CALDERA LOUIS E

Form 4 June 07, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

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Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Middle)

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * CALDERA LOUIS E

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

Symbol

A. H. Belo Corp [AHC]

3. Date of Earliest Transaction

X_ Director 10% Owner Other (specify Officer (give title

(Check all applicable)

A. H. BELO CORPORATION, 1954

(Street)

(First)

COMMERCE STREET

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

06/06/2018

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

below)

DALLAS, TX 75201

(City)	(State) (Zip) Table	e I - Non-D	erivative	Secur	ities Acq	quired, Disposed o	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)		
Series A Common Stock	06/06/2018		M	9,481 (1)	()	(2)	34,153	D	
Series A Common Stock	06/06/2018		D(3)	3,792 (3)	D	\$ 4.85	30,361	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numl of Sh
Restricted Stock Units (Time-Based)	(2)	06/06/2018		M		9,481	<u>(5)</u>	<u>(5)</u>	Series A Common Stock	9,48
Restricted Stock Units (Time-Based)	<u>(2)</u>	06/06/2018		A	12,061		<u>(6)</u>	<u>(6)</u>	Series A Common Stock	12,0 (2

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
CALDERA LOUIS E							
A. H. BELO CORPORATION	X						
1954 COMMERCE STREET	Λ						
DALLAS, TX 75201							

Signatures

Christine E. Larkin, Attorney-in-Fact 06/07/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The number of shares shown represents the time-based restricted stock units (TBRSUs) that vested on May 12, 2016 and were settled on June 6, 2018. These TBRSUs were awarded on May 14, 2015.
- Each TBRSU represents a contingent right to receive the value of one share of A. H. Belo Corporation Series A Common Stock. These

 (2) TBRSUs are valued as of the date of settlement and are paid 60% in shares of A. H. Belo Corporation Series A Common Stock and 40% in cash.
- (3) The number of shares shown represents the settlement of the 40% cash portion of TBRSUs that were settled and paid on June 6, 2018. Settlement of the cash portion is, pursuant to SEC guidance, deemed to be a sale of shares to the Company.
- (4) These TBRSUs were awarded on May 14, 2015.
- (5) These TBRSUs vested 100% on May 12, 2016 and were settled on June 6, 2018.

(6)

Reporting Owners 2

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These TBRSUs vest 100% on the date of the Company's 2019 annual meeting of shareholders and are settled within 10 business days of the 2021 annual meeting of shareholders.

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