Edgar Filing: ESSES STEVEN D - Form 5

Form 5								
February 14, 2012								
FORM 5			OMB AF	PPROVAL				
UNITED STATE	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							
Check this box if no longer subject								
5 obligations may continue.								
See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported Form 4 30(h) of the Investment Company Act of 1940 Transactions Reported								
1. Name and Address of Reporting Person <u>*</u> ESSES STEVEN D	2. Issuer Name and Ticker or Trading Symbol AROTECH CORP [ARTX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2011	X Director X Officer (give below)	10%	o Owner er (specify				
1133 EAST 22ND STREET		/	dent and COO					
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting						
BROOKLYN, NY 11210		(check	applicable line)					
		X Form Filed by C Form Filed by M Person						

(City)	(State) (Zip) Table	e I - Non-Deri	ivative Sec	uritie	s Acqui	ired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquirec Disposec (Instr. 3, Amount	l (A) o l of (D 4 and (A) or)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	Â	836,641 <u>(1)</u>	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

ESSES STEVEN D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 11.62	Â	Â	Â	Â	Â	07/22/2003	07/22/2012	Common Stock	595
Stock Option (right to buy)	\$ 11.62	Â	Â	Â	Â	Â	07/22/2004	07/22/2012	Common Stock	595
Stock Option (right to buy)	\$ 11.62	Â	Â	Â	Â	Â	07/22/2005	07/22/2012	Common Stock	595
Stock Option (right to buy)	\$ 8.54	Â	Â	Â	Â	Â	12/31/2003	12/31/2012	Common Stock	238
Stock Option (right to buy)	\$ 8.54	Â	Â	Â	Â	Â	12/31/2004	12/31/2012	Common Stock	238
Stock Option (right to buy)	\$ 8.54	Â	Â	Â	Â	Â	12/31/2005	12/31/2012	Common Stock	238

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
ESSES STEVEN D 1133 EAST 22ND STREET BROOKLYN, NY 11210	X	Â	President and COO	Â				

8. D Se (I

Signatures

/s/ Steven Esses

02/14/2012

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes (i) 83,889 unvested restricted shares, 8,889 of which vest on the basis of criteria that had not yet been finalized as of the date of
 (1) the filing of this report, and 50,000 of which vest subject to future performance criteria to be established, and (ii) 273,973 shares issued to a trust and to be held in such trust until such time as Mr. Esses shall be entitled to payment of his severance package.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.