#### Edgar Filing: SPECTRUM PHARMACEUTICALS INC - Form 4

#### SPECTRUM PHARMACEUTICALS INC

Form 4

December 23, 2015

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SHROTRIYA RAJESH C MD	2. Issuer Name <b>and</b> Ticker or Trading Symbol SPECTRUM PHARMACEUTICALS INC [SPPI]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle)  11500 S. EASTERN AVE., SUITE 240	3. Date of Earliest Transaction (Month/Day/Year) 12/22/2015	_X_ Director _X_ 10% Owner _X_ Officer (give title _ Other (specify below) Chairman & CEO		
(Street) HENDERSON, NV 89052	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Ac	quired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3, 4	sposed 4 and 5 (A) or	of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.001 par value	12/22/2015		Code V  M	Amount 90,750	(D)	Price \$ 4.23	101,426	I	By Shrotriya Gift Trust
Common Stock, \$0.001 par value	12/22/2015		F	65,732 (1)	D	\$ 5.84	35,694	I	By Shrotriya Gift Trust
Common Stock, \$0.001 par	12/22/2015		M	38,498	A	\$ 4.23	38,498	I	By Shrotriya Annuity Trust

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value

varuc										
Common Stock, \$0.001 pa	12/22/20	015	F	27,885 (1)	D	\$ 5.84	10,613	I	By Shrotriy Annuity Trust	a
Common Stock, \$0.001 pa							1,766,740	D		
Common Stock, \$0.001 pa							498,894	I	By CS Family Trus	st
Common Stock, \$0.001 pa							26,128	I	By 401(k) Plan	
Common Stock, \$0.001 pa							9,523	I	By Spouse	
Common Stock, \$0.001 pa							78,089	I	By Shrotriy Family Foundation	
Reminder: I	Report on a sep	parate line for each cla	ass of securities benef	Perso inform requir	ns wh natior ed to ys a o	no respo contain respon	ndirectly.  ond to the colleged in this form d unless the form y valid OMB co	n are not orm	SEC 1474 (9-02)	
			ative Securities Acq puts, calls, warrants					d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	orDeri Secu Acq or D (D)	urities uired (A) visposed of tr. 3, 4,	Expiration Da (Month/Day/	ate	7. Title and Underlying (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
	\$ 4.23	12/22/2015		M		90,750	01/01/2009	01/01/2016	5	90,750

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Stock Option Exercise (Right to Buy)							Common Stock	
Stock Option Exercise (Right to Buy)	\$ 4.23	12/22/2015	М	38,498	01/01/2009	01/01/2016	Common Stock	38,498

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
SHROTRIYA RAJESH C MD 11500 S. EASTERN AVE. SUITE 240 HENDERSON, NV 89052	X	X	Chairman & CEO				

## **Signatures**

/s/ Kurt A. Gustafson, attorney-in-fact for Rajesh C.
Shrotriya 12/23/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld by Spectrum to satisfy the Reporting Person's payment of the exercise price. The shares have not been sold by the Reporting Person or by Spectrum. The shares have been cancelled by the transfer agent.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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