NYSE Group, Inc. Form 4 February 09, 2007

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

| (Print or Type  | Responses)                              |   |                                |   |  |   |          |  |  |
|---|---|---|--------------------------------|---|--|---|----------|--|--|
| 1. Name and Address of Reporting Person * Putnam Gerald D |   | Symbol  |                                | l Ticker or Trading   | 5. Relationship of Reporting Person(s) to Issuer                 |   |          |  |  |
|   |   | NYSE  | Group, In                      | c. [NYX]  | (Chec  | ck all applicable   | ·)       |  |  |
| (Last)  | (First) (N                              | Middle) 3. Date of  | f Earliest Ti                  | ransaction  | •  | **  | ,        |  |  |
| C/O NYSE GROUP, INC., 11<br>WALL STREET                   |   |   | (Month/Day/Year)<br>02/07/2007 |   |  | Director 10% Owner Officer (give title Other (specify below) President and Co-COO |          |  |  |
|   | (Street)                                | 4. If Amo   | endment, Da                    | ate Original  | 6. Individual or Jo  | oint/Group Filin  | g(Check  |  |  |
| NEW YOR   | RK, NY 10005                            | Filed(Mo  | nth/Day/Yea                    | r)  | Applicable Line) _X_ Form filed by 0 Form filed by N Person      | One Reporting Pe<br>More than One Re  |          |  |  |
| (City)  | (State)                                 | (Zip) Tab   | le I - Non-I                   | Derivative Securities Acq   | uired, Disposed o  | f, or Beneficial  | ly Owned |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                      | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code                           | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)              |          |  |  |

| 1.Title of<br>Security<br>(Instr. 3)              | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or Di<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|---|---|--|------------------------------|-------------|--|--|---|
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007                           |   | S                                       | 200 (1)                                | D                            | \$<br>97.02 | 766,057  | I  | See Footnote (2)                                      |
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007                           |   | S                                       | 300 (1)                                | D                            | \$<br>97.04 | 765,757  | I  | See<br>Footnote                                       |
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007                           |   | S                                       | 100 (1)                                | D                            | \$<br>97.13 | 765,657  | I  | See Footnote (2)                                      |

**OMB APPROVAL** 

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| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007 | S | 100 (1) D        | \$<br>97.21 | 765,557            | I | See<br>Footnote  |
|---|------------|---|------------------|-------------|--------------------|---|------------------|
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007 | S | 100 <u>(1)</u> D | \$<br>97.22 | 765,457            | I | See Footnote (2) |
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007 | S | 200 (1) D        | \$ 96.8     | 765,257            | I | See Footnote (2) |
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007 | S | 200 (1) D        | \$ 96.9     | 765,057            | I | See Footnote (2) |
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007 | S | 200 (1) D        | \$<br>96.88 | 764,857            | I | See<br>Footnote  |
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007 | S | 200 (1) D        | \$<br>96.83 | 764,657            | I | See<br>Footnote  |
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007 | S | 100 <u>(1)</u> D | \$<br>96.89 | 764,557            | I | See Footnote (2) |
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007 | S | 100 (1) D        | \$<br>96.91 | 764,457            | I | See<br>Footnote  |
| Common<br>Stock, par<br>value \$0.01<br>per share | 02/07/2007 | S | 300 <u>(1)</u> D | \$<br>96.95 | 764,157 <u>(3)</u> | I | See Footnote (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D  | ate         | Amou    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Under   | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e             |             | Securi  | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities | S             |             | (Instr. | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |               |             |         |          |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |             |         |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |         |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |         |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |         |          |             | · ·    |
|             |             |                     |                    |            | 4, and 5)  |               |             |         |          |             |        |
|             |             |                     |                    |            |            |               |             |         |          |             |        |
|             |             |                     |                    |            |            |               |             |         | Amount   |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |         | or       |             |        |
|             |             |                     |                    |            |            | •             | Date        | Title   | Number   |             |        |
|             |             |                     |                    |            |            |               |             |         | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |         | Shares   |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Putnam Gerald D C/O NYSE GROUP, INC. 11 WALL STREET NEW YORK, NY 10005

President and Co-COO

### **Signatures**

Cornelius M. Courtney under POA dated 4/27/2006

02/09/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares owned by Leiscester Enterprises LLC.
- (2) Mr. Putnam owns a controlling interest in Leiscester Enterprises LLC.

Represents 738,858 shares held through GSP, LLC (Mr. Putnam owns a controlling interest in GSP, LLC), 17,283 shares held through (3) Leicester Enterprises, LLC (Mr. Putnam owns a controlling interest in Leiscester Enterprises, LLC) and 8,016 shares that GSP, LLC gifted to the Putnam Family Foundation.

#### **Remarks:**

This is report 3 of 3 for transactions effected on February 7, 2007.

The sales of shares reported on this Form 4 were made pursuant to a selling plan, dated November 30, 2006, intended to comp Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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