FARRELL THOMAS F II

Form 4 May 21, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

Common

Stock

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading FARRELL THOMAS F II Symbol

(First)

(State)

05/17/2018

ALTRIA GROUP, INC. [MO]

3. Date of Earliest Transaction (Month/Day/Year)

6601 WEST BROAD STREET 05/17/2018

(Middle)

(Zip)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

X_ Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

RICHMOND, VA 23230

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price 3,165

(1)

A

\$0

73,068 (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. Numbe				7. Title and Amount of Underlying Securities	
Security (Instr. 3)	or Exercise Price of Derivative Security	(any (Month/Day/Year)	Code (Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	e (Month/Day		(Instr. 3 and		Derivativ Security (Instr. 5)
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	(3)	05/17/2018		A	316 (4)	<u>(5)</u>	(5)	Common Stock	316	\$ 55.3

Reporting Owners

Reporting Owner Name / Address	Relationships						
·F···	Director	10% Owner	Officer	Other			
FARRELL THOMAS F II 6601 WEST BROAD STREET RICHMOND, VA 23230	X						

Signatures

W. Hildebrandt Surgner, Jr. for Thomas F. Farrell II

05/21/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Deferred stock awarded under the 2015 Stock Compensation Plan for Non-Employee Directors.
- Includes 70,568 deferred shares held in the Stock Compensation Plan for Non-Employee Directors, including an increase of 728 shares acquired through the reinvestment of dividends and one share as a result of the accumulation of fractional shares since March 30, 2018, the date of the last reportable transaction.
- (3) Phantom stock units convert to the cash value of the issuer's common stock on a 1-for-1 basis.
- (4) These units were acquired on May 17, 2018 pursuant to the Deferred Fee Plan for Non-Employee Directors.
- (5) The participant will receive distributions of phantom stock units in cash either prior to or following termination of service as a member of the issuer's board of directors, as elected by the participant.
- (6) The average of the high and low price of Altria Group, Inc. common stock on May 17, 2018.
- Share equivalents held in the Deferred Fee Plan for Non-Employee Directors. This total includes the May 17, 2018 acquisition of 316 (7) share equivalents under the Deferred Fee Plan for Non-Employee Directors and 317 share equivalents acquired through the reinvestment of dividends since March 30, 2018, the date of the last reportable transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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