

Demski David M
Form 4
April 20, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Demski David M

(Last) (First) (Middle)

VALLEY FORGE BUSINESS
CENTER, 2560 GENERAL
ARMISTEAD AVENUE

(Street)

AUDUBON, PA 19403

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

GLOBUS MEDICAL INC [GMED]

3. Date of Earliest Transaction
(Month/Day/Year)

04/18/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|---|---|--|---|---|
| Class A Common Stock | 04/18/2018 | | M | 43,163 A | \$ 23.95 192,018 | D | |
| Class A Common Stock | 04/18/2018 | | S ⁽¹⁾ | 43,163 D | \$ 51.0001 148,855 | D | |
| Class A Common Stock | 04/18/2018 | | M | 8,899 A | \$ 24.1 157,754 | D | |
| Class A | 04/18/2018 | | S ⁽¹⁾ | 8,899 D | \$ 148,855 | D | |

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| | | | | | | | |
|----------------------|------------|-------------------------|--------|---|-----------------------|---------|---|
| Common Stock | | | | | 51.0001 <u>(2)</u> | | |
| Class A Common Stock | 04/19/2018 | M | 68,184 | A | \$ 24.1 | 217,039 | D |
| Class A Common Stock | 04/19/2018 | <u>S</u> ⁽¹⁾ | 68,184 | D | \$ 51 | 148,855 | D |
| Class A Common Stock | 04/19/2018 | M | 28,863 | A | \$ 25.52 | 177,718 | D |
| Class A Common Stock | 04/19/2018 | <u>S</u> ⁽¹⁾ | 28,863 | D | \$ 51 | 148,855 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title |
| Stock Option (Right to Buy Class A Common Stock) | \$ 23.95 | 04/18/2018 | | M | 43,163 | <u>(3)</u> | 01/23/2024 | Class A Common Stock 43,163 |
| Stock Option (Right to Buy Class A Common Stock) | \$ 24.1 | 04/18/2018 | | M | 8,899 | <u>(4)</u> | 01/20/2025 | Class A Common Stock 8,899 |

Stock)

Stock

Option
(Right to

Buy \$ 24.1 04/19/2018

M

68,184

(4)

01/20/2025

Class A
Common
Stock

68,184

Common
Stock)

Stock

Option
(Right to

Buy \$ 25.52 04/19/2018

M

28,863

(5)

01/25/2026

Class A
Common
Stock

28,863

Common
Stock)

Reporting Owners

Reporting Owner Name / Address**Relationships**

Director

10% Owner

Officer

Other

Demski David M
VALLEY FORGE BUSINESS CENTER
2560 GENERAL ARMISTEAD AVENUE
AUDUBON, PA 19403

X

Chief Executive Officer

Signatures

/s/ Eric I. Schwartz,
Attorney-in-Fact

04/20/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale was effected pursuant to a Rule 10b5-1 trading plan executed by the reporting person.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.00 to \$51.03, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.

(2) These options were granted on January 23, 2014, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2015, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(3) These options were granted on January 20, 2015, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2016, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

(4) These options were granted on January 25, 2016, and vest over a four-year period with one-fourth (1/4) of the options granted vesting on January 1, 2017, the first anniversary of the vesting commencement date, and the balance of the options granted vesting ratably on a monthly basis over the following 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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