#### Edgar Filing: ADC TELECOMMUNICATIONS INC - Form 4

#### ADC TELECOMMUNICATIONS INC

Form 4

December 19, 2005

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 323

Number: 3235-0287

January 31,

**OMB APPROVAL** 

Expires: 2005
Estimated average

0.5

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address PRATT MICHAE |                         | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer        |  |  |  |
|----------------------------------|-------------------------|--|---|--|--|--|
|                                  |                         | ADC TELECOMMUNICATIONS INC [ADCT]                  | (Check all applicable)                                  |  |  |  |
| (Last) (F                        | irst) (Middle)          | 3. Date of Earliest Transaction (Month/Day/Year)   | Director 10% OwnerX Officer (give title Other (specify  |  |  |  |
| 13625 TECHNOL                    | LOGY DRIVE              | 12/15/2005   | below) below) PRESIDENT WIRELINE                        |  |  |  |
| (St                              | treet)                  | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check               |  |  |  |
|                                  |                         | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |
| MINNEAPOLIS,                     | MN 55344                |  | Form filed by More than One Reporting Person            |  |  |  |
| (City) (S                        | tate) (Zip)             | Table I - Non-Derivative Securities Ac             | quired, Disposed of, or Beneficially Owned              |  |  |  |
|                                  | insaction Date 2A. Deer | med 3. 4. Securities Acquired                      | *   |  |  |  |

| ` *             | · · · · ·           | 1 ani              | e I - Noll-D | erivative  | Secur  | mes Acq     | uirea, Disposea o | i, or beneficial | ly Owned   |
|-----------------|---------------------|--------------------|--------------|------------|--------|-------------|-------------------|------------------|------------|
| 1.Title of      | 2. Transaction Date |                    | 3.           | 4. Securi  |        |             | 5. Amount of      | 6. Ownership     |            |
| Security        | (Month/Day/Year)    | Execution Date, if | Transactio   | n(A) or D  | ispose | d of (D)    | Securities        | Form: Direct     | Indirect   |
| (Instr. 3)      |                     | any                | Code         | (Instr. 3, | 4 and  | 5)          | Beneficially      | (D) or           | Beneficial |
|                 |                     | (Month/Day/Year)   | (Instr. 8)   |            |        |             | Owned             | Indirect (I)     | Ownership  |
|                 |                     |                    |              |            |        |             | Following         | (Instr. 4)       | (Instr. 4) |
|                 |                     |                    |              |            |        |             | Reported          |                  |            |
|                 |                     |                    |              |            | (A)    |             | Transaction(s)    |                  |            |
|                 |                     |                    |              |            | or     |             | (Instr. 3 and 4)  |                  |            |
|                 |                     |                    | Code V       | Amount     | (D)    | Price       | ( ,               |                  |            |
| Common          | 10/1//0005          |                    | M            | 1.002      |        | Φ.Λ         | 22 416            | D                |            |
| Stock           | 12/16/2005          |                    | M            | 1,082      | A      | \$ 0        | 23,416            | D                |            |
|                 |                     |                    |              |            |        |             |                   |                  |            |
| Common<br>Stock | 12/16/2005          |                    | F            | 353        | D      | \$<br>24.04 | 23,063            | D                |            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

#### Edgar Filing: ADC TELECOMMUNICATIONS INC - Form 4

## $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer<br>Expiration I<br>(Month/Day | Date               | 7. Title and Au<br>Underlying Se<br>(Instr. 3 and 4) |
|---|---|---|---|--|---|--|--------------------|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                        | Expiration<br>Date | Title  |
| Incentive<br>Stock Option<br>(right to buy)         | \$ 23.91  | 12/15/2005                              |   | A                                      | 3,625   | <u>(1)</u>                                 | 12/15/2015         | Common<br>Stock                                      |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 23.91  | 12/15/2005                              |   | A                                      | 10,875  | <u>(1)</u>                                 | 12/15/2015         | Common<br>Stock                                      |
| Restricted<br>Stock Unit<br>(12-04)                 | <u>(2)</u>  | 12/16/2005                              |   | M                                      | 1,08  | 2 (2)                                      | (2)                | Common<br>Stock                                      |
| Restricted<br>Stock Unit<br>(12-05)                 | <u>(3)</u>  | 12/15/2005                              |   | A                                      | 7,250   | (3)  | (3)                | Common<br>Stock                                      |
| Incentive<br>Stock Option<br>(right to buy)         | \$ 15.82  |   |   |  |   | <u>(4)</u>                                 | 11/27/2012         | Common<br>Stock                                      |
| Incentive<br>Stock Option<br>(right to buy)         | \$ 18.76  |   |   |  |   | <u>(5)</u>                                 | 12/16/2014         | Common<br>Stock                                      |
| Incentive<br>Stock Option<br>(right to buy)         | \$ 20.44  |   |   |  |   | <u>(6)</u>                                 | 03/03/2014         | Common<br>Stock                                      |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 15.82  |   |   |  |   | <u>(4)</u>                                 | 11/27/2012         | Common<br>Stock                                      |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 18.76  |   |   |  |   | <u>(5)</u>                                 | 12/16/2014         | Common<br>Stock                                      |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 20.44  |   |   |  |   | <u>(6)</u>                                 | 03/03/2014         | Common<br>Stock                                      |
| Phantom Stock<br>Unit                               | <u>(7)</u>  |   |   |  |   | <u>(7)</u>                                 | <u>(7)</u>         | Common<br>Stock                                      |

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PRATT MICHAEL 13625 TECHNOLOGY DRIVE MINNEAPOLIS, MN 55344

PRESIDENT WIRELINE

## **Signatures**

Michael K. Pratt 12/19/2005

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant date 12/15/05; options were granted in the same transaction and have been split into an incentive stock option and a non-qualified option for tax purposes. In the aggregate, options will vest one-quarter per year beginning December 15, 2006.
- Represents vesting of one-quarter of a grant of Phantom Stock Units issued on 12/16/04 under the ADC Telecommunications, Inc. Global Stock Incentive Plan (the "Plan"). The units are subject to forfeiture and will vest one-third per year beginning December 16, 2006. The units of phantom stock will be settled, one-for-one, in shares of common stock upon vesting. This grant was previouly reported as covering 30,300 shares, but was adjusted to reflect the reverse stock split on May 10, 2005.
- Phantom Stock Units issued under the ADC Telecommunications, Inc. Global Stock Incentive Plan. Generally, full vesting of these units occurs upon the attainment of certain performance goals by the Company at the end of a three-year measurement period. If the Company does not meet the specified performance goals, the award is forfeited. The units of phantom stock will be settled, one-for-one, in shares of common stock upon vesting.
- Grant date 11/27/02; options were granted in the same transaction and have been split into an incentive stock option and a non-qualified option for tax purposes. These options are full vested. These options were previously reported as covering a total of 200,000 shares at an exercise price of \$2.26 per share, but have been adjusted to reflect the stock split on May 10, 2005.
- Grant date 12/16/04; options were granted in the same transaction and have been split into an incentive stock option and a non-qualified option for tax purposes. In the aggregate, options will vest one-quarter per year beginning December 16, 2005. These options were previously reported as covering a total of 90,700 shares at an exercise price of \$2.68 per share, but have been adjusted to reflect the stock split on May 10, 2005.
- Grant date 3/3/04; options were granted in the same transaction and have been split into an incentive stock option and a non-qualified option for tax purposes. In the aggregate, options will vest one-quarter beginning on March 3, 2005. These options were previously reported as covering a total of 66,500 shares at an exercise price of \$2.92 per share, but have been adjusted to reflect the stock split on May 10, 2005.
- The Phantom Stock Units were issued under the ADC Telecommunications, Inc. Global Stock Incentive Plan (the "Plan"). The units are subject to forfeiture and will vest one-third per year beginning March 3, 2006. The units of phantom stock will be settled, one-for-one, in shares of common stock upon vesting. This grant was previouly reported as covering 22,167 shares, with a balance of 16,262 as of 3/3/05 remaining subject to forfeiture, but was adjusted to reflect the reverse stock split on May 10, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3