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NOMURA HOLDINGS INC Form 6-K October 03, 2016 Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of October 2016

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

 $(Address\ of\ principal\ executive\ offices)$

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.
Form 20-F <u>X</u> Form 40-F
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. Nomura Announces Status of Share Buyback Program from Market

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: October 3, 2016 By: /s/ Hajime Ikeda

Hajime Ikeda

Senior Managing Director

Nomura Announces Status of Share Buyback Program from Market

Tokyo, October 3, 2016 Nomura Holdings, Inc. today announced the status of its ongoing share buyback program resolved at a meeting of the Board of Directors held on July 28, 2016, pursuant to the company s articles of incorporation set out in accordance with Article 459-1 of the Companies Act of Japan.

Details of share buyback

1. Type of shares Nomura Holdings common shares

Number of shares repurchased
Aggregate purchase amount
7,528,354,500 yen

4. Purchase period
5. Method of repurchase
September 1, 2016, to September 30, 2016
Purchase on the stock exchange via trust bank

(Reference)

Share buyback program resolution at Board of Directors meeting on July 28, 2016

1. Type of shares Nomura Holdings common shares

2. Total shares authorized for repurchase Up to 100 million shares

(2.6% of outstanding shares)

3. Total value of shares authorized for repurchase Up to 45 billion yen

4. Period August 15, 2016, to January 27, 2017

(excluding the period between October 28, 2016, and

November 11, 2016)

5. Method of repurchase Purchase on the stock exchange via trust bank

The accumulative number of shares purchased as of August 31, 2016, pursuant to the Board of Directors resolution above:

1. Number of shares repurchased 38,481,100 shares 2. Aggregate purchase amount 17,956,285,720 yen

ends

For further information please contact:

Name Company Telephone

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Joey Wu Nomura Holdings, Inc. 81-3-3278-0591

Michael Lintaro Yasuhara Group Corporate Communications

Dept.

Nomura

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