#### Edgar Filing: HARTFORD FINANCIAL SERVICES GROUP INC/DE - Form 4

#### HARTFORD FINANCIAL SERVICES GROUP INC/DE

Form 4

August 04, 2009

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Restricted

Common

Stock

Stock

07/31/2009

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **SWYGERT H PATRICK** Issuer Symbol HARTFORD FINANCIAL (Check all applicable) SERVICES GROUP INC/DE [HIG] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) THE HARTFORD FINANCIAL 07/31/2009 SERVICES GROUP, ONE HARTFORD PLAZA (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HARTFORD, CT 06155 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Transaction(A) or Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

9.097

Α

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

12,696

7,922

16.49

D

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Security (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 55.7034					<u>(1)</u>	05/22/2009	Common Stock	461	
Stock Option	\$ 64.1875					(2)	05/22/2009	Common Stock	1,000	
Stock Option	\$ 56.4375					(3)	05/20/2010	Common Stock	1,000	
Stock Option	\$ 62.07					<u>(4)</u>	02/23/2011	Common Stock	1,442	
Stock Option	\$ 65.85					(5)	02/23/2012	Common Stock	1,224	
Stock Option	\$ 37.37					<u>(6)</u>	02/22/2013	Common Stock	2,540	
Stock Option	\$ 65.99					<u>(7)</u>	02/20/2014	Common Stock	1,820	

# **Reporting Owners**

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		

SWYGERT H PATRICK THE HARTFORD FINANCIAL SERVICES GROUP ONE HARTFORD PLAZA HARTFORD, CT 06155



# **Signatures**

/s/ Terence D. Shields, POA for H. Patrick Swygert by Power of Attorney of H. Patrick Swygert dated July 19, 2007.

08/04/2009

\*\*Signature of Reporting Person

Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became fully exercisable as of May 20, 2002, the third anniversary of the grant date.
- (2) The option became fully exercisable as of May 20, 2002, the third anniversary of the grant date.
- (3) The option became fully exercisable as of May 18, 2003, the third anniversary of the grant date.
- (4) The option became fully exercisable on February 21, 2004, the third anniversary of the grant date.
- (5) The option became fully exercisable on February 21, 2005, the third anniversary of the grant date.
- (6) The option became fully exercisable on February 20, 2006, the third anniversary of the grant date.
- (7) The option became fully exercisable on February 18, 2007, the third anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.