALLY 68,410,041 shares, all of which are directly owned by HWVP V. HWEP V is the general partner
                of HWVP V, and Hummer, a managing member of HWEP V, may be deemed to have shared
OWNED BY
                power to vote these shares.
EACH
                SOLE DISPOSITIVE POWER
               7
                -0-
REPORTING
                SHARED DISPOSITIVE POWER
PERSON
               88,410,041 shares, all of which are directly owned by HWVP V. HWEP V is the general partner
WITH
                of HWVP V, and Hummer, a managing member of HWEP V, may be deemed to have shared
                power to dispose of these shares.
  AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH
9
  REPORTING PERSON
                                                            8,410,041
  CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9)
10
                                                            •••
  EXCLUDES CERTAIN SHARES (See Instructions)
  PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
11
                                                            16.7%
                                                            IN
```

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## NAME OF REPORTING PERSONS

1

```
Ann L. Winblad
 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions)
2
 (a)
           (b)
                 Х
3SEC USE ONLY
 CITIZENSHIP OR PLACE OF ORGANIZATION
4
 United States
                 SOLE VOTING POWER
                5
                 -0-
                 SHARED VOTING POWER
NUMBER OF
                 8,410,041 shares, all of which are directly owned by HWVP V. HWEP V is the general partner
SHARES
                6 of HWVP V, and Winblad, a managing member of HWEP V, may be deemed to have shared
BENEFICIALLY
                 power to vote these shares.
OWNED BY
EACH
REPORTING
                 SOLE DISPOSITIVE POWER
PERSON
                7
WITH
                 -0-
                 SHARED DISPOSITIVE POWER
                88,410,041 shares, all of which are directly owned by HWVP V. HWEP V is the general partner
                 of HWVP V, and Winblad, a managing member of HWEP V, may be deemed to have shared
                 power to dispose of these shares.
  AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH
9
  REPORTING PERSON
                                                             8,410,041
  CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9)
10
                                                             •••
  EXCLUDES CERTAIN SHARES (See Instructions)
  PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
11
                                                             16.7%
  TYPE OF REPORTING PERSON (See Instructions)
12
```

12

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NAME OF REPORTING PERSONS

1

```
Mitchell Kertzman
 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions)
2
 (a)
           (b)
                 Х
3SEC USE ONLY
 CITIZENSHIP OR PLACE OF ORGANIZATION
4
 United States
                 SOLE VOTING POWER
                5
                 25,000
                 SHARED VOTING POWER
NUMBER OF
SHARES
                68,410,041 shares, all of which are directly owned by HWVP V. HWEP V is the general partner
                 of HWVP V, and Kertzman, a managing member of HWEP V, may be deemed to have shared
BENEFICIALLY
OWNED BY
                 power to vote these shares.
                 SOLE DISPOSITIVE POWER
EACH
REPORTING
                7
PERSON
                 25,000
WITH
                 SHARED DISPOSITIVE POWER
                88,410,041 shares, all of which are directly owned by HWVP V. HWEP V is the general partner
                 of HWVP V, and Kertzman, a managing member of HWEP V, may be deemed to have shared
                 power to dispose of these shares.
  AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH
9
  REPORTING PERSON
                                                            8,435,041
  CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9)
10
                                                            •••
  EXCLUDES CERTAIN SHARES (See Instructions)
  PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
11
                                                             16.7%
  TYPE OF REPORTING PERSON (See Instructions)
```

12

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#### ITEM NAME OF ISSUER 1(A).

Five9, Inc. (the "Issuer")

#### ITEM ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES 1(B).

**Bishop Ranch 8** 4000 Executive Parkway, Suite 400 San Ramon, CA 94583

#### ITEM NAME OF PERSONS FILING 2(A).

This Schedule is filed by Hummer Winblad Venture Partners V, L.P., a Delaware limited partnership, Hummer Winblad Equity Partners V, L.L.C., a Delaware limited liability company, John Hummer, Ann L. Winblad and Mitchell Kertzman. The foregoing entities and individuals are collectively referred to as the "Reporting Persons."

#### ITEM ADDRESS OF PRINCIPAL OFFICE 2(B).

The address for each Reporting Person is:

c/o Hummer Winblad Venture Partners Pier 33 South, The Embarcadero, 3rd Floor San Francisco, CA 94111

#### ITEM **CITIZENSHIP** 2(C).

See Row 4 of cover page for each Reporting Person.

#### ITEM TITLE OF CLASS OF SECURITIES 2(D).

Common Stock, \$0.001 par value

#### ITEM **CUSIP NUMBER** 2(E)

338307101

If this statement is filed pursuant to Rule 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

## ITEM

3.

Not applicable.

### ITEM 4. OWNERSHIP

The following information with respect to the ownership of the ordinary shares of the Issuer by the persons filing this Statement is provided as of December 31, 2015:

(a)

Amount beneficially owned:

See Row 9 of cover page for each Reporting Person.

(b)

Percent of Class:

See Row 11 of cover page for each Reporting Person.

CUSIP NO. 338307101 Page 8 of 12 (c) Number of shares as to which such person has: Sole power to vote or to direct the vote: (i) See Row 5 of cover page for each Reporting Person. (ii) Shared power to vote or to direct the vote: See Row 6 of cover page for each Reporting Person. Sole power to dispose or to direct the disposition of: (iii) See Row 7 of cover page for each Reporting Person. (iv) Shared power to dispose or to direct the disposition of: See Row 8 of cover page for each Reporting Person. ITEM OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS 5. Not applicable. ITEM OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON. 6.

Under certain circumstances set forth in the limited partnership agreement of HWVP V and the limited liability company agreement of HWEP V, the partners or members, as the case may be, of each of such entities may be deemed to have the right to receive dividends from, or the proceeds from the sale of, shares of the Issuer directly or indirectly owned by each such entity of which they are a partner or member.

# ITEMIDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE7.SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY

Not applicable.

### 1TEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

Not applicable.

# 9. NOTICE OF DISSOLUTION OF GROUP.

Not applicable.

# 10. ITEM <u>CERTIFICATION</u>.

Not applicable.

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# **SIGNATURES**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 10, 2016

Hummer Winblad Venture Partners V, L.P. By Hummer Winblad Equity Partners V, L.L.C. Its General Partner	/s/ Ingrid Chiavacci Ingrid Chiavacci Attorney-In-Fact
Hummer Winblad Equity Partners V, L.L.C.	/s/ Ingrid Chiavacci Ingrid Chiavacci Attorney-In-Fact
John Hummer	/s/ Ingrid Chiavacci Ingrid Chiavacci Attorney-In-Fact
Ann L. Winblad	/s/ Ingrid Chiavacci Ingrid Chiavacci Attorney-In-Fact
Mitchell Kertzman	/s/ Ingrid Chiavacci Ingrid Chiavacci Attorney-In-Fact

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## EXHIBIT INDEX

Found on

Exhibit	Sequentially Numbered Page
Exhibit A: Agreement of Joint Filing	11
Exhibit B: Power of Attorney	12

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<u>exhibit A</u>

## Agreement of Joint Filing

The Reporting Persons hereby agree that a single Schedule 13G (or any amendment thereto) relating to the common stock of the Issuer shall be filed on behalf of each of the Reporting Persons. Note that a copy of the applicable Agreement of Joint Filing is already on file with the appropriate agencies.

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<u>Exhibit B</u>

Power of Attorney

Note that a copy of the applicable Power of Attorney is already on file with the appropriate agencies.