## Edgar Filing: LIME ENERGY CO. - Form 4

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Form 4	JI CO.								
January 02, 20	014								
FORM	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION					OMB APPROVAL			
-	UNITED 5		Vashington,				OMB Number:	3235-0287	
Check this							Expires:	January 31,	
if no longer subject to Section 16. Form 4 or			F CHANGES IN BENEFICIAL OWNERSHIP OF				Estimated a	2005 average	
			SECUR	SECURITIES				burden hours per	
Form 5						ge Act of 1934,	response		
obligations may contin	<sup>8</sup> Section $17(a)$					of 1935 or Section	n		
See Instruc		30(h) of the	Investment	Company	Act of 19	40			
1(b).									
(Print or Type Ro	esponses)								
1. Name and Address of Reporting Person2. IssuHurvis John ThomasSymbol			Issuer Name <b>and</b> Ticker or Trading			5. Relationship of Reporting Person(s) to Issuer			
		-	E ENERGY	CO. [LIN	1E]	(Check all applicable)			
(Last)	(First) (M	iddle) 3. Dat	e of Earliest Tr	ansaction		(Cneo	ck all applicable	e)	
4065 COMMERCIAL AVENUE (Month 12/30			Month/Day/Year)			DirectorX 10% Owner Officer (give title Other (specify			
			/2013			below) below)			
			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
		Filed()	Month/Day/Year	)		Applicable Line)	One Reporting Pe	rson	
NORTHBRO	OOK, IL 60062					_X_ Form filed by Person			
(City)	(State) (Z	Zip) T	able I - Non-D	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	Code	<ul> <li>4. Securities</li> <li>actionAcquired (A) or</li> <li>Disposed of (D)</li> <li>.8) (Instr. 3, 4 and 5)</li> </ul>		5. Amount of Securities Beneficially Owned Following Benerted	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			<b>a</b> .		(A) or	Reported Transaction(s) (Instr. 3 and 4)			
Common			Code V	Amount	(D) Price		Ŧ	D (1)	
Stock						11,368	Ι	By trust $(1)$	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Dat (Month/Day/Y			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares	
Warrant	\$ 2.83	12/30/2013		Р	282,686	12/30/2013	12/30/2018	Common Stock	282,68	
Series B Preferred Stock (2)	\$ 2.83	12/30/2013		Р	200,000	12/30/2013	(2)	Common Stock	706,71	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of the state ( state of	Director	10% Owner	Officer	Other		
Hurvis John Thomas 4065 COMMERCIAL AVENUE NORTHBROOK, IL 60062		Х				
John Thomas Hurvis Revocable Trust 4065 COMMERCIAL AVENUE NORTHBROOK, IL 60062		Х				
Signatures						
/s/ John Thomas Hurvis	12/31/20	)13				
**Signature of Reporting Person	Date					
/s/ John Thomas Hurvis, as trustee	12/31/20	)13				
**Signature of Reporting Person	Date					

**Explanation of Responses:** 

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All of the shares of Common Stock, Warrants and Preferred Stock are owned directly by The John Thomas Hurvis Revocable Trust dated March 8, 2002 (the "Trust") and indirectly by Mr. Hurvis who serves as the sole trustee of the Trust.

The Series B Preferred Stock accrues dividends at a rate of 12.5% per annum of the original issue price of \$2,000,000, payable

(2) semi-annually in arrears on each June 30 and December 31 in additional shares of Series B Preferred Stock or, in the sole discretion of the Board of Directors of Lime Energy Co., in cash. The Series B Preferred Stock has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.