BIOZONE PHARMACEUTICALS, INC.

Form 144

October 08, 2013

OMB APPROVAL OMB Number 235-0101 ExpirEcbruary 28, 2014 Estimated average burden hours per response 1.00

UNITED STATES

SEC USE ONLY

DOCUMENT SEQUENCE NO.

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 **CUSIP NUMBER** 

WORK LOCATION

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

**ATTENTION:** Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

1(a) NAME OF ISSUER (Please type or print)

(b) IRS IDENT. NO. (c) S.E.C. FILE NO.

Biozone Pharmaceuticals, Inc.

STREET CITY **STATE**  20-5978559 **ZIP CODE** 

(e) TELEPHONE NO.

1(d) ADDRESS OF ISSUER

Englewood Cliffs NJ

201

55 Sylvan Avenue, Suite 101

07632

**NUMBER** 608-5101

2(a) NAME OF PERSON FOR WHOSE ACCOUNT

(b) RELATIONSHIP TO:) (ADDYRESSTATE

AREA CODE

THE SECURITIES ARE TO BE SOLD

**ISSUER** 

**STREET** 

ZIP CODE

OPKO Health, Inc.

10% Owner

FL Miami

33137

4400 Biscayne

Blvd.

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

SEC

3(a)	<i>(b)</i>	USE ONI	$(\mathbf{V}_{c})$	(d) (e)	<i>(f)</i>	<i>(g)</i>
Title of the	, ,		, ,	Auggs Nguttber of Sh	•	Name of Each
Class of Na	me and Address of Each B Through Whom	rok <b>e</b> rile Number	or r Other	Market Other Ur Value	nits Date of Sale	Securities
Securities	im ough whom	Number	Units		ng (See instr. 3(f))	Exchange
	the Securities are				<b>3</b> //	8
To Be Sold t	to be Offered or Each Mar	ket	To Be	(See	(MO. DAY YR.)	(See instr. $3(g)$ )
		<b>Sold</b> (See instr. 3(d))instr.				
	Maker who is			3(e))		
	Acquiring the Securities		(See instr. 3(c))			
Common	Fidelity Brokerage		701,000	350,50070,111,32	5 10/8/13	OTC BB
	Services, LLC					
	100 Summer Street					
	Boston, MA 02110					

#### **INSTRUCTIONS:**

- 1. (a) Name of issuer
  - (b) Issuer s I.R.S. Identification Number
  - (c) Issuer s S.E.C. file number, if any
  - (d) Issuer s address, including zip code
  - (e) Issuer s telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person s address, including zip code
- 3. (a) Title of the class of securities to be sold
  - (b) Name and address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

#### TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of Date you Name of Person from Whom Acquired Date of Nature of Amount of **Payment** 

the Class Acquired ature of Acquisition Truffs aiftionless give date donor acsociation Acquired ayment

Warrant Conversion 7,650,000 Common 7/3/12 Issuer 7/3/12 Cashless Exercise

**INSTRUCTIONS:** If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

# TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Amount of Seller Title of Securities Sold Date of Sale Securities Sold **Gross Proceeds** 

### **REMARKS:**

#### **INSTRUCTIONS: ATTENTION:**

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any

other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

October 8, 2013

/s/ Steven D. Rubin

DATE OF NOTICE

(SIGNATURE)

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)