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SUPERIOR INDUSTRIES INTERNATIONAL INC

Form 4

November 04, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB 3235-0287

Number:

Expires:

January 31, 2005

0.5

Estimated average

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * **BORICK STEVEN J**

(First)

2. Issuer Name and Ticker or Trading

Symbol

SUPERIOR INDUSTRIES

5. Relationship of Reporting Person(s) to

Issuer

INTERNATIONAL INC [SUP]

3. Date of Earliest Transaction (Month/Day/Year)

_X__ 10% Owner Director __ Other (specify Officer (give title

(Check all applicable)

2707 KIPLING STREET 10/31/2014

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

(Street)

HOUSTON, TX 77098

(City)	(State)	(Zip) Tab	ole I - Non-	-Derivative Secu	ırities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities A oror Disposed of (Instr. 3, 4 and (A) or Amount (D)	(D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/31/2014		M	16,006 A	\$ 17.56	16,106	D	
Common Stock	10/31/2014		S	16,006 D	\$ 19.6061 (1)	100	D	
Common Stock	11/03/2014		M	41,430 A	\$ 17.56	41,530	D	
Common Stock	11/03/2014		S	41,430 D	\$ 19.2498 (2)	100	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisal Expiration Date (Month/Day/Yea		7. Title and 2 Underlying \$ (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (Righ to Buy)	\$ 17.56	10/31/2014		M	16,006	5 11/02/2006 <u>(3)</u>	08/09/2016	Common Stock	16,000
Stock Option (Righ to Buy)	\$ 17.56	11/03/2014		M	35,599	11/02/2006(3)	08/09/2016	Common Stock	35,599
Stock Option (Righ to Buy)	\$ 17.56	11/03/2014		M	5,831	11/02/2006 <u>(3)</u>	08/09/2016	Common Stock	5,831

Reporting Owners

Reporting Owner Name / Address	Relationships				
1 8	Director	10% Owner	Officer	Other	
BORICK STEVEN J 2707 KIPLING STREET HOUSTON, TX 77098		X			

Signatures

/s/ Steven J. Borick	11/03/2014		
**Signature of Reporting Person	Date		

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the weighted average sales price. The shares were sold at prices ranging from \$19.55 to \$19.69 per share. Full information (1) regarding the number of shares sold at each price shall be provided to the Securities and Exchange Commission staff (the Staff), Issuer, or a security holder of Issuer, upon request.
 - Represents the weighted average sales price. The shares were sold at prices ranging from \$19.20 to \$19.44 per share. Full information
- (2) regarding the number of shares sold at each price shall be provided to the Securities and Exchange Commission staff (the Staff), Issuer, or a security holder of Issuer, upon request.
- (3) These options vested 25% on August 9 2007, 25% on August 9, 2008, 25% on August 9, 2009 and 25% on August 9, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.