Edgar Filing: WEST BANCORPORATION INC - Form 4

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WEST BANC Form 4	ORPORATION	INC										
March 22, 201	.6											
FORM	4								OMB AF	PROVAL		
	UNITEDS	FATES S	SECURITIES AND EXCHANGE C Washington, D.C. 20549				GE CO	OMMISSION	OMB Number:	3235-0287		
Check this if no longer subject to Section 16.	ENT OF (ES IN B		IAL (ERSHIP OF	Expires: Estimated a burden hour					
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction 1(b).												
(Print or Type Re	esponses)											
1. Name and Address of Reporting Person * OLAFSON HARLEE2. Issuer Name and Ticker or Trading Symbol5. Relationship of F Issuer						Reporting Person(s) to						
		WEST BANCORPORATION INC [WTBA]				С	(Check all applicable)					
(Last) 1601 22ND S	(N	3. Date of Earliest Transaction (Month/Day/Year) 03/21/2016				Director 10% Owner X_ Officer (give title Other (specify below) CHIEF RISK OFFICER						
									6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
WEST DES MOINES, IA 50266 — Form filed by More than One Reporting Person												
(City)	(State) (Z	ip)	Table I	l - Non-Dei	rivative Sec	urities	s Acqu	ired, Disposed of,	or Beneficiall	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. De (Month/Day/Year) Execut any (Month			3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)			of	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
COMMON				Code V		(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
COMMON STOCK	03/21/2016			А	15,000 (1)	А	\$0	55,366	D			
COMMON STOCK								13,956 <u>(2)</u> <u>(3)</u>	Ι	By 401(k) Plan		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
OLAFSON HARLEE 1601 22ND STREET WEST DES MOINES, IA 50266			CHIEF RISK OFFICER					
Signatures								
Marie I. Roberts, By Power of Attorney		03/22/2016						
<u>**</u> Signature of Reporting Person		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares were acquired pursuant to a grant of restricted stock units which vest in five equal annual installments beginning March 25, 2017.
- (2) 12,614 shares held in 401(k) Plan had previously been reported as direct holdings.
- (3) 1,342 shares acquired pursuant to purchases in the employee savings plan (401(k)) during the year 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.