

SUPREME INDUSTRIES INC  
Form 4  
June 03, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BARRETT WILLIAM J

2. Issuer Name and Ticker or Trading Symbol  
SUPREME INDUSTRIES INC  
[STS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
P O BOX 6199  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
06/01/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Exec V P and Secretary

FAIR HAVEN, NJ 07704

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |   |                                 |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---|---------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |   |                                 |
| Class A Common Stock            | 06/01/2016                           |  | M                              |   | 12,000  | A  | \$ 2.33 668,186                   | D |                                 |
| Class A Common Stock            | 06/01/2016                           |  | F                              |   | 2,121   | D  | \$ 13.2 666,065                   | D |                                 |
| Class A Common Stock            |                                      |  |                                |   |   |  |                                   | I | By Grantor Trust <sup>(1)</sup> |
| Class A Common Stock            |                                      |  |                                |   |   |  |                                   | I | By Spouse <sup>(2)</sup>        |

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Stock

Class B  
Common Stock 885,999 <sup>(3)</sup> D

Class B  
Common Stock 16,857 <sup>(3)</sup> I By Spouse <sup>(2)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Amount<br>or<br>Number<br>of Shares |
|---|--|---|---|--------------------------------------|--|--|---|--|
|   |  |   |   | Code V (A) (D)                       |  | Date Exercisable Expiration Date                               | Title   |  |
| Incentive Stock Option                              | \$ 2.33  | 06/01/2016                              |   | M                                    | 12,000   | <sup>(4)</sup> 09/30/2017                                      | Class A Common Stock  | 12,000                                 |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |                        |       |
|---|---------------|-----------|------------------------|-------|
|   | Director      | 10% Owner | Officer                | Other |
| BARRETT WILLIAM J<br>P O BOX 6199<br>FAIR HAVEN, NJ 07704 | X             | X         | Exec V P and Secretary |       |

## Signatures

/s/ Julia A. Gardner, Attorney-in-Fact for William J. Barrett 06/03/2016

        \*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person disclaims beneficial ownership of shares owned by grantor trust except to the extent of the pecuniary interest owned by the reporting person and his spouse. Reporting person is the trustee of the grantor trust.
- (2) Reporting Person disclaims beneficial ownership of shares owned by spouse.
- (3) Class B Common Stock is freely convertible on a one-for-one basis into an equal number of shares of Class A Common Stock.
- (4) All options currently exercisable.
- (5) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.