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NOMURA HOLDINGS INC Form 6-K August 10, 2017 Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of August 2017

NOMURA HOLDINGS, INC.

 $(Translation\ of\ registrant\ \ s\ name\ into\ English)$

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.				
Form 20-F <u>X</u> Form 40-F				
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):				
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):				

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from July 1, 2017 to July 31, 2017

Date: August 10, 2017

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

By: /s/ Hajime Ikeda Hajime Ikeda

Senior Managing Director

[Translation of Share Buyback Report for the reporting month from July 1, 2017 to July 31, 2017 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on August 10, 2017]

Class of Shares: Common Stock

1. Status of repurchase

- (1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders Not applicable
- (2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

	Numbe	r of Shares	as of July 31, 2017 Total Repurchase Amount (JPY)
Authorization pursuant to the resolution			
of the Board (April 27, 2017)			
(Period of repurchase: from May 17,			
2017 to March 30, 2018)		100,000,000	80,000,000,000
Repurchases during this reporting month	(Date)		
(Date of repurchase)			
Total		0	0
Aggregate shares repurchased as of the			
end of this reporting month		0	0
Progress of share repurchase (%)		0.0	0.0

2. Status of disposition

		as of July 31, 2017
	Number of shares disposed	Aggregate amount of
	in the reporting month	disposition (JPY)
Treasury shares disposed subject to procedures		
for issuance of new shares	(Date)	
Subtotal		
Treasury shares canceled	(Date)	
Subtotal		

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Treasury shares transferred upon merger, share			
exchange or demerger Subtotal	(Date)		
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)		
icss-than-a-run-unit shares)	July 24	40	26,688
Subtotal	(D)	40	26,688
Other (exercise of stock acquisition rights)	(Date)		
	July 3	81,800	3,865,800
	July 4	17,300	5,343,300
	July 5	54,700	1,946,700
	July 6	15,000	7,110,000
	July 7	19,000	2,384,000
	July 10	12,000	4,635,000
	July 11	20,900	4,050,900
	July 12	104,600	5,985,600
	July 13	101,500	8,615,500
	July 14	20,700	4,101,700
	July 18	62,200	6,875,200
	July 19	9,300	9,300
	July 20	119,000	5,566,000
	July 21	22,900	3,928,900
	July 24	4,000	1,896,000
	July 25	6,000	479,000
	July 26	10,000	4,740,000
	July 27	42,000	7,870,000
	July 28	2,000	948,000
	July 31	18,100	909,100
Subtotal		743,000	81,260,000
Total		743,040	81,286,688

3. Status of shares held in treasury

	as of July 31, 2017
As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	273,964,064