**DST SYSTEMS INC** 

Form 4 April 21, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  |  |  |  |
|---|---|--|--|--|
| DS1 SYSTEMS INC [DS1]                       |   |  |  |  |
| 3. Date of Earliest Transaction             |   |  |  |  |
| (Month/Day/Year)                            | Director 10% Owner  |  |  |  |
| 04/19/2017                                  | Officer (give title Delow)  Other (specify below)   |  |  |  |
|   | Chairman, CEO & President   |  |  |  |
| 4. If Amendment, Date Original              | 6. Individual or Joint/Group Filing(Check   |  |  |  |
| Filed(Month/Day/Year)                       | Applicable Line)  |  |  |  |
|   | _X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting   |  |  |  |
|   | Symbol DST SYSTEMS INC [DST]  3. Date of Earliest Transaction (Month/Day/Year) 04/19/2017  4. If Amendment, Date Original |  |  |  |

#### KANSAS CITY, MO 64105

| (City)                               | (State)                              | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |  |           |                |  |   |  |
|--------------------------------------|--------------------------------------|--|---|--|-----------|----------------|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5) |           |                | 5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|                                      |                                      |  | Code V                                  | Amount   | or<br>(D) | Price          | (Instr. 3 and 4)   | (III3u. +)  |  |
| Common<br>Stock                      | 04/19/2017                           |  | M                                       | 200  | A         | \$ 43.825      | 64,703   | D   |  |
| Common<br>Stock                      | 04/19/2017                           |  | S                                       | 200 (1)  | D         | \$ 125         | 64,503   | D   |  |
| Common<br>Stock                      | 04/20/2017                           |  | M                                       | 9,800  | A         | \$ 43.825      | 74,303   | D   |  |
| Common<br>Stock                      | 04/20/2017                           |  | S                                       | 9,800<br>(2) (1)   | D         | \$<br>125.2343 | 64,503   | D   |  |

Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: DST SYSTEMS INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) |     |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|---|-----|-------|--|--------------------|---|--|
|   |   |                                      |   | Code V                                  | (A) | (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option                                     | \$ 43.825   | 04/19/2017                           |   | M                                       |     | 200   | 02/14/2011   | 12/14/2019         | Common<br>Stock   | 200                                    |
| Stock<br>Option                                     | \$ 43.825   | 04/20/2017                           |   | M                                       |     | 9,800 | 02/14/2011   | 12/14/2019         | Common<br>Stock   | 9,800                                  |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hooley Stephen C 333 WEST 11TH STREET KANSAS CITY, MO 64105

Chairman, CEO & President

### **Signatures**

Randall D. Young for Stephen C. Hooley by power of attorney

04/21/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) IMPORTANT NOTE: All transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 9, 2016.

This transaction was executed in multiple trades at prices ranging from \$125.00 to \$125.53. The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

### Edgar Filing: DST SYSTEMS INC - Form 4

| Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. |
|---|
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |
|   |