#### TARTAGLIA THOMAS G

Form 5

February 08, 2008

#### **OMB APPROVAL** FORM 5 **OMB**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

2005 Estimated average burden hours per response... 1.0

Number:

Expires:

3235-0362

January 31,

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Transactions Reported

Form 4

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer TARTAGLIA THOMAS G Symbol CATHAY GENERAL BANCORP (Check all applicable) [CATY] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2007 777 NORTH BROADWAY (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

#### LOS ANGELES, CAÂ 90012

(State)

(Zip)

(City)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount 7. Nature of Transaction Indirect Security (Month/Day/Year) Execution Date, if Disposed of (D) of Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and 4) Amount (D) Price Common Â J(1) $12,188 \stackrel{(2)}{=}$ 12/31/2007 167 By ESOP Α Stock 31.5818 T. Common Â J(3)Tartaglia 01/23/2007 180.232 66,931 (2) Stock Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 2270** (9-02)

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 10.625	Â	Â	Â	Â	Â	01/20/2005(4)	01/20/2010	Common Stock	400
Stock Option	\$ 15.0475	Â	Â	Â	Â	Â	01/18/2005(4)	01/18/2011	Common Stock	800
Stock Option	\$ 16.275	Â	Â	Â	Â	Â	02/21/2005(4)	01/21/2012	Common Stock	1,200
Stock Option	\$ 19.925	Â	Â	Â	Â	Â	01/16/2005(5)	01/16/2013	Common Stock	3,040
Stock Option	\$ 24.8	Â	Â	Â	Â	Â	11/20/2004(6)	11/20/2013	Common Stock	11,000
Stock Option	\$ 37	Â	Â	Â	Â	Â	02/17/2006(7)	02/17/2015	Common Stock	3,500
Stock Option	\$ 36.24	Â	Â	Â	Â	Â	01/25/2007(8)	01/25/2016	Common Stock	3,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TARTAGLIA THOMAS G 777 NORTH BROADWAY LOS ANGELES, CA 90012	ÂX	Â	Â	Â		

## **Signatures**

Thomas G. Tartaglia	02/08/2008		
**Signature of Reporting Person	Date		

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) ESOP Earning Allocation.
- (2) Distribution of 1,020 shares from ESOP to his Trust account on 11/8/07.
- (3) Dividend Reinvestment.
- (4) The option is fully exercisable.
- (5) The option vests in 4 equal annual installments beginning 1/16/05.
- (6) The option vests in 5 equal annual installments beginning 11/20/04.
- (7) The option vests in 5 equal annual installments beginning 2/17/06.
- (8) The option vests in 5 equal annual installments beginning 1/25/07.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.