Edgar Filing: RUBINO MIKE - Form 4

DUDINO MIRE

RUBINO M Form 4	IIKE									
September 2	23, 2010									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB AF	PROVAL	
	UNITED	Washington, D.C. 20549							3235-0287 January 31,	
Check th if no lon subject t Section Form 4 o Form 5	ger o STATEM 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								
obligation may con <i>See</i> Instr 1(b).	ons Section 17(a	a) of the Public	Utility Ho	5(a) of the Securities Exchange Act of 1934, ility Holding Company Act of 1935 or Section vestment Company Act of 1940						
(Print or Type	Responses)									
RUBINO MIKE S			2. Issuer Name and Ticker or Trading Symbol A123 SYSTEMS, INC. [AONE]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (M	Iiddle) 3. Dat	e of Earliest 7	Fransaction			(Check	x all applicable)	
C/O A123 SYSTEMS, INC., 321 ARSENAL STREET			(Month/Day/Year) 09/21/2010				Director 10% Owner X Officer (give title Other (specify below) Chief Financial Officer			
Filed(Mo			Amendment, I Month/Day/Ye	-	al		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
WATERIC	OWN, MA 02472						Person			
(City)	(State) ((Zip) T	able I - Non-	Derivative	Secu	rities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Yea	Code (Instr. 8)		ispose 4 and (A) or	ed of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	09/21/2010		Code V M	⁷ Amount 5,000	(D) A	Price \$ 0.21	24,783	D		
Stock Common Stock	09/21/2010		S <u>(1)</u>	5,000	D	\$ 9.4554 (<u>3)</u>	19,783	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: RUBINO MIKE - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 0.21	09/21/2010		М	5,000	07/26/2005 <u>⁽²⁾</u>	08/26/2014	Common Stock	5,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
RUBINO MIKE C/O A123 SYSTEMS, INC. 321 ARSENAL STREET WATERTOWN, MA 02472			Chief Financial Officer				
Signatures							
/s/ Eric J. Pyenson, attorney-in-fact		09/21/2010					

Date

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.
- (2) 25% of the shares subject to the option vest on this date, and 6.25% of the shares subject to the option vest quarterly thereafter until the option is fully vested.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$9.14 to \$9.76, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities

(3) to \$2.70, inclusive. The reporting person undertakes to provide to the issuer, any security notice of the issuer, of the scale of the security notice of the issuer, of the scale of the security note of the security note of the scale of the

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.