Buick Mike Form 3/A March 28, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

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response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Buick Mike

(Last)

(First) (Middle)

Statement

(Month/Day/Year)

02/05/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

SunOpta Inc. [STKL]

7301 OHMS LANE SUITE 600

(Street)

4. Relationship of Reporting

Person(s) to Issuer

_X__ Officer

5. If Amendment, Date Original

6. Individual or Joint/Group

Filed(Month/Day/Year)

02/12/2018

Reporting Person

4. Nature of Indirect Beneficial

Director

(Check all applicable)

SVP, Beverage and Snack

10% Owner Other

Filing(Check Applicable Line) _X_ Form filed by One Reporting (give title below) (specify below)

Form filed by More than One

EDINA, MNÂ 55439

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Form:

Ownership Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

Title

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

Conversion or Exercise Price of

4.

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Date Expiration Exercisable Date

Amount or Number of

Shares

Derivative Security

Security: Direct (D) or Indirect

(I) (Instr. 5)

1

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Restricted Stock Units	(1)	(2)	Common Shares	12,000 (3) \$ (4)	D	Â
Non-Qualified Stock Option (Right to Buy)	(5)	05/24/2027	Common Shares	17,368 <u>(6)</u> \$ 9.5	D	Â
Incentive Stock Option (Right to Buy)	(5)	05/24/2027	Common Shares	10,526 (6) \$ 9.5	D	Â
Restricted Stock Units	(7)	(2)	Common Shares	12,497 (6) \$ (4)	D	Â
Performance Share Units	(8)	(2)	Common Shares	62,483 (6) \$ (9)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Buick Mike 7301 OHMS LANE SUITE 600 EDINA Â MNÂ 55439	Â	Â	SVP, Beverage and Snack	Â	

Signatures

/s/ Jill Barnett, attorney-in-fact 03/28/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On February 22, 2017 the reporting person was granted 12,000 Restricted Stock Units vesting on the first anniversary of the grant date.
- (2) This award has no expiration date. Units will either vest or be forfeit.
- (3) These shares were omitted from the reporting person's original Form 3, and also were omitted from one Form 4 filed by the reporting person after his original Form 3 was filed.
- (4) Each Restricted Stock Unit represents a contingent right to receive one share of STKL common stock.
- (5) The grant cliff vests after 3 years on May 24, 2020.
- (6) These shares were omitted from the reporting person's original Form 3.
- (7) On May 24, 2017 the reporting person was granted 12,497 Restricted Stock Units, vesting in three equal annual installments beginning on the first anniversary of the grant date.

The Performance Stock Units will vest, if at all, on May 24, 2020 based upon meeting the following stock performance conditions for 20 consecutive trading days: one-third of the Performance Stock Units eligible to vest upon achieving a stock price of \$11.00, one-third of the Performance Stock Units eligible to vest upon achieving a stock price of \$14.00, and one-third of the Performance Stock Units eligible to vest upon achieving a stock price of \$18.00.

(9) Each Performance Stock Unit represents a contingent right to receive one share of STKL common stock.

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Remarks:

EXHIBITÂ LIST

Reporting Owners 2

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Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.