NOMURA HOLDINGS INC Form 6-K August 09, 2004 Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934

Commission File Number: 1-15270

Supplement for the month of August 2004.

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

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(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.
Form 20-F <u>X</u> Form 40-F
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):
Indicate by check mark whether by furnishing the information contained in this Form, the registrant is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.
Yes NoX
If Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-

Information furnished on this form:

EXHIBIT

Exhibit Number

1. [Amendment to the Supplementary Information attached to the Financial Information for the Year Ended March 31, 2004 and Three Months Ended June 30, 2004]

Date: August 9, 2004

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

By: /s/ Hiroshi Tanaka

Hiroshi Tanaka Senior Managing Director

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Table of Contents Tokyo, 9 August 2004 Amendment to the Supplementary Information attached to the Financial Information for the Year Ended March 31, 2004 and Three Months Ended June 30, 2004 We have amended the Supplementary Information attached to the Financial Information for the Year Ended March 31, 2004 and Three Months Ended June 30, 2004. The changes are underlined below. **Amendments** Financial Summary of Nomura Securities Co., Ltd. For the Year Ended March 31, 2004 Supplementary Information 4. Underwriting, Subscription, and Distribution (p.47) Financial Summary of Nomura Securities Co., Ltd. For the Three Months Ended June 30, 2004 Supplementary Information 4. Underwriting, Subscription, and Distribution (p.20) Please see [Attachment] for details.

[Attachment]

For the Year Ended March 31, 2004

(Before Amendment)

4. Underwriting, Subscription, and Distribution

(Millions of shares or yen except percentages)

	Year Ended March 31, 2004 (A)	Year Ended March 31, 2003 (B)	Comparison (A-B)/(B)(%)
Underwriting			
Stocks (number of shares)	652	191	241.0%
(yen amount)	775,448	503,603	25.8
Bonds (face value)	7,388,910	5,710,311	29.4
Investment trust certificates (yen amount)			
Commercial paper and others (face value)	504,200	757,500	-33.4
Subscription and Distribution*			
Stocks (number of shares)	1,014	1,486	-31.7
(yen amount)	865,546	607,806	42.4
Bonds (face value)	1,756,227	1,840,377	-4.6
Investment trust certificates (yen amount)	13,661,810	11,905,684	14.8
Commercial paper and others (face value)	504,200	757,500	-33.4

^{*} Includes secondary offering and private placement.

(Amended)

4. Underwriting, Subscription, and Distribution

(Millions of shares or yen except percentages)

Year Ended	Year Ended	Comparison
March 31, 2004 (A)	March 31, 2003 (B)	(A-B)/(B)(%)

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Underwriting			
Stocks (number of shares)	652	191	241.0%
(yen amount)	775,448	503,603	54.0
Bonds (face value)	7,388,910	5,710,311	29.4
Investment trust certificates (yen amount)			
Commercial paper and others (face value)	504,200	757,500	-33.4
Subscription and Distribution*			
Stocks (number of shares)	1,014	1,486	-31.7
(yen amount)	865,546	607,806	42.4
Bonds (face value)	2,185,971	1,840,377	18.8
Investment trust certificates (yen amount)	13,661,810	11,905,684	14.8
Commercial paper and others (face value)	504,200	757,500	-33.4

^{*} Includes secondary offering and private placement.

For the Three Months Ended June 30, 2004

(Before Amendment)

4. Underwriting, Subscription, and Distribution

(Millions of shares or yen except percentages)

	Three Months Ended				
	June 30, 2004 (A)	June 30, 2003 (B)	Comparison (A-B)/(B)(%)	Year Ended March 31, 2004 (C)	Comparison (A*4-C)/(C)(%)
Underwriting					
Stocks (number of shares)	31	44	-29.6%	652	-80.9%
(yen amount)	111,260	46,446	139.5	775,448	-42.6
Bonds (face value)	1,865,502	1,544,231	20.8	7,388,910	1.0
Investment trust certificates (yen amount)					
Commercial paper and					
others (face value)	107,500	134,700	-20.2	504,200	-14.7
Subscription and Distribution*					
Stocks (number of shares)	373	50	643.9	1,014	47.0
(yen amount)	138,909	49,404	181.2	865,546	-35.8
Bonds (face value)	205,565	501,409	-59.0	1,756,227	-53.2
Investment trust certificates					
(yen amount)	3,911,254	3,133,883	24.8	13,661,810	14.5
Commercial paper and others (face value)	107,500	134,700	-20.2	504,200	-14.7

^{*} Includes secondary offerings and private placements.

(Amended)

4. Underwriting, Subscription, and Distribution

(Millions of shares or yen except percentages)

Three Months Ended	Comparison	Year Ended	Comparison
	(A-B)/(B)(%)	March 31, 2004 (C)	(A*4-C)/(C)(%)

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June 30, 2004 (A) June 30, 2003 (B)						
Stocks (number of shares) 31 44 -29.6% 652 (yen amount) 111,260 46,446 139.5 775,448 Bonds (face value) 1,865,502 1,544,231 20.8 7,388,910 Investment trust certificates (yen amount) Commercial paper and others (face value) 107,500 134,700 -20.2 504,200 Subscription and Distribution* Stocks (number of shares) 373 50 643.9 1,014 (yen amount) 138,909 49,404 181.2 865,546 Bonds (face value) 653,116 501,409 30.3 2,185,971 Investment trust certificates (yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and Bonds (face value) 3,911,254 3,133,883 24.8 13,661,810		June 30, 2004 (A)	June 30, 2003 (B)			
(yen amount) 111,260 46,446 139.5 775,448 Bonds (face value) 1,865,502 1,544,231 20.8 7,388,910 Investment trust certificates (yen amount) (yen amount) (yen amount) Commercial paper and others (face value) 107,500 134,700 -20.2 504,200 Subscription and Distribution* 50 643.9 1,014 (yen amount) 138,909 49,404 181.2 865,546 Bonds (face value) 653,116 501,409 30.3 2,185,971 Investment trust certificates (yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and	Underwriting					
Bonds (face value) 1,865,502 1,544,231 20.8 7,388,910 Investment trust certificates (yen amount) Commercial paper and others (face value) 107,500 134,700 -20.2 504,200 Subscription and Distribution* Stocks (number of shares) 373 50 643.9 1,014 (yen amount) 138,909 49,404 181.2 865,546 Bonds (face value) 653,116 501,409 30.3 2,185,971 Investment trust certificates (yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and	Stocks (number of shares)	31	44	-29.6%	652	
Investment trust certificates (yen amount) Commercial paper and others (face value) 107,500 134,700 -20.2 504,200 Subscription and Distribution* Stocks (number of shares) 373 50 643.9 1,014 (yen amount) 138,909 49,404 181.2 865,546 Bonds (face value) 653,116 501,409 30.3 2,185,971 Investment trust certificates (yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and	(yen amount)	111,260	46,446	139.5	775,448	
(yen amount) Commercial paper and others (face value) 107,500 134,700 -20.2 504,200 Subscription and Distribution* Stocks (number of shares) 373 50 643.9 1,014 (yen amount) 138,909 49,404 181.2 865,546 Bonds (face value) 653,116 501,409 30.3 2,185,971 Investment trust certificates (yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and	Bonds (face value)	1,865,502	1,544,231	20.8	7,388,910	
others (face value) 107,500 134,700 -20.2 504,200 Subscription and Distribution* Stocks (number of shares) 373 50 643.9 1,014 (yen amount) 138,909 49,404 181.2 865,546 Bonds (face value) 653,116 501,409 30.3 2,185,971 Investment trust certificates (yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and						
Distribution* Stocks (number of shares) 373 50 643.9 1,014 (yen amount) 138,909 49,404 181.2 865,546 Bonds (face value) 653,116 501,409 30.3 2,185,971 Investment trust certificates (yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and	Commercial paper and others (face value)	107,500	134,700	-20.2	504,200	
(yen amount) 138,909 49,404 181.2 865,546 Bonds (face value) 653,116 501,409 30.3 2,185,971 Investment trust certificates (yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and	*					
Bonds (face value) 653,116 501,409 30.3 2,185,971 Investment trust certificates (yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and	Stocks (number of shares)	373	50	643.9	1,014	
Investment trust certificates (yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and	(yen amount)	138,909	49,404	181.2	865,546	
(yen amount) 3,911,254 3,133,883 24.8 13,661,810 Commercial paper and	Bonds (face value)	653,116	501,409	30.3	2,185,971	
Commercial paper and	Investment trust certificates					
	(yen amount)	3,911,254	3,133,883	24.8	13,661,810	
		107,500	134,700	-20.2	504,200	

^{*} Includes secondary offerings and private placements.

Ends

For further information please contact:

Name	Company	Telephone
Shigeki Fujitani	Nomura Securities Co., Ltd	81-3-3211-1811
	Managing Director, Controller s Dept	

Notes to editors:

The Nomura Group

Nomura Group, with its core businesses of the securities and related businesses, is dedicated to providing a broad range of financial services for individual, institutional, corporate and government customers. We offer a diverse line of competitive products and value-added financial and advisory services through the 129 domestic branch offices of Nomura Securities Co., Ltd. and our overseas network that combines offices in 28 countries. Our business activities include investment consultation services for domestic retail investors, securities brokerage services, securities underwriting for domestic and foreign governments and corporations, mergers and acquisition and financial advisory services, merchant banking, and asset management for investment trusts and pension funds.