

ALDRIDGE RICHARD D

Form 4

May 31, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALDRIDGE RICHARD D

2. Issuer Name **and** Ticker or Trading
Symbol
First California Financial Group, Inc.
[FCAL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
3027 TOWNSGATE ROAD, SUITE
300

3. Date of Earliest Transaction
(Month/Day/Year)
05/31/2013

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

WESTLAKE VILLAGE, CA 91361

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock, \$0.01 par value	05/31/2013		D		414,003	D	11	0	D	
Common Stock, \$0.01 par value	05/31/2013		D		14,604	D	11	0	I	By Spouse
Common Stock, \$0.01 par	05/31/2013		D		51,673	D	11	0	I	As Co-Trustee for the

value								James O. Birchfield 1995 Trust FBO Shane O. Birchfield
Common Stock, \$0.01 par value	05/31/2013	D	51,673	D	<u>(1)</u>	0	I	As Co-Trustee for the James O. Birchfield 1995 Trust FBO Garrett W. Birchfield
Common Stock, \$0.01 par value	05/31/2013	D	51,673	D	<u>(1)</u>	0	I	As Co-Trustee for the James O. Birchfield 1995 Trust FBO Tenisha M. Fitzgerald
Common Stock, \$0.01 par value	05/31/2013	D	51,673	D	<u>(1)</u>	0	I	As Co-Trustee for the James O. Birchfield 1995 Trust FBO Brian J. Aldridge
Common Stock, \$0.01 par value	05/31/2013	D	300,000	D	<u>(1)</u>	0	I	As Manager of Banyon, LLC
Common Stock, \$0.01 par value	05/31/2013	D	52,469	D	<u>(1)</u>	0	I	Brian J. Aldridge 1991 Trust, of which the Reporting Person's Spouse is the sole Trustee
Common Stock,	05/31/2013	D	70,180	D	<u>(1)</u>	0	I	Tenisha M. Aldridge

Edgar Filing: ALDRIDGE RICHARD D - Form 4

\$0.01 par value

1991 Trust, of which the Reporting Person's Spouse is the sole Trustee

Common Stock, \$0.01 par value

05/31/2013

D

180,982

D

11

0

I

As Trustee of the Aldridge Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Option to Buy	\$ 11.73	05/31/2013		D	3,581	03/01/2014 03/01/2014	Common Stock	3,581

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

ALDRIDGE RICHARD D
3027 TOWNSGATE ROAD, SUITE 300
WESTLAKE VILLAGE, CA 91361

X

Signatures

/s/ Romolo Santarosa,
Attorney-in-Fact

05/31/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each share has been converted into the right to receive 0.2966 shares of PacWest Bancorp ("PacWest") common stock as specified in the
- (1) Agreement and Plan of Merger, dated as of November 6, 2012, between PacWest and First California Financial Group, Inc. (the "Merger Agreement").
 - (2) Each outstanding option was cancelled and converted into the right to receive, subject to any required tax withholding, an amount of cash equal to the excess (if any) of \$8.00 over the exercise price as specified in the Merger Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.