

RICHARDSON MARK J
Form 3
January 25, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â RICHARDSON MARK J
(Last) (First) (Middle)

C/O HYPERSOLAR, INC.,Â 10
E. YANONALI, SUITE 36

(Street)

SANTA
BARBARA,Â CAÂ 93101

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
06/15/2018

3. Issuer Name **and** Ticker or Trading Symbol
Hypersolar, Inc. [HYSR]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

(Instr. 5)

Stock Option (right to buy) ⁽¹⁾	Â ⁽²⁾	01/23/2029	Common Stock	10,000,000	\$ 0.0099	D	Â
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RICHARDSON MARK J C/O HYPER SOLAR, INC. 10 E. YANONALI, SUITE 36 SANTA BARBARA, CA 93101	Â X	Â	Â	Â

Signatures

/s/ Mark Richardson 01/24/2019

^{**}Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- On January 23, 2019, Mr. Richardson was granted an option (the "Option") to purchase 10,000,000 shares of common stock, par value \$0.001 per share, of Hypersolar, Inc. (the "Company") at a strike price of \$0.0099 per share. The Option was granted pursuant to the Company's 2019 Equity Incentive Plan and expires on January 23, 2029.
- (1) One-third (1/3) of the Option vests immediately on January 23, 2019 (the "Date of Grant"). The remainder of the Option shall vest one-twenty fourth (1/24) per month from months 01 through 24 after the Date of Grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.