

OXFORD INDUSTRIES INC

Form 4

December 07, 2012

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LANIER JOHN HICKS

2. Issuer Name **and** Ticker or Trading
Symbol
OXFORD INDUSTRIES INC
[OXM]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
999 PEACHTREE ST NE, SUITE
688

3. Date of Earliest Transaction
(Month/Day/Year)
01/31/2012

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman of the Board & CEO

(Street)
ATLANTA, GA 30309

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	01/31/2012		G	V	206	D	\$ 0	303,415	D	
Common Stock	04/04/2012		G	V	100	D	\$ 0	342,515 ⁽¹⁾	D	
Common Stock	04/09/2012		G	V	4	D	\$ 0	342,511 ⁽¹⁾	D	
Common Stock	06/21/2012		G	V	215	D	\$ 0	342,400 ⁽¹⁾	D	
Common Stock	06/22/2012		G	V	5	D	\$ 0	342,395 ⁽¹⁾	D	

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Common Stock	10/10/2012	G	V	182	D	\$ 0	342,213 ⁽¹⁾	D	
Common Stock	10/12/2012	G	V	46	D	\$ 0	342,167 ⁽¹⁾	D	
Common Stock	10/15/2012	G	V	553	D	\$ 0	341,614 ⁽¹⁾	D	
Common Stock	10/15/2012	G	V	407	D	\$ 0	341,207 ⁽¹⁾	D	
Common Stock	12/05/2012	M		13,000	A	\$ 26.4375	354,207 ⁽¹⁾	D	
Common Stock							260,800 ⁽²⁾	I	By GRAT
Common Stock							66,947 ⁽³⁾	I	By Spouse
Common Stock							581,600 ⁽⁴⁾	I	By Trust
Common Stock							492,477 ⁽⁴⁾	I	Foundation

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 26.4375	12/05/2012		M	13,000	⁽⁵⁾ 08/18/2013	Common Stock 13,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LANIER JOHN HICKS 999 PEACHTREE ST NE SUITE 688 ATLANTA, GA 30309	X	X	Chairman of the Board & CEO	

Signatures

/Suraj A Palakshappa/Attorney-In-Fact for John Hicks
Lanier

12/07/2012

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 39,200 shares previously reported as indirectly beneficially owned through a grantor retained annuity trust but returned to the reporting person's direct beneficial ownership in February 2012 in the form of a scheduled annuity payment.
- (2) Excludes 39,200 shares previously reported as held through a grantor retained annuity trust that were returned to the reporting person's direct beneficial ownership in February 2012 in the form of a scheduled annuity payment.
- (3) Reporting person previously did not report the shares held by his spouse, substantially all of which shares were acquired from family members as gifts prior to January 1, 1985.
- (4) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.
- (5) The option vested in five equal annual installments beginning August 18, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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