AMYRIS, INC. Form SC 13D/A November 14, 2016

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

#### WASHINGTON, D.C. 20549

Amendment No. 9 to

#### **SCHEDULE 13D**

#### (Rule 13d-101)

## INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO §240.13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO §240.13d-2(a)

# **UNDER THE SECURITIES EXCHANGE ACT OF 1934**

Amyris, Inc.

(Name of Issuer)

Common Stock, par value \$0.0001

(Title of Class of Securities)

#### 03236M101

(CUSIP Number)

**Choo Soo Shen Christina** 

**Director, Legal & Regulatory** 

**Temasek International Pte. Ltd.** 

**60B Orchard Road** 

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Singapore 238891

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Tel. No.: +55 11 3702.2210

(Name, Address and Telephone Number of Persons Authorized to Receive Notices and Communications)

#### November 9, 2016

#### (Date of Event Which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box.

The information required on this cover page shall not be deemed to be filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Act ), or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act.

- 1 NAMES OF REPORTING PERSONS:
- Temasek Holdings (Private) LimitedCHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP:
  - (a) (b)
- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS:

Not applicable.

5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) or 2(e):

## 6 CITIZENSHIP OR PLACE OF ORGANIZATION:

Republic of Singapore 7 SOLE VOTING POWER

NUMBER OF

- SHARES 0 8 SHARED VOTING DOWN
- BENEFICIALLY 8 SHARED VOTING POWER

OWNED BY

EACH 69,052,174\* 9 SOLE DISPOSITIVE POWER

0

REPORTING

- PERSON
- IERSON
- WITH 10 SHARED DISPOSITIVE POWER

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES:

# 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11):

25.8%\*\*

# 14 TYPE OF REPORTING PERSON:

HC

- \* See Item 5 of this statement on Schedule 13D. Includes (a) 2,670,370 shares of Common Stock issuable upon conversion of the Convertible Notes and (b) 2,462,536 shares of Common Stock issuable upon exercise of the Funding Warrant.
- \*\* As of the date of this filing and based on 268,148,985 shares of Common Stock, which is the sum of the (a) 263,016,079 shares of Common Stock outstanding on October 31, 2016, as set forth in the Issuer s Form 10-Q (No. 001-34885) for the quarterly period ended September 30, 2016 filed with the Securities and Exchange Commission on November 9, 2016, (b) 2,670,370 shares of Common Stock that may be obtained upon conversion of the Convertible Notes, and (c) 2,462,536 shares of Common Stock issuable upon exercise of the Funding Warrant.

1 NAMES OF REPORTING PERSONS:

Fullerton Management Pte LtdCHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP:

- (a) (b)
- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS:

Not applicable.

5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) or 2(e):

## 6 CITIZENSHIP OR PLACE OF ORGANIZATION:

Republic of Singapore 7 SOLE VOTING POWER

NUMBER OF

- SHARES 0 8 SHARED VOTING DOWN
- BENEFICIALLY 8 SHARED VOTING POWER

OWNED BY

EACH 69,052,174\* 9 SOLE DISPOSITIVE POWER

0

REPORTING

- PERSON
- ILKSON
- WITH 10 SHARED DISPOSITIVE POWER

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- 1 NAMES OF REPORTING PERSONS:
- Cairnhill Investments (Mauritius) Pte LtdCHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP:
  - (a) (b)
- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS:

Not applicable.

5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) or 2(e):

#### 6 CITIZENSHIP OR PLACE OF ORGANIZATION:

Mauritius

7 SOLE VOTING POWER

NUMBER OF

- SHARES 0
- BENEFICIALLY 8 SHARED VOTING POWER

OWNED BY

EACH 69,052,174\* 9 SOLE DISPOSITIVE POWER

REPORTING

- KIINO
- PERSON 0 WITH 10 SHARED DISPOSITIVE POWER

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES:

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11):

25.8%\*\*

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HC

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- \*\* As of the date of this filing and based on 268,148,985 shares of Common Stock, which is the sum of the (a) 263,016,079 shares of Common Stock outstanding on October 31, 2016, as set forth in the Issuer s Form 10-Q (No. 001-34885) for the quarterly period ended September 30, 2016 filed with the Securities and Exchange Commission on November 9, 2016, (b) 2,670,370 shares of Common Stock that may be obtained upon conversion of the Convertible Notes, and (c) 2,462,536 shares of Common Stock issuable upon exercise of the Funding Warrant.

1 NAMES OF REPORTING PERSONS:

Maxwell (Mauritius) Pte Ltd
CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP:

- (a) (b)
- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS:

WC

5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) or 2(e):

#### 6 CITIZENSHIP OR PLACE OF ORGANIZATION:

Mauritius

7 SOLE VOTING POWER

NUMBER OF

- SHARES 0
- BENEFICIALLY 8 SHARED VOTING POWER

OWNED BY

EACH 69,052,174\* 9 SOLE DISPOSITIVE POWER

REPORTING

- KTING
- PERSON 0 WITH 10 SHARED DISPOSITIVE POWER

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13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11):

25.8%\*\*

## 14 TYPE OF REPORTING PERSON:

CO

- \* See Item 5 of this statement on Schedule 13D. Includes (a) 2,670,370 shares of Common Stock issuable upon conversion of the Convertible Notes and (b) 2,462,536 shares of Common Stock issuable upon exercise of the Funding Warrant.
- \*\* As of the date of this filing and based on 268,148,985 shares of Common Stock, which is the sum of the (a) 263,016,079 shares of Common Stock outstanding on October 31, 2016, as set forth in the Issuer s Form 10-Q (No. 001-34885) for the quarterly period ended September 30, 2016 filed with the Securities and Exchange Commission on November 9, 2016, (b) 2,670,370 shares of Common Stock that may be obtained upon conversion of the Convertible Notes, and (c) 2,462,536 shares of Common Stock issuable upon exercise of the Funding Warrant.

# Note to Schedule 13D

This Amendment No. 9 ( Amendment No. 9 ) to Schedule 13D amends and supplements the Schedule 13D filed on November 25, 2014 (the Original Schedule 13D), Amendment No. 1 to the Original Schedule 13D filed on December 19, 2014 ( Amendment No. 1 ), Amendment No. 2 to the Original Schedule 13D filed on May 26, 2015 (Amendment No. 2), Amendment No. 3 to the Original Schedule 13D filed on July 28, 2015 (Amendment No. 3), Amendment No. 4 to the Original Schedule 13D filed on July 30, 2015 ( Amendment No. 4 ), Amendment No. 5 to the Original Schedule 13D filed on September 23, 2015 ( Amendment No. 5 ), Amendment No. 6 to the Original Schedule 13D filed on October 19, 2015 ( Amendment No. 6 ), Amendment No. 7 to the Original Schedule 13D filed on September 1, 2016 ( Amendment No. 7 ), and Amendment No. 8 to the Original Schedule 13D filed on November 4, 2016 ( Amendment No. 8 and, together with the Original Schedule 13D, Amendment No. 1, Amendment No. 2, Amendment No. 3, Amendment No. 4, Amendment No. 5, Amendment No. 6, Amendment No. 7, and this Amendment No. 9, the **Statement** ), and is being filed by Temasek Holdings (Private) Limited (**Temasek** ), Fullerton Management Pte Ltd ( FMPL ), Cairnhill Investments (Mauritius) Pte Ltd ( Cairnhill ) and Maxwell (Mauritius) Pte Ltd (Maxwell) (Temasek, FMPL, Cairnhill and Maxwell are collectively referred to hereinafter as the Reporting **Persons**) in respect of the common stock, par value of \$0.0001 per share ( **Common Stock**), of Amyris, Inc. (the Issuer ), a Delaware corporation with its principal executive offices located at 5885 Hollis Street, Suite 100, Emeryville, CA 94608.

This Amendment No. 9 is being filed by the Reporting Persons to report a decrease by more than one percent in the percentage of Common Stock beneficially owned by the Reporting Persons resulting from an increase in the number of shares of Common Stock outstanding based on information contained in the Issuer s Form 10-Q (No. 001-34885) for the quarterly period ended September 30, 2016 filed with the Securities and Exchange Commission on November 9, 2016.

Capitalized terms used but not defined herein have the meanings given to them in the Statement.

## Item 5. Interest in Securities of the Issuer.

Item 5 of the Statement is hereby amended and restated in its entirety as follows:

(a) As of November 14, 2016, Maxwell is the direct beneficial owner of 63,919,268 shares of Common Stock. Maxwell is deemed under Rule 13d-3(d)(1) to have beneficial ownership of the 2,670,370 shares of Common Stock issuable upon conversion of the Convertible Notes and the 2,462,536 shares of Common Stock issuable upon exercise of the Funding Warrant.

As of November 14, 2016, Maxwell is the direct beneficial owner and deemed beneficial owner of 69,052,174 shares of Common Stock.

The percentage of beneficial ownership of the Reporting Persons was calculated by dividing (i) the respective shares of Common Stock beneficially owned and deemed to be beneficially owned by the Reporting Persons as of November 9, 2016 (as set forth in the prior paragraph) by (ii) 268,148,985 shares of Common Stock, which is the sum of the (a) 263,016,079 shares of Common Stock outstanding on October 31, 2016, (b) 2,670,370 shares of Common Stock that may be obtained upon conversion of the Convertible Notes, and (c) 2,462,536 shares of Common Stock issuable upon exercise of the Funding Warrant.

To the knowledge of the Reporting Persons, the executive officers and directors of the Reporting Persons have no beneficial ownership of Common Stock separate from the beneficial ownership held by the Reporting Persons.

(b) Cairnhill, through its ownership of Maxwell, may be deemed to share voting and dispositive power over the 69,052,174 shares of Common Stock beneficially owned or deemed to be beneficially owned by Maxwell.

FMPL, through its ownership of Cairnhill, may be deemed to share voting and dispositive power over the 69,052,174 shares of Common Stock beneficially owned or deemed to be beneficially owned by Cairnhill and Maxwell.

Temasek, through its ownership of FMPL, may be deemed to share voting and dispositive power over the 69,052,174 shares of Common Stock beneficially owned or deemed to be beneficially owned by FMPL, Cairnhill and Maxwell.

(c) On November 4, 2016, Maxwell disposed of 221,320 shares of Common Stock at a price of \$0.8064 per share. On November 7, 2016, Maxwell further disposed of 22,675 shares of Common Stock at a price of \$0.8003 per share. On November 8, 2016, Maxwell further disposed of 311,136 shares of Common Stock at a price of \$0.8165 per share. On November 9, 2016, Maxwell further disposed of 412,711 shares of Common Stock at a price of \$0.8008 per share. On November 10, 2016, Maxwell further disposed of 9,593 shares of Common Stock at a price of \$0.8008 per share. On November 11, 2016, Maxwell further disposed of 5,600 shares of Common Stock at a price of \$0.8000 per share.

(d) Not Applicable.

(e) Not Applicable.

Item 7. Materials to be Filed as Exhibits.

Exhibit No.Description1Information regarding the Instruction C persons.

#### SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, the undersigned certifies that the information set forth in this statement is true, complete and correct.

November 14, 2016

#### TEMASEK HOLDINGS (PRIVATE) LIMITED

By: /s/ Christina Choo Name: Christina Choo Title: Authorized Signatory

#### FULLERTON MANAGEMENT PTE LTD

By: /s/ Cheong Kok Tim Name: Cheong Kok Tim Title: Director

# CAIRNHILL INVESTMENTS (MAURITIUS) PTE LTD

- By: /s/ Rooksana Shahabally Name: Rooksana Shahabally Title: Director
- MAXWELL (MAURITIUS) PTE LTD
- By: /s/ Rooksana Shahabally Name: Rooksana Shahabally Title: Director