

ALDRIDGE RICHARD D

Form 4

February 01, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ALDRIDGE RICHARD D

2. Issuer Name **and** Ticker or Trading
Symbol
First California Financial Group, Inc.
[FCAL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
3027 TOWNSGATE ROAD, SUITE
300

3. Date of Earliest Transaction
(Month/Day/Year)
01/30/2013

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

WESTLAKE VILLAGE, CA 91361

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.01 par value	01/30/2013		A	1,873 (1)	A \$ 0 414,003 (2)	D	
Common Stock, \$0.01 par value					14,604	I	By Spouse
Common Stock, \$0.01 par					51,673 (3)	I	As Co-Trustee for the

value				James O. Birchfield 1995 Trust FBO Shane O. Birchfield,
Common Stock, \$0.01 par value	51,673 ⁽³⁾	I		As Co-Trustee for the James O. Birchfield 1995 Trust FBO Garrett W. Birchfield
Common Stock, \$0.01 par value	51,673 ⁽³⁾	I		As Co-Trustee for the James O. Birchfield 1995 Trust FBO Tenisha M. Fitzgerald
Common Stock, \$0.01 par value	51,673 ⁽³⁾	I		As Co-Trustee for the James O. Birchfield 1995 Trust FBO Brian J. Aldridge
Common Stock, \$0.01 par value	300,000 ⁽³⁾	I		As Manager of Banyon, LLC
Common Stock, \$0.01 par value	52,469 ⁽³⁾	I		Brian J. Aldridge 1991 Trust, of which the Reporting Person's Spouse is the sole Trustee
Common Stock, \$0.01 par	70,180 ⁽³⁾	I		Tenisha M. Aldridge 1991 Trust,

value

of which the
Reporting
Person's
Spouse is the
sole TrusteeCommon
Stock,
\$0.01 par
value180,982 ⁽³⁾ IAs Trustee
of the
Aldridge
Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**SEC 1474
(9-02)**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address**Relationships**

Director 10% Owner Officer Other

ALDRIDGE RICHARD D
3027 TOWNSGATE ROAD, SUITE 300
WESTLAKE VILLAGE, CA 91361

X

Signatures

/s/ Romolo Santarosa,
Attorney-in-Fact

02/01/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents restricted stock that will vest in one-third increments annually on each of January 30, 2014, 2015 and 2016.

Includes previously reported 3,597 shares of restricted stock that will vest in one-third increments annually on each of January 25, 2013,

(2) 2014 and 2015, 3,969 shares of restricted stock that vest in one-third increments annually on each of March 16, 2012, 2013 and 2014 and 3,045 shares of restricted stock that vest in one-third increments annually on each of February 25, 2010, 2011 and 2012.

(3) The reporting person disclaims beneficial ownership of these shares, and this report shall not be deemed an admission that the reporting person is a beneficial owner of such shares for the purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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