Edgar Filing: HARTFORD FINANCIAL SERVICES GROUP INC/DE - Form 4

HARTFORD FINANCIAL SERVICES GROUP INC/DE

Form 4

Common

Stock

02/21/2007

February 23, 2007

Check thi if no long subject to Section 10 Form 4 or Form 5 obligation may continue See Instruction.	s box er STATEMENT 6. Filed pursuant to Section 17(a) of the section 30 cm.	ES SECURITIES AN Washington, I OF CHANGES IN B SECURIO o Section 16(a) of the ne Public Utility Holdi (h) of the Investment C	ENEFICIAL OWN TIES Securities Exchange ng Company Act of	Act of 1934, 1935 or Section	OMB APPROVAL OMB Number: Sample 1 Sample 2005 Sample	
	ddress of Reporting Person DAVID M /CT	2. Issuer Name and T Symbol HARTFORD FINA SERVICES GROU	ANCIAL	Issuer	Reporting Person(s) to all applicable)	
	(First) (Middle) FORD FINANCIAL GROUP, HARTFORD	3. Date of Earliest Tran (Month/Day/Year) 02/21/2007		Director 10% Owner Officer (give title Other (specify below) Exec. Vice President and CFO		
HARTFORI	(Street) O, CT 06115	4. If Amendment, Date Filed(Month/Day/Year)		Applicable Line) _X_ Form filed by Or	nt/Group Filing(Check ne Reporting Person ore than One Reporting	
(City)	(State) (Zip)	Table I - Non-De	rivative Securities Acqu	ired, Disposed of,	or Beneficially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. I (Month/Day/Year) Exect any (Mon	ation Date, if Transaction	4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4)	
Restricted Stock Units		Coulc	Amount (b) Thee	14,022.324	D	
Restricted Stock				14,000	D	
Common Stock	02/21/2007	M <u>(1)</u>	22,730 A \$ 97.11	49,228	D	

\$ 97.11 40,828

D

8,400 D

 $F^{(2)}$

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number onDerivative Securities (A) or Dis (D) (Instr. 3, 4	Acquired posed of	6. Date Exer Expiration D (Month/Day/	ate	7. Title and Am Underlying Sec (Instr. 3 and 4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title O
Stock Option	\$ 63.02						(3)	05/03/2011	Common Stock 8
Stock Option	\$ 65.85						<u>(4)</u>	02/23/2012	Common Stock
Stock Option	\$ 37.37						<u>(5)</u>	02/22/2013	Common Stock
Stock Option	\$ 65.99						<u>(6)</u>	02/20/2014	Common Stock
Stock Option	\$ 71.27						<u>(7)</u>	02/19/2015	Common Stock 2
Stock Option	\$ 83						(8)	02/15/2016	Common Stock
Performance Shares	\$ 97.11	02/21/2007		A <u>(1)</u>	22,730		<u>(1)</u>	<u>(1)</u>	Common Stock 2
Performance Shares	\$ 97.11	02/21/2007		M <u>(1)</u>		22,730	<u>(1)</u>	<u>(1)</u>	Common 2

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

JOHNSON DAVID M /CT THE HARTFORD FINANCIAL SERVICES GROUP HARTFORD PLAZA HARTFORD, CT 06115

Exec. Vice President and CFO

Reporting Owners 2

Signatures

/s/ Amanda Grabowski Aquino, POA for David M. Johnson by Power of Attorney of David M. Johnson dated February 19, 2004

02/23/2007

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 21, 2007, the Company's Compensation and Personnel Committee awarded a performance share payout, based on the level of the Company's performance relative to pre-established performance objectives, for the January 1, 2004 December 31, 2006 performance period. The performance shares were paid in shares of the Company's common stock.
- (2) Transaction involving the disposition to the Company of equity securities to cover tax withholding obligations in accordance with the Company's administrative rules.
- (3) The option became fully exercisable on November 11, 2005, following the achievement of the following criteria: the closing price of the Company's common stock on New York Stock Exchange reached 125% of the grant price for at least 10 consecutive trading days.
- (4) The option became fully exercisable on November 17, 2005, following the achievement of the following criteria: the closing price of the Company's Common Stock on the New York Stock Exchange reached 125% of the grant price for at least 10 consecutive trading days.
- (5) The option became fully exercisable on June 18, 2003, following the achievement of the following criteria: the closing price of the Company's Common Stock on the New York Stock Exchange reached 125% of the grant price for at least 10 consecutive trading days.
- (6) The option became fully exercisable as of February 18, 2007, the third anniversary of the grant date.
- The options will become exercisable upon the later of: (i) the date upon which the closing price of the underlying common stock on the (7) New York Stock Exchange equals or exceeds 125% of the option exercise price for a period of at least 10 consecutive trading days and (ii) three years from the date of the grant. The closing price condition to vesting was met on May 16, 2006.
- (8) The option becomes fully exercisable upon the later of: (i) the date on which the closing stock price on the New York Stock Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) three years from the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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