Edgar Filing: ELECTRIC CITY CORP - Form 4

Form 4 January 04, 2006 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. Sec Istinuction 30(h) of the Investment Company Act of 1935 or Section 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2 ASPLUND DAVID R Subject (1) Subject		CITY CORP												
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Washington, D.C. 20549 OMB MEPROVAL Without the comment of the longer section 16. OMB APPROVAL Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES State average burden hours per section 16. State Interview Section 17.(a) of the Public Utility Holding Company Act of 1935, or Section 17.(a) of the Public Utility Holding Company Act of 1940 State average burden hours per section 17.(a) of the Public Utility Holding Company Act of 1935, or Section 1.(b). State Interview Section 17.(a) of the Public Utility Holding Company Act of 1940 State Interview Section 17.(a) of the Public Utility Holding Company Act of 1940 State Interview Section 17.(a) of the Public Utility Holding Company Act of 1940 State Interview Section 17.(a) of the Public Utility Holding Company Act of 1940 State Interview Section 17.(a) of the Public Utility Holding Company Act of 1940 (Print or Type Response) 1. Name and Ticker or Trading Symbol State Of Earlies Transaction Interview Interview State Of Earlies Transaction Interview State Interview Interview Interview State Interview Interview Interview State Interview Interview Interview State Interview Interview Interview State Interview Interview Interview Interview State Interview Interview Interview Interview State Interview Interview Interview Interview State Interview Interview Interview Interview State Interview Interview State Interview Interview<	Form 4	2006												
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Washington, D.C. 20549 Number: 3225-0287 Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January 31, 2005 Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1934, of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol ELECTRIC CITY CORP [ELC] S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X_Director below) 10% Owner (Stores) 4. If Amendment, Date Original Filed/Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Into Securities -X_Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security 2. Transaction Date (Month/Day/Year) 3. 4. Securities Transaction(s) (Do Price 5. Amount of Indirect 6. Ownership Form. Direct Indirect (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	FORM	14 UNITED	STATES	SECU	DITIE	2 1	ND FY	СПАР	NCE	COMMISSIO	T	PPROVA	L	
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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Pri
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date	Underlying Securities	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		(Inst

number.

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	Derivative Security				Securitic Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	d d			
			Code	v	(A) (D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Electric City Corp. Series E Convertible Preferred Stock	\$ 100	12/31/2005	J <u>(1)</u>		51	12/31/2005	<u>(2)</u>	Electric City Corp. Common Stock	5,100

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
ASPLUND DAVID R 1280 LANDMEIER RD ELK GROVE VILLAGE, IL 60007	Х							
Signatures								
Tammy L. Koeller, by power of attorney		01/04/2006						
**Signature of Reporting Person		Date						
E I I' (D								

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents quarterly dividends on Electric City Corp. Series E Convertible Preferred Stock paid in additional shares of Series E Convertible Preferred Stock is convertible into 100 shares of common stock.

(2) Conversion rights fo not expire.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.