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NOMURA HOLDINGS INC Form 6-K May 13, 2016 Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of May 2016

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

| Indicate by check mark who | ether the registrant files or will file | e annual reports under cover Form 20-F or Form 40-F |
|---|---|---|
| | Form 20-F <u>X</u> | Form 40-F |
| Indicate by check mark if the 101(b)(1): | ne registrant is submitting the For | m 6-K in paper as permitted by Regulation S-T Rule |
| Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): | | |

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from April 1, 2016 to April 30, 2016

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: May 13, 2016 By: /s/ Hajime Ikeda

Hajime Ikeda

Senior Managing Director

[Translation of Share Buyback Report for the reporting month from April 1, 2016 to April 30, 2016

filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on May 13, 2016]

Class of Shares: Common Stock

1. Status of repurchase

- (1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders Not applicable
- (2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

| Authorization pursuant to the resolution of the Board (April 27, 2016) | Number of Shares | Total Repurchase Amount (JPY) |
|---|----------------------|-------------------------------|
| (Period of repurchase: from May 18, 2016 to July 22, 2016) Repurchases during this reporting month (Date of repurchase) | 35,000,000 (Date) | 20,000,000,000 |
| Total Aggregate shares repurchased as of the end of this reporting month | 0 | 0 |
| Progress of share repurchase (%) | 0.0 | 0.0 |

2. Status of disposition

| | Number of shares disposed | as of April 30, 2016 Aggregate amount of |
|--|---------------------------|---|
| | in the reporting month | disposition (JPY) |
| Treasury shares disposed subject to procedures for issuance of | | _ |
| new shares | (Date) | |
| Subtotal | | |
| Treasury shares canceled | (Date) | |
| Subtotal | | |
| Treasury shares transferred upon merger, share exchange or | | |
| demerger | (Date) | |
| Subtotal | | |

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| Other (upon request for purchasing | less-than-a-full-unit shares) | (Date) | | |
|--|--------------------------------|-----------|-----------|-----------|
| | | April 25 | 53 | 25,991 |
| Subtotal | | 7 Ipin 23 | 53 | 25,991 |
| Other (exercise of stock acquisition rights) | | (Date) | | |
| | | April 1 | 154,900 | 154,900 |
| | | April 4 | 266,600 | 266,600 |
| | | April 5 | 73,800 | 73,800 |
| | | April 6 | 75,800 | 75,800 |
| | | April 8 | 92,600 | 92,600 |
| | | April 11 | 15,700 | 15,700 |
| | | April 12 | 68,100 | 68,100 |
| | | April 13 | 61,200 | 61,200 |
| | | April 14 | 13,200 | 13,200 |
| | | April 15 | 6,200 | 6,200 |
| | | April 18 | 42,600 | 42,600 |
| | | April 20 | 96,600 | 96,600 |
| | | April 21 | 436,700 | 436,700 |
| | | April 22 | 875,300 | 875,300 |
| | | April 25 | 257,000 | 257,000 |
| | | April 26 | 318,600 | 318,600 |
| | | April 27 | 221,100 | 221,100 |
| | | April 28 | 35,400 | 35,400 |
| Subtotal | | | 3,111,400 | 3,111,400 |
| Total | | | 3,111,453 | 3,137,391 |

3. Status of shares held in treasury

| | as of April 30, 2016 |
|--------------------------------------|----------------------|
| As of the end of the reporting month | Number of Shares |
| Total number of issued shares | 3,822,562,601 |
| Number of shares held in treasury | 209,930,416 |