#### Edgar Filing: CATHAY GENERAL BANCORP - Form 4

#### CATHAY GENERAL BANCORP

Form 4

December 08, 2008

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

5. Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

TARTAGLIA THOMAS G		Symbol CATHAY GENERAL BANCORP [CATY]					)RP	Issuer (Check all applicable)			
(Last) (First) (Middle) 777 NORTH BROADWAY			3. Date of Earliest Transaction (Month/Day/Year) 12/04/2008					_X_ Director 10% Owner Officer (give title below)			
				4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
LOS ANGELES, CA 90012								Person			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye	ar) Executi any	emed on Date, if /Day/Year)	3. Transa Code (Instr.		4. Securi nAcquired Disposed (Instr. 3,	l (A) c l of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)
Common Stock									66,931	I	T. Tartaglia Trust
Common Stock	12/04/2008			J <u>(1)</u>	V	1,063	D	\$0	11,125	I	By ESOP
Common Stock	12/04/2008			J <u>(1)</u>	V	1,063	A	\$0	1,063	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 10.625					01/20/2005(2)	01/20/2010	Common Stock	400	
Stock Option	\$ 15.0475					01/18/2005(2)	01/18/2011	Common Stock	800	
Stock Option	\$ 16.275					02/21/2005(2)	02/21/2012	Common Stock	1,200	
Stock Option	\$ 19.925					01/16/2005(2)	01/16/2013	Common Stock	3,040	
Stock Option	\$ 24.8					11/20/2004(2)	11/20/2013	Common Stock	11,000	
Stock Option	\$ 37					02/17/2006(3)	02/17/2015	Common Stock	3,500	
Stock Option	\$ 36.24					01/25/2007(4)	01/25/2016	Common Stock	3,500	
Stock Option	\$ 23.37					02/21/2009(5)	02/21/2018	Common Stock	3,500	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
TARTAGLIA THOMAS G							
777 NORTH BROADWAY	X						
LOS ANGELES, CA 90012							

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## **Signatures**

Thomas G. Tartaglia 12/08/2008

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) ESOP distribution.
- (2) The option is fully exercisable.
- (3) The option vests in 5 equal annual installments beginning 2/17/06.
- (4) The option vests in 5 equal annual installments beginning 1/25/07.
- (5) The option vests in 5 equal annual installments beginning 2/21/09.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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