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DOUGLAS KEVIN

Form 3

February 11, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

response...

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement RESEARCH FRONTIERS INC [REFR] **DOUGLAS KEVIN** (Month/Day/Year) 02/09/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 125 E SIR FRANCIS DRAKE (Check all applicable) BLVD, STE 400 (Street) 6. Individual or Joint/Group _X__ 10% Owner Director Officer __X__ Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting 13(d)(3) Group Person LARKSPUR, CAÂ 94939 _X_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) $D_{(1)}^{(1)}$ Common Stock Â 661,860 By James Douglas and Jean Common Stock 1.103.099 $I^{(2)}(3)$ Douglas Irrevocable Descendants' Trust 441,240 Common Stock $I^{(2)}(4)$ By Douglas Family Trust Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)		
Warrant (right to buy)	(5)	08/31/2017	Common Stock	15,000	\$ 4.45	D (1) (2)	Â	
Warrant (right to buy)	(5)	08/31/2017	Common Stock	25,000	\$ 4.45	I (2) (3)	By James Douglas and Jean Douglas Revocable Descendants' Trust	
Warrant (right to buy)	(5)	08/31/2017	Common Stock	10,000	\$ 4.45	I (2) (4)	By Douglas Family Trust	
Warrant (right to buy)	04/08/2013	04/08/2018	Common Stock	75,000	\$ 6.73	D (1) (2)	Â	
Warrant (right to buy)	04/08/2013	04/08/2018	Common Stock	125,000	\$ 6.73	I (2) (3)	By James Douglas and Jean Douglas Revocable Descendants' Trust	
Warrant (right to buy)	04/08/2013	04/08/2018	Common Stock	50,000	\$ 6.73	I (2) (4)	By Douglas Family Trust	

Reporting Owners

Reporting Owner Name / Address	Relationships				
copyring of the remarks	Director	10% Owner	Officer	Other	
DOUGLAS KEVIN 125 E SIR FRANCIS DRAKE BLVD, STE 400 LARKSPUR, CA 94939	Â	ÂX	Â	13(d)(3) Group	
DOUGLAS FAMILY TRUST 125 E. SIR FRANCIS DRAKE BLVD., STE 400 LARKSPUR, CA 94939	Â	ÂΧ	Â	Â	
JAMES & JEAN DOUGLAS IRREVOCABLE DESCENDANTS TRUST 125 E. SIR FRANCIS DRAKE BLVD., STE 400 LARKSPUR, CA 94939	Â	ÂX	Â	Â	

Signatures

/s/ Eileen Wheatman, attorney-in-fact for Kevin Douglas

02/11/2013

**Signature of Reporting Person

Date

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/s/ Eileen Wheatman, attorney-in-fact for Douglas Family Trust

02/11/2013

**Signature of Reporting Person

Date

/s/ Eileen Wheatman, attorney-in-fact for James Douglas and Jean Douglas Irrevocable Descendants? Trust

02/11/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held directly and jointly by Kevin Douglas and his wife, Michelle Douglas.
 - Each of the reporting persons hereunder (individually, a "Reporting Person" and collectively, the "Reporting Persons") may be deemed a member of a "group" within the meaning of Section 13(d)(3) of the Securities and Exchange Act of 1934, as amended (the "Exchange
- (2) Act"), or Rule 13d-5 promulgated under the Exchange Act with one or more of the other Reporting Persons. Although the Reporting Persons are reporting such securities as if they were members of a "group", the filing of this Form 3 shall not be deemed an admission by any Reporting Person that such Reporting Person is a beneficial owner of any securities other than those directly held by such Reporting Person.
 - These shares are held directly by the James Douglas and Jean Douglas Irrevocable Descendants' Trust and indirectly by Kevin Douglas.
- (3) Kevin Douglas and Michelle Douglas, husband and wife, are each a co-trustee of the James Douglas and Jean Douglas Irrevocable Descendants' Trust.
- (4) These shares are held directly by the Douglas Family Trust and indirectly by Kevin Douglas. James E. Douglas, Jr. and Jean A. Douglas, husband and wife, are each a co-trustee of the Douglas Family Trust.
- This warrant is exercisable at any time up to and including the expiration date. The terms of this warrant contain a limitation on (5) conversion which prevents the Reporting Person from converting this warrant into shares if, after giving effect to the conversion, the
- (5) conversion which prevents the Reporting Person from converting this warrant into shares if, after giving effect to the conversion, the Reporting Person would beneficially own more than 14.99% of the issuer's outstanding shares.

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Remarks:

Exhibit 24. Â Limited Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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