

CORNERSTONE TOTAL RETURN FUND INC
Form N-PX
August 18, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number 811-02363

Cornerstone Total Return Fund, Inc.

(Exact name of registrant as specified in charter)

48 Wall Street New York, NY 10005
(Address of principal executive offices) (Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 48 Wall Street, 22nd Floor, New York, NY 10005

(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2016 - June 30, 2017

ITEM 1. PROXY VOTING RECORD.

| Company Name | Ticker | CUSIP | Meeting Date | Proposal Number | Proposal Description | Proponer |
|---------------------|--------|-----------|--------------|-----------------|--|----------|
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 1 | Elect Sondra L. Barbour | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 2 | Elect Thomas K. Brown | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 3 | Elect Vance D. Coffman | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 4 | Elect David B. Dillon | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 5 | Elect Michael L. Eskew | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 6 | Elect Herbert L. Henkel | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 7 | Elect Muhtar Kent | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 8 | Elect Edward M. Liddy | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 9 | Elect Gregory R. Page | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 10 | Elect Inge G. Thulin | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 11 | Elect Patricia A. Woertz | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 12 | Ratification of Auditor | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 15 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.1 | Elect Robert J. Alpern | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.2 | Elect Roxanne S. Austin | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.3 | Elect Sally E. Blount | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.4 | Elect Edward M. Liddy | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.5 | Elect Nancy McKinstry | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.6 | Elect Phebe N. Novakovic | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.7 | Elect William A. Osborn | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.8 | Elect Samuel C. Scott III | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.9 | Elect Daniel J. Starks | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.10 | Elect Glenn F. Tilton | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.11 | Elect Miles D. White | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 2 | Ratification of Auditor | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 5 | Approval of 2017 Incentive Stock Program | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 6 | Employee Stock Purchase Plan for Non-U.S. Employees | Mgmt |

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|---------------------------------|-----|-----------|-----------|----|--|---------|
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 7 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Aberdeen Chile Fund Inc | CH | 00301W105 | 3/30/2017 | 1 | Elect James J. Cattano | Mgmt |
| Aberdeen Chile Fund Inc | CH | 00301W105 | 3/30/2017 | 2 | Elect Steven N. Rappaport | Mgmt |
| Aberdeen Greater China Fund Inc | GCH | 003031101 | 6/21/2017 | 1 | Elect C. William Maher | Mgmt |
| Aberdeen Greater China Fund Inc | GCH | 003031101 | 6/21/2017 | 2 | Elect Jonathan J.K. Taylor | Mgmt |
| Aberdeen Singapore Fund Inc | SGF | 003244100 | 6/21/2017 | 1 | Elect Richard J. Herring | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 1 | Elect Jaime Ardila Gomez | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 2 | Elect Charles H. Giancarlo | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 3 | Elect Herbert Hainer | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 4 | Elect William L. Kimsey | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 5 | Elect Marjorie Magner | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 6 | Elect Nancy McKinstry | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 7 | Elect Pierre Nanterme | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 8 | Elect Gilles Pelisson | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 9 | Elect Paula A. Price | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 10 | Elect Arun Sarin | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 11 | Elect Frank K. Tang | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 14 | Ratification of Auditor | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 15 | Authority to Issue Shares w/ Preemptive Rights | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 16 | Authority to Issue Shares w/o Preemptive Rights | Mgmt |

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|-------------------------------------|------|-----------|-----------|-----|--|------|
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 17 | Issuance of Treasury Shares | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 1 | Elect Robert J. Corti | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 2 | Elect Hendrik Hartong III | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 3 | Elect Brian G. Kelly | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 4 | Elect Robert A. Kotick | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 5 | Elect Barry Meyer | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 6 | Elect Robert J. Morgado | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 7 | Elect Peter Nolan | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 8 | Elect Casey Wasserman | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 9 | Elect Elaine P. Wynn | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 10 | Advisory Vote on Executive Compensation | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 11 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 12 | Ratification of Auditor | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.1 | Elect Enrique R. Arzac | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.2 | Elect Phyllis O. Bonanno | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.3 | Elect Kenneth J. Dale | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.4 | Elect Frederic A. Escherich | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.5 | Elect Roger W. Gale | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.6 | Elect Kathleen T. McGahran | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.7 | Elect Craig R. Smith | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.8 | Elect Mark E. Stoeckle | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 2 | Ratification of Auditor | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.1 | Elect Enrique R. Arzac | Mgmt |

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|------------------------------|-----|-----------|-----------|-----|--|------|
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.2 | Elect Phyllis O. Bonanno | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.3 | Elect Kenneth J. Dale | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.4 | Elect Frederic A. Escherich | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.5 | Elect Roger W. Gale | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.6 | Elect Kathleen T. McGahran | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.7 | Elect Craig R. Smith | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.8 | Elect Mark E. Stoeckle | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 2 | Ratification of Auditor | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 1 | Elect Daniel P. Amos | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 2 | Elect Paul S. Amos II | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 3 | Elect W. Paul Bowers | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 4 | Elect Kriss Cloninger III | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 5 | Elect Toshihiko Fukuzawa | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 6 | Elect Elizabeth J. Hudson | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 7 | Elect Douglas W. Johnson | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 8 | Elect Robert B. Johnson | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 9 | Elect Thomas J. Kenny | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 10 | Elect Charles B. Knapp | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 11 | Elect Karole F Lloyd | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 12 | Elect Joseph L. Moskowitz | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 13 | Elect Barbara K. Rimer | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 14 | Elect Melvin T. Stith | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |

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|----------------------------------|-----|-----------|-----------|----|---|------|
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 17 | Ratification of Auditor | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 18 | Amendment to the Long-Term Incentive Plan | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 19 | Approval of the Amended and Restated 2018 Management Incentive Plan | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 1 | Elect Susan K. Carter | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 2 | Elect Charles I. Cogut | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 3 | Elect Seifi Ghasemi | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 4 | Elect Chadwick C. Deaton | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 5 | Elect David H. Y. Ho | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 6 | Elect Margaret G. McGlynn | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 7 | Elect Edward L. Monser | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 8 | Elect Matthew H. Paull | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 9 | Advisory Vote on Executive Compensation | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 10 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 11 | Ratification of Auditor | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 1 | Elect Nesli Basgoz | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 2 | Elect Paul M. Bisaro | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 3 | Elect James H. Bloem | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 4 | Elect Christopher W. Bodine | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 5 | Elect Adriane M. Brown | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 6 | Elect Christopher J. Coughlin | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 7 | Elect Catherine M. Klema | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 8 | Elect Peter J. McDonnell | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 9 | Elect Patrick J. O'Sullivan | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 10 | Elect Brenton L. Saunders | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 11 | Elect Ronald R. Taylor | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 12 | Elect Fred G. Weiss | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 14 | | Mgmt |

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| | | | | | Frequency of Advisory Vote on Executive Compensation | |
|----------------------|-------|-----------|-----------|------|---|---------|
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 15 | Ratification of Auditor | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 16 | Approval of performance goals under the 2017 Annual Incentive Compensation Plan | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 17 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 1 | Elect Kermit R. Crawford | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 2 | Elect Michael L. Eskew | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 3 | Elect Siddharth N. Mehta | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 4 | Elect Jacques P. Perold | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 5 | Elect Andrea Redmond | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 6 | Elect John W. Rowe | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 7 | Elect Judith A. Sprieser | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 8 | Elect Mary Alice Taylor | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 9 | Elect Perry M. Traquina | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 10 | Elect Thomas J. Wilson | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 13 | Approval of the 2017 Equity Compensation Plan for Non-Employee Directors | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 14 | Ratification of Auditor | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 15 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 16 | Shareholder Proposal Regarding Lead Director Tenure | ShrHold |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 17 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.1 | Elect Larry Page | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.2 | Elect Sergey Brin | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.3 | Elect Eric E. Schmidt | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.4 | Elect L. John Doerr | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.5 | Elect Roger W. Ferguson, Jr. | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.6 | Elect Diane B. Greene | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.7 | Elect John L. Hennessy | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.8 | Elect Ann Mather | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.9 | Elect Alan R. Mulally | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.10 | Elect Paul S. Otellini | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.11 | Elect K. Ram Shriram | Mgmt |

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|---------------------------------------|-------|-----------|-----------|------|--|---------|
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.12 | Elect Shirley M. Tilghman | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 2 | Ratification of Auditor | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 3 | Amendment to the 2012 Stock Plan | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 4 | Advisory Vote on Executive Compensation | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 5 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 6 | Shareholder Proposal Regarding Recapitalization | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 7 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 8 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 9 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 10 | Shareholder Proposal Regarding Charitable Contributions Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 11 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 12 | Shareholder Proposal Regarding False News | ShrHold |
| Alpine Global Dynamic Dividend Fund | AGD | 021060207 | 5/9/2017 | 1.1 | Elect Eleanor T Hoagland | Mgmt |
| Alpine Global Dynamic Dividend Fund | AGD | 021060207 | 5/9/2017 | 1.2 | Elect Jeffrey E. Wacksman | Mgmt |
| Alpine Global Dynamic Dividend Fund | AGD | 021060207 | 5/9/2017 | 2 | Transaction of Other Business | Mgmt |
| Alpine Global Premier Properties Fund | AGD | 02083A103 | 5/9/2017 | 1.1 | Elect Eleanor T Hoagland | Mgmt |
| Alpine Global Premier Properties Fund | AGD | 02083A103 | 5/9/2017 | 1.2 | Elect Jeffrey E. Wacksman | Mgmt |
| Alpine Global Premier Properties Fund | AGD | 02083A103 | 5/9/2017 | 2 | Transaction of Other Business | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 1 | Elect Jeffrey P. Bezos | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 2 | Elect Tom A. Alberg | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 3 | Elect John Seely Brown | Mgmt |

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|---------------------------------------|------|-----------|-----------|----|--|---------|
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 4 | Elect Jamie S. Gorelick | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 5 | Elect Daniel P. Huttenlocher | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 6 | Elect Judith A. McGrath | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 7 | Elect Jonathan J. Rubinstein | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 8 | Elect Thomas O. Ryder | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 9 | Elect Patricia Q. Stonesifer | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 10 | Elect Wendell P. Weeks | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 11 | Ratification of Auditor | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 14 | Amendment to the 1997 Stock Incentive Plan | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 15 | Shareholder Proposal Regarding Report on Use of Criminal Background Checks in Hiring Decisions | ShrHold |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 16 | Shareholder Proposal Regarding Linking Executive Pay to Sustainability | ShrHold |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 17 | Shareholder Proposal Regarding Counting Abstentions | ShrHold |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 1 | Elect Nicholas K. Akins | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 2 | Elect David J. Anderson | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 3 | Elect J. Barnie Beasley, Jr. | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 4 | Elect Ralph D. Crosby, Jr. | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 5 | Elect Linda A. Goodspeed | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 6 | Elect Thomas E. Hoaglin | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 7 | Elect Sandra Beach Lin | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 8 | Elect Richard C. Notebaert | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 9 | Elect Lionel L. Nowell, III | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 10 | Elect Stephen S. Rasmussen | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 11 | Elect Oliver G. Richard, III | Mgmt |

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| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 12 | Elect Sara Martinez Tucker | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 13 | Reapprove the Material Terms of the Senior Officer Incentive Plan | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 14 | Ratification of Auditor | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 1 | Elect Charlene Barshefsky | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 2 | Elect John J. Brennan | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 3 | Elect Ursula M. Burns | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 4 | Elect Kenneth I. Chenault | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 5 | Elect Peter Chernin | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 6 | Elect Ralph de la Vega | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 7 | Elect Anne L. Lauvergeon | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 8 | Elect Micheal O. Leavitt | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 9 | Elect Theodore Leonsis | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 10 | Elect Richard C. Levin | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 11 | Elect Samuel J. Palmisano | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 12 | Elect Daniel L. Vasella | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 13 | Elect Robert D. Walter | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 14 | Elect Ronald A. Williams | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 15 | Ratification of Auditor | Mgmt |
| American Express Company | AXP | 025816109 | 5/1/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| | AXP | 025816109 | 5/1/2017 | 17 | | Mgmt |

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| American Express Company | | | | | Frequency of Advisory Vote on Executive Compensation | |
| American Express Company | AXP | 025816109 | 5/1/2017 | 18 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| American Express Company | AXP | 025816109 | 5/1/2017 | 19 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 1 | Elect Gustavo Lara Cantu | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 2 | Elect Raymond P. Dolan | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 3 | Elect Robert D. Hormats | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 4 | Elect Craig Macnab | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 5 | Elect JoAnn A. Reed | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 6 | Elect Pamela D.A. Reeve | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 7 | Elect David E. Sharbutt | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 8 | Elect James D. Taiclet, Jr. | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 9 | Elect Samme L. Thompson | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 10 | Ratification of Auditor | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 1 | Elect R. Kerry Clark | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 2 | Elect Robert L. Dixon, Jr. | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 3 | Ratification of Auditor | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 4 | Advisory Vote on Executive Compensation | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 5 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 6 | Amendments to Articles to Allow Shareholders to Amend Bylaws | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 7 | Approval of the 2017 Anthem Incentive Compensation Plan | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 1 | Elect Lester B. Knight | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 2 | Elect Gregory C. Case | Mgmt |

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| Aon plc | AON | G0408V102 | 6/23/2017 | 3 | Elect Jin-Yong Cai | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 4 | Elect Fulvio Conti | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 5 | Elect Cheryl Francis | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 6 | Elect J. Michael Losh | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 7 | Elect Robert S. Morrison | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 8 | Elect Richard B. Myers | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 9 | Elect Richard C. Notebaert | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 10 | Elect Gloria Santona | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 11 | Elect Carolyn Y. Woo | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 14 | Remuneration Policy (Binding) | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 15 | Remuneration Report (Advisory) | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 16 | Accounts and Reports | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 17 | Ratification of Auditor | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 18 | Ratification of Statutory Auditor | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 19 | Authority to Set Auditor's Fees | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 20 | Approval of Share Repurchase Contracts and Repurchase Counterparties | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 21 | Authority to Issue Shares w/ or w/o Preemptive Rights | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 22 | Authority to Issue Shares w/o Preemptive Rights | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 23 | Authorisation of Political Donations | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 1 | Elect Alan L. Boeckmann | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 2 | Elect Terrell K. Crews | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 3 | Elect Pierre Dufour | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 4 | Elect Donald E. Felsing | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 5 | Elect Suzan F. Harrison | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 6 | Elect Juan R. Luciano | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 7 | Elect Patrick J. Moore | Mgmt |

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| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 8 | Elect Francisco Sanchez | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 9 | Elect Debra A. Sandler | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 10 | Elect Daniel Shih | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 11 | Elect Kelvin R. Westbrook | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 12 | Ratification of Auditor | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 1 | Elect Randall L. Stephenson | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 2 | Elect Samuel A. Di Piazza, Jr. | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 3 | Elect Richard W. Fisher | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 4 | Elect Scott T. Ford | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 5 | Elect Glenn H. Hutchins | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 6 | Elect William E. Kennard | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 7 | Elect Michael B. McCallister | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 8 | Elect Beth E. Mooney | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 9 | Elect Joyce M. Roche | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 10 | Elect Matthew K. Rose | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 11 | Elect Cindy B. Taylor | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 12 | Elect Laura D'Andrea Tyson | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 13 | Elect Geoffrey Y. Yang | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 14 | Ratification of Auditor | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 17 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHold |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 19 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 20 | Shareholder Proposal Regarding Lowering Threshold for Right to Act by Written Consent | ShrHold |
| | ADP | 053015103 | 11/8/2016 | 1.1 | Elect Peter Bisson | Mgmt |

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|---------------------------------|-----|-----------|-----------|------|---|--|------|
| Automatic Data Processing, Inc. | | | | | | | |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.2 | Elect Richard T. Clark | | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.3 | Elect Eric C. Fast | | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.4 | Elect Linda R. Gooden | | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.5 | Elect Michael P. Gregoire | | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.6 | Elect R. Glenn Hubbard | | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.7 | Elect John P. Jones | | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.8 | Elect William J. Ready | | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.9 | Elect Carlos A. Rodriguez | | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.10 | Elect Sandra S. Wijnberg | | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 2 | Advisory Vote on Executive Compensation | | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 3 | Ratification of Auditor | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 1 | Elect Gregory D. Brennehan | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 2 | Elect Clarence P. Cazalot, Jr. | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 3 | Elect Martin S. Craighead | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 4 | Elect William H. Easter III | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 5 | Elect Lynn L. Elsenhans | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 6 | Elect Anthony G. Fernandes | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 7 | Elect Claire W. Gargalli | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 8 | Elect Pierre J. Jungels | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 9 | Elect James A. Lash | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 10 | Elect J. Larry Nichols | | Mgmt |

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| Baker Hughes Incorporated | | | | | | | | | |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 11 | Elect James W. Stewart | | | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 12 | Elect Charles L. Watson | | | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 13 | Advisory Vote on Executive Compensation | | | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | | | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 15 | Ratification of Auditor | | | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 16 | Shareholder Proposal Regarding Counting Abstentions | | | | ShrHold |
| Baker Hughes Incorporated | BHI | 057224107 | 6/30/2017 | 1 | Merger | | | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 6/30/2017 | 2 | Right to Adjourn Meeting | | | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 6/30/2017 | 3 | Advisory Vote on Golden Parachutes | | | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 6/30/2017 | 4 | Approval of the 2017 Long-Term Incentive Plan | | | | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 6/30/2017 | 5 | Approval of Performance Terms under the STI Plan and LTI Plan | | | | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 1 | Elect Sharon L. Allen | | | | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 2 | Elect Susan S. Bies | | | | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 3 | Elect Jack O. Bovender, Jr. | | | | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 4 | Elect Frank P. Bramble, Sr. | | | | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 5 | Elect Pierre J. P. de Weck | | | | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 6 | Elect Arnold W. Donald | | | | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 7 | Elect Linda P. Hudson | | | | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 8 | Elect Monica C. Lozano | | | | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 9 | Elect Thomas J. May | | | | Mgmt |
| | BAC | 060505104 | 4/26/2017 | 10 | Elect Brian T. Moynihan | | | | Mgmt |

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Bank of America Corporation

| | | | | | | |
|-------------------------------------|-----|-----------|-----------|----|--|---------|
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 11 | Elect Lionel L. Nowell, III | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 12 | Elect Michael D. White | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 13 | Elect Thomas D. Woods | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 14 | Elect R. David Yost | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 17 | Ratification of Auditor | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 18 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHold |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 19 | Shareholder Proposal Regarding Study Session to Address Divestiture of Non-Core Banking Assets | ShrHold |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 20 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 21 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 1 | Elect Linda Z. Cook | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 2 | Elect Nicholas M. Donofrio | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 3 | Elect Joseph J. Echevarria | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 4 | Elect Edward P. Garden | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 5 | Elect Jeffrey A. Goldstein | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 6 | Elect Gerald L. Hassell | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 7 | Elect John M. Hinshaw | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 8 | Elect Edmund F. Kelly | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 9 | Elect John A. Luke, Jr. | Mgmt |

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| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 10 | Elect Jennifer B. Morgan | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 11 | Elect Mark A. Nordenberg | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 12 | Elect Elizabeth E. Robinson | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 13 | Elect Samuel C. Scott III | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 16 | Ratification of Auditor | Mgmt |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/11/2017 | 17 | Shareholder Proposal Regarding Proxy Voting Practices | ShrHold |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 1 | Elect Jose E. Almeida | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 2 | Elect Thomas F. Chen | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 3 | Elect John D. Forsyth | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 4 | Elect Munib Islam | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 5 | Elect Michael F. Mahoney | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 6 | Elect Carole J. Shapazian | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 7 | Elect Thomas T. Stallkamp | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 8 | Elect Albert P.L. Stroucken | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 9 | Advisory Vote on Executive Compensation | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 10 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 11 | Ratification of Auditor | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 12 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 1 | Elect Jennifer S. Banner | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 2 | Elect K. David Boyer, Jr. | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 3 | Elect Anna R. Cablik | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 4 | Elect James A. Faulkner | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 5 | Elect I. Patricia Henry | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 6 | Elect Eric C. Kendrick | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 7 | Elect Kelly S. King | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 8 | Elect Louis B. Lynn | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 9 | Elect Charles A. Patton | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 10 | Elect Nido R. Qubein | Mgmt |

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| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 11 | Elect William J. Reuter | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 12 | Elect Tollie W. Rich, Jr. | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 13 | Elect Christine Sears | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 14 | Elect Thomas E. Skains | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 15 | Elect Thomas N. Thompson | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 16 | Elect Stephen T. Williams | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 17 | Ratification of Auditor | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 18 | Advisory Vote on Executive Compensation | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 19 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 20 | Amendment to the 2012 Incentive Plan | Mgmt |
| BB&T Corporation | BBT | 054937107 | 4/25/2017 | 21 | Shareholder Proposal Regarding Simple Majority Vote | ShrHold |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.1 | Elect Warren E. Buffett | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.2 | Elect Charles T. Munger | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.3 | Elect Howard G. Buffett | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.4 | Elect Stephen B. Burke | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.5 | Elect Susan L. Decker | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.6 | Elect William H. Gates III | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.7 | Elect David S. Gottesman | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.8 | Elect Charlotte Guyman | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.9 | Elect Thomas S. Murphy | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.10 | Elect Ronald L. Olson | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.11 | Elect Walter Scott, Jr. | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.12 | Elect Meryl B. Witmer | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| | BRK.A | 084670702 | 5/6/2017 | 4 | | ShrHold |

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|-------------------------------------|-------|-----------|-----------|-----|--|--|---------|
| Berkshire Hathaway Inc. | | | | | | Shareholder Proposal Regarding Political Contributions and Expenditures Report | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 5 | | Shareholder Proposal Regarding Methane Emissions Report | ShrHold |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 6 | | Shareholder Proposal Regarding Fossil Fuel Divestment | ShrHold |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.1 | | Elect Catherine A. Lynch | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.2 | | Elect Richard E. Cavanagh | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.3 | | Elect Cynthia L. Egan | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.4 | | Elect Jerrold B. Harris | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.5 | | Elect Barbara G. Novick | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 1 | | Elect Peter J. Arduini | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 2 | | Elect Robert J. Bertolini | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 3 | | Elect Giovanni Caforio | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 4 | | Elect Matthew W. Emmens | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 5 | | Elect Laurie H. Glimcher | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 6 | | Elect Michael Grobstein | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 7 | | Elect Alan J. Lacy | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 8 | | Elect Dinesh Paliwal | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 9 | | Elect Theodore R. Samuels | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 10 | | Elect Gerald Storch | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 11 | | Elect Vicki L. Sato | Mgmt |
| | BMY | 110122108 | 5/2/2017 | 12 | | Advisory Vote on Executive Compensation | Mgmt |

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| Bristol-Myers Squibb Company | | | | | | | |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt | |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 14 | Amendment to the 2012 Stock Award and Incentive Plan for the Purposes of Section 162(m) | Mgmt | |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 15 | Amendment to the 2012 Stock Award and Incentive Plan | Mgmt | |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 16 | Ratification of Auditor | Mgmt | |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 17 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 1 | Elect Hock E. Tan | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 2 | Elect James V. Diller, Sr. | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 3 | Elect Lewis C. Eggebrecht | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 4 | Elect Kenneth Y. Hao | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 5 | Elect Eddy W. Hartenstein | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 6 | Elect Check Kian Low | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 7 | Elect Donald Macleod | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 8 | Elect Peter J. Marks | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 9 | Elect Dr. Henry S. Samueli | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 10 | Appointment of Auditor and Authority to Set Fees | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 11 | Authority to Issue Ordinary and Special Preference Shares | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt | |
| Broadcom Limited | AVGO | Y09827109 | 4/5/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 1 | Elect Richard D. Fairbank | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 2 | Elect Ann Fritz Hackett | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 3 | Elect Lewis Hay, III | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 4 | Elect Benjamin P. Jenkins, III | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 5 | Elect Peter Thomas Killalea | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 6 | Elect Pierre E. Leroy | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 7 | Elect Peter E. Raskind | Mgmt | |

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| Capital One Financial Corporation | | | | | | | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 8 | Elect Mayo A. Shattuck III | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 9 | Elect Bradford H. Warner | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 10 | Elect Catherine G. West | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 11 | Ratification of Auditor | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 14 | Amendment of the 2002 Associate Stock Purchase Plan | Mgmt | |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 1 | Elect David J. Anderson | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 2 | Elect Colleen F. Arnold | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 3 | Elect George S. Barrett | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 4 | Elect Carrie S. Cox | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 5 | Elect Calvin Darden | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 6 | Elect Bruce L. Downey | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 7 | Elect Patricia Hemingway Hall | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 8 | Elect Clayton M. Jones | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 9 | Elect Gregory B. Kenny | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 10 | Elect Nancy Killefer | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 11 | Elect David P. King | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 12 | Ratification of Auditor | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 13 | Amendment to the 2011 Long Term Incentive Plan | Mgmt | |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt | |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 1 | Elect Micky Arison | Mgmt | |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 2 | Elect Sir Jonathon Band | Mgmt | |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 3 | Elect Helen Deeble | Mgmt | |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 4 | Elect Arnold W. Donald | Mgmt | |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 5 | Elect Richard J. Glasier | Mgmt | |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 6 | Elect Debra J. Kelly-Ennis | Mgmt | |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 7 | Elect Sir John Parker | Mgmt | |

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| Carnival plc | CCL | 143658300 | 4/5/2017 | 8 | Elect Stuart Subotnick | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 9 | Elect Laura A. Weil | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 10 | Elect Randall J. Weisenburger | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 13 | Approval of Directors' Remuneration Report | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 14 | Approval of Directors' Remuneration Policy | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 15 | Appointment of Auditor | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 16 | Authority to Set Auditor's Fees | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 17 | Accounts and Reports | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 18 | Authority to Issue Shares w/ Preemptive Rights | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 19 | Authority to Issue Shares w/o Preemptive Rights | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 20 | Authority to Repurchase Shares | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 1 | Elect David L. Calhoun | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 2 | Elect Daniel M. Dickinson | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 3 | Elect Juan Gallardo | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 4 | Elect Jesse J. Greene, Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 5 | Elect Jon M. Huntsman, Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 6 | Elect Dennis A. Muilenburg | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 7 | Elect William A. Osborn | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 8 | Elect Debra L. Reed | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 9 | Elect Edward B. Rust, Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 10 | Elect Susan C. Schwab | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 11 | Elect Jim Umpleby | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 12 | Elect Miles D. White | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 13 | Elect Rayford Wilkins Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 14 | Ratification of Auditor | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 17 | Amendment to the 2014 Long Term Incentive Plan | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 19 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |

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| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 20 | Shareholder Proposal Regarding Lobbying Priorities | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 21 | Shareholder Proposal Regarding Linking Executive Pay to Sustainability | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 22 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 23 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 10/12/2016 | 1.1 | Elect Richard L. Sutton | Mgmt |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 10/12/2016 | 1.2 | Elect John R. Bartholdson | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 1 | Elect Wanda M. Austin | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 2 | Elect Linnet F. Deily | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 3 | Elect Robert E. Denham | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 4 | Elect Alice P. Gast | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 5 | Elect Enrique Hernandez, Jr. | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 6 | Elect Jon M. Huntsman, Jr. | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 7 | Elect Charles W. Moorman, IV | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 8 | Elect Dambisa F. Moyo | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 9 | Elect Ronald D. Sugar | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 10 | Elect Inge G. Thulin | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 11 | Elect John S. Watson | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 12 | Elect Michael K. Wirth | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 13 | Ratification of Auditor | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 17 | Shareholder Proposal Regarding Report On Doing Business With Governments Complicit in Genocide | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 18 | Shareholder Proposal Regarding Climate Change Policy Risk | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 19 | Shareholder Proposal Regarding Report on Transition to a Low Carbon Economy | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 20 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |

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| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 21 | Shareholder Proposal Regarding Environmental Expertise on Board | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 22 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.1 | Elect Albert S. Baldocchi | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.2 | Elect Paul T. Cappuccio | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.3 | Elect Steve Ells | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.4 | Elect Neil Flanzraich | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.5 | Elect Robin Hickenlooper | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.6 | Elect Kimbal Musk | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.7 | Elect Ali Namvar | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.8 | Elect Matthew H. Paull | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 4 | Ratification of Auditor | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 5 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 1 | Accounts and Reports | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 2 | Allocation of Profits | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 3 | Dividends from Reserves | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 4 | Ratification of Board Acts | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 5 | Election of Statutory Auditors | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 6 | Appointment of Auditor | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 7 | Appointment of Special Auditor | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 8 | Elect Evan G. Greenberg | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 9 | Elect Robert M. Hernandez | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 10 | Elect Michael G. Atieh | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 11 | Elect Sheila P. Burke | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 12 | Elect James I. Cash, Jr. | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 13 | Elect Mary A. Cirillo | Mgmt |

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| Chubb Limited | CB | H1467J104 | 5/18/2017 | 14 | Elect Michael P. Connors | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 15 | Elect John A. Edwardson | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 16 | Elect Leo F. Mullin | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 17 | Elect Kimberly Ross | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 18 | Elect Robert W. Scully | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 19 | Elect Eugene B. Shanks, Jr. | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 20 | Elect Theodore Shasta | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 21 | Elect David H. Sidwell | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 22 | Elect Olivier Steimer | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 23 | Elect James M. Zimmerman | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 24 | Elect Evan G. Greenberg as Chairman of the Board | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 25 | Elect Michael P. Connors | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 26 | Elect Mary A. Cirillo | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 27 | Elect Robert M. Hernandez | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 28 | Elect Robert W. Scully | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 29 | Elect James M. Zimmerman | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 30 | ELECTION OF HOMBURGER AG AS INDEPENDENT PROXY | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 31 | APPROVAL OF AMENDED AND RESTATED CHUBB LIMITED EMPLOYEE STOCK PURCHASE PLAN | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 32 | Board Compensation | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 33 | Executive Compensation | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 34 | Advisory Vote on Executive Compensation | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 35 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 36 | Additional or Miscellaneous Proposals | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 1 | Elect David M. Cordani | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 2 | Elect Eric J. Foss | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 3 | Elect Isaiah Harris, Jr. | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 4 | Elect Jane E. Henney | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 5 | Elect Roman Martinez IV | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 6 | Elect Donna F. Zarcone | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 7 | Elect William D. Zollars | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 8 | Advisory Vote on Executive Compensation | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 9 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 10 | | Mgmt |

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| | | | | | Amendment to the Cigna Long-Term Incentive Plan | |
|---------------------|------|-----------|------------|----|--|---------|
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 11 | Ratification of Auditor | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 12 | Shareholder Proposal Regarding Proxy Access | ShrHold |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 1 | Elect Carol A. Bartz | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 2 | Elect M. Michele Burns | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 3 | Elect Michael D. Capellas | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 4 | Elect John T. Chambers | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 5 | Elect Amy Chang | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 6 | Elect John L. Hennessy | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 7 | Elect Kristina M. Johnson | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 8 | Elect Roderick C. McGeary | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 9 | Elect Charles H. Robbins | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 10 | Elect Arun Sarin | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 11 | Elect Steven M. West | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 13 | Ratification of Auditor | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 14 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 15 | Shareholder Proposal Regarding Breakdown of Workforce in Israel-Palestine | ShrHold |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 16 | Shareholder Proposal Regarding Formation of Board Committee on Business with Israeli Settlements | ShrHold |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 1 | Elect Michael L. Corbat | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 2 | Elect Ellen M. Costello | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 3 | Elect Duncan P. Hennes | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 4 | Elect Peter B. Henry | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 5 | Elect Franz B. Humer | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 6 | Elect Renee J. James | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 7 | Elect Eugene M. McQuade | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 8 | Elect Michael E. O'Neill | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 9 | Elect Gary M. Reiner | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 10 | Elect Anthony M. Santomero | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 11 | Elect Diana L. Taylor | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 12 | Elect William S. Thompson, Jr. | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 13 | Elect James S. Turley | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 14 | Elect Deborah C. Wright | Mgmt |

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|-----------------------------------|-----|-----------|-----------|-----|---|---------|
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 15 | Elect Ernesto Zedillo Ponce de Leon | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 16 | Ratification of Auditor | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 17 | Advisory Vote on Executive Compensation | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 18 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 19 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 20 | Shareholder Proposal Regarding Formation of Shareholder Value Committee | ShrHold |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 21 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 22 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHold |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 23 | Shareholder Proposal Regarding Government Service Vesting | ShrHold |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/20/2016 | 1.1 | Elect John F. Mee | Mgmt |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/20/2016 | 1.2 | Elect Vincent W. Versaci | Mgmt |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/20/2016 | 1.3 | Elect Edmund J. Burke | Mgmt |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/20/2016 | 1.1 | Elect Robert L. Butler | Mgmt |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/20/2016 | 1.2 | Elect Richard C. Rantzow | Mgmt |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/20/2016 | 1.3 | Elect Vincent W. Versaci | Mgmt |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/20/2016 | 1.4 | Elect James E. Canty | Mgmt |
| Clough Global Opportunities Fund. | GLO | 18914E106 | 7/20/2016 | 1.1 | Elect Adam D. Crescenzi | Mgmt |
| Clough Global Opportunities Fund. | GLO | 18914E106 | 7/20/2016 | 1.2 | Elect Jerry G. Rutledge | Mgmt |
| Clough Global Opportunities Fund. | GLO | 18914E106 | 7/20/2016 | 1.3 | Elect Vincent W. Versaci | Mgmt |

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|-------------------|-----|-----------|-----------|----|---|------|
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 1 | Elect Terrence A. Duffy | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 2 | Elect Timothy S. Bitsberger | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 3 | Elect Charles P. Carey | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 4 | Elect Dennis H. Chookaszian | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 5 | Elect Ana Dutra | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 6 | Elect Martin J. Gepsman | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 7 | Elect Larry G. Gerdes | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 8 | Elect Daniel R. Glickman | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 9 | Elect Leo Melamed | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 10 | Elect Alex J. Pollock | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 11 | Elect John F. Sandner | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 12 | Elect Terry L. Savage | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 13 | Elect William R. Shepard | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 14 | Elect Dennis A. Suskind | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 15 | Ratification of Auditor | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 18 | Amendment to the Incentive Plan for Named Executive Officers | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 19 | Approval of the Amendment and Restatement of the Omnibus Stock Plan | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 1 | Elect Herbert A. Allen | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 2 | Elect Ronald W. Allen | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 3 | Elect Marc Bolland | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 4 | Elect Ana Patricia Botin | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 5 | Elect Richard M. Daley | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 6 | Elect Barry Diller | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 7 | Elect Helene D. Gayle | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 8 | Elect Alexis M. Herman | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 9 | Elect Muhtar Kent | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 10 | Elect Robert A. Kotick | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 11 | Elect Maria Elena Lagomasino | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 12 | Elect Sam Nunn | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 13 | Elect James Quincey | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 14 | Elect David B. Weinberg | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |

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|---|------|-----------|-----------|-----|--|---------|
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 17 | Ratification of Auditor | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 18 | Shareholder Proposal Regarding Country Selection Guidelines | ShrHold |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 1 | Elect Zein Abdalla | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 2 | Elect Betsy Atkins | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 3 | Elect Maureen Breakiron-Evans | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 4 | Elect Jonathan Chadwick | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 5 | Elect John M. Dineen | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 6 | Elect Francisco D'Souza | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 7 | Elect John N. Fox, Jr. | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 8 | Elect John E. Klein | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 9 | Elect Leo S. Mackay, Jr. | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 10 | Elect Michael Patsalos-Fox | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 11 | Elect Robert E. Weissman | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 14 | Approval of the 2017 Incentive Award Plan | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 15 | Ratification of Auditor | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 16 | Shareholder Proposal Regarding Supermajority Provisions | ShrHold |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 17 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| Cohen & Steers Closed-End Opportunity Fund Inc. | FOF | 19248P106 | 4/27/2017 | 1.1 | Elect Michael Clark | Mgmt |
| | FOF | 19248P106 | 4/27/2017 | 1.2 | Elect Bonnie Cohen | Mgmt |

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Cohen & Steers
Closed-End Opportunity
Fund Inc.

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|---|-----|-----------|-----------|-----|--------------------|------|
| Cohen & Steers Closed-End Opportunity Fund Inc. | FOF | 19248P106 | 4/27/2017 | 1.3 | Elect Dean Junkans | Mgmt |
|---|-----|-----------|-----------|-----|--------------------|------|

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|---|-----|-----------|-----------|-----|------------------------|------|
| Cohen & Steers Closed-End Opportunity Fund Inc. | FOF | 19248P106 | 4/27/2017 | 1.4 | Elect Richard E. Kroon | Mgmt |
|---|-----|-----------|-----------|-----|------------------------|------|

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|---|-----|-----------|-----------|-----|---------------------|------|
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/27/2017 | 1.1 | Elect Michael Clark | Mgmt |
|---|-----|-----------|-----------|-----|---------------------|------|

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|---|-----|-----------|-----------|-----|--------------------|------|
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/27/2017 | 1.2 | Elect Bonnie Cohen | Mgmt |
|---|-----|-----------|-----------|-----|--------------------|------|

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| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/27/2017 | 1.3 | Elect Dean Junkans | Mgmt |
|---|-----|-----------|-----------|-----|--------------------|------|

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|---|-----|-----------|-----------|-----|------------------------|------|
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/27/2017 | 1.4 | Elect Richard E. Kroon | Mgmt |
|---|-----|-----------|-----------|-----|------------------------|------|

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|------------------------------|----|-----------|-----------|---|---------------------------|------|
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 1 | Elect Charles A. Bancroft | Mgmt |
|------------------------------|----|-----------|-----------|---|---------------------------|------|

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|------------------------------|----|-----------|-----------|---|-----------------------|------|
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 2 | Elect John P. Bilbrey | Mgmt |
|------------------------------|----|-----------|-----------|---|-----------------------|------|

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| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 3 | Elect John T. Cahill | Mgmt |
|------------------------------|----|-----------|-----------|---|----------------------|------|

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| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 4 | Elect Ian M. Cook | Mgmt |
|------------------------------|----|-----------|-----------|---|-------------------|------|

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| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 5 | Elect Helene D. Gayle | Mgmt |
|------------------------------|----|-----------|-----------|---|-----------------------|------|

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| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 6 | Elect Ellen M. Hancock | Mgmt |
|------------------------------|----|-----------|-----------|---|------------------------|------|

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| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 7 | Elect C. Martin Harris | Mgmt |
|------------------------------|----|-----------|-----------|---|------------------------|------|

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| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 8 | Elect Lorrie Norrington | Mgmt |
|------------------------------|----|-----------|-----------|---|-------------------------|------|

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| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 9 | Elect Michael B. Polk | Mgmt |
|------------------------------|----|-----------|-----------|---|-----------------------|------|

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| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 10 | Elect Stephen I. Sadove | Mgmt |
|------------------------------|----|-----------|-----------|----|-------------------------|------|

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|------------------------------|----|-----------|-----------|----|-------------------------|------|
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 11 | Ratification of Auditor | Mgmt |
|------------------------------|----|-----------|-----------|----|-------------------------|------|

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| | CL | 194162103 | 5/12/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
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Colgate-Palmolive
Company

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|-------------------------------|-----|-----------|-----------|------|--|---------|
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 14 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHold |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.1 | Elect Bradley A. Alford | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.2 | Elect Thomas K. Brown | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.3 | Elect Stephen G. Butler | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.4 | Elect Sean M. Connolly | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.5 | Elect Steven F. Goldstone | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.6 | Elect Joie A. Gregor | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.7 | Elect Rajive Johri | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.8 | Elect William G. Jurgensen | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.9 | Elect Richard H. Lenny | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.10 | Elect Ruth Ann Marshall | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.11 | Elect Timothy R. McLevish | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 2 | Ratification of Auditor | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 1 | Elect Timothy A. Leach | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 2 | Elect William H. Easter III | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 3 | Elect John P. Surma | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 4 | Ratification of Auditor | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 5 | Advisory Vote on Executive Compensation | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 6 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.1 | Elect Jerry Fowden | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.2 | Elect Barry A. Fromberg | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.3 | Elect Robert L. Hanson | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.4 | Elect Ernesto M. Hernandez | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.5 | Elect James A. Locke III | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.6 | Elect Daniel J. McCarthy | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.7 | Elect Richard Sands | Mgmt |

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| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.8 | Elect Robert Sands | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.9 | Elect Judy A. Schmeling | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.10 | Elect Keith E. Wandell | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 2 | Ratification of Auditor | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 1 | Elect Donald W. Blair | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 2 | Elect Stephanie A. Burns | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 3 | Elect John A. Canning Jr. | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 4 | Elect Richard T. Clark | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 5 | Elect Robert F. Cummings, Jr. | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 6 | Elect Deborah A. Henretta | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 7 | Elect Daniel P. Huttenlocher | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 8 | Elect Kurt M. Landgraf | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 9 | Elect Kevin J. Martin | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 10 | Elect Deborah Rieman | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 11 | Elect Hansel E. Tookes II | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 12 | Elect Wendell P. Weeks | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 13 | Elect Mark S. Wrighton | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 16 | Ratification of Auditor | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 17 | Amendment to the 2012 Long Term Incentive Plan | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 1 | Elect Richard M. Bracken | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 2 | Elect C. David Brown II | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 3 | Elect Alecia A. DeCoudreaux | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 4 | Elect Nancy-Ann M. DeParle | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 5 | Elect David W. Dorman | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 6 | Elect Anne M. Finucane | Mgmt |

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| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 7 | Elect Larry J. Merlo | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 8 | Elect Jean-Pierre Millon | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 9 | Elect Mary L. Schapiro | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 10 | Elect Richard J. Swift | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 11 | Elect William C. Weldon | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 12 | Elect Tony L. White | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 13 | Ratification of Auditor | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 16 | Approval of the 2017 Incentive Compensation Plan | Mgmt |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 17 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 18 | Shareholder Proposal Regarding Report on Ratio Between CEO and Employee Pay | ShrHold |
| CVS Health Corporation | CVS | 126650100 | 5/10/2017 | 19 | Shareholder Proposal Regarding Report on Renewable Energy Sourcing | ShrHold |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 1 | Elect Donald J. Ehrlich | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 2 | Elect Linda P. Hefner Filler | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 3 | Elect Robert J. Hugin | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 4 | Elect Thomas P. Joyce, Jr. | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 5 | Elect Teri List-Stoll | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 6 | Elect Walter G. Lohr, Jr. | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 7 | Elect Mitchell P. Rales | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 8 | Elect Steven M. Rales | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 9 | Elect John T. Schwieters | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 10 | Elect Alan G. Spoon | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 11 | Elect Raymond C. Stevens | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 12 | Elect Elias A. Zerhouni | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 13 | Ratification of Auditor | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 14 | Amendment to the 2007 Omnibus Incentive Plan | Mgmt |

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| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 15 | Amendment to the 2007 Executive Incentive Compensation Plan | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 18 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHold |
| Deere & Company | DE | 244199105 | 2/22/2017 | 1 | Elect Samuel R. Allen | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 2 | Elect Crandall C. Bowles | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 3 | Elect Vance D. Coffman | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 4 | Elect Alan C. Heuberger | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 5 | Elect Dipak C. Jain | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 6 | Elect Michael O. Johanns | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 7 | Elect Clayton M. Jones | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 8 | Elect Brian M. Krzanich | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 9 | Elect Gregory R. Page | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 10 | Elect Sherry M. Smith | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 11 | Elect Dmitri L. Stockton | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 12 | Elect Sheila G. Talton | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 13 | ADVISORY VOTE ON EXECUTIVE COMPENSATION | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 15 | Ratification of Auditor | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 16 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 1 | Elect Joseph S. Cantie | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 2 | Elect Kevin P. Clark | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 3 | Elect Gary L. Cowger | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 4 | Elect Nicholas M. Donofrio | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 5 | Elect Mark Frissora | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 6 | Elect Rajiv L. Gupta | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 7 | Elect Sean O. Mahoney | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 8 | Elect Timothy M. Manganello | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 9 | Elect Ana G. Pinczuk | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 10 | Elect Thomas W. Sidlik | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 11 | Elect Bernd Wiedemann | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 12 | Elect Lawrence A. Zimmerman | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 13 | Ratification of Auditor | Mgmt |

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| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 1.1 | Elect Barbara M. Baumann | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 1.2 | Elect John E. Bethancourt | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 1.3 | Elect David A. Hager | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 1.4 | Elect Robert H. Henry | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 1.5 | Elect Michael M. Kanovsky | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 1.6 | Elect Robert A. Mosbacher, Jr. | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 1.7 | Elect Duane C. Radtke | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 1.8 | Elect Mary P. Ricciardello | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 1.9 | Elect John Richels | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 4 | Ratification of Auditor | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 5 | Approval of the Annual Incentive Compensation Plan | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 6 | Approval of the 2017 Long Term Incentive Plan | Mgmt |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 7 | Shareholder Proposal Regarding Lobbying Related to Energy Policy and Climate Change | ShrHold |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 8 | Shareholder Proposal Regarding Climate Change Policy Risk | ShrHold |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 9 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Devon Energy Corporation | DVN | 25179M103 | 6/7/2017 | 10 | Shareholder Proposal Regarding Reserves Metrics in Executive Compensation | ShrHold |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 1 | Elect William P. Barr | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 2 | Elect Helen E. Dragas | Mgmt |
| | D | 25746U109 | 5/10/2017 | 3 | Elect James O. Ellis, Jr. | Mgmt |

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| Dominion Resources, Inc. | | | | | | |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 4 | Elect Thomas F. Farrell II | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 5 | Elect John W. Harris | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 6 | Elect Ronald W. Jibson | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 7 | Elect Mark J. Kington | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 8 | Elect Joseph M. Rigby | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 9 | Elect Pamela L. Royal | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 10 | Elect Robert H. Spilman, Jr. | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 11 | Elect Susan N. Story | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 12 | Elect Michael E. Szymanczyk | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 13 | Ratification of Auditor | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 16 | Company Name Change | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 17 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 18 | Shareholder Proposal Regarding Climate Change Expertise on Board | ShrHold |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 19 | Shareholder Proposal Regarding Climate Change Reporting | ShrHold |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 20 | Shareholder Proposal Regarding Methane Emissions Report | ShrHold |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 1 | Elect Ajay Banga | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 2 | Elect Jacqueline K. Barton | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 3 | Elect James A. Bell | Mgmt |
| | DOW | 260543103 | 5/11/2017 | 4 | Elect Richard K. Davis | Mgmt |

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| Dow Chemical Company | | | | | | | |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 5 | Elect Jeff M. Fettig | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 6 | Elect Andrew N. Liveris | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 7 | Elect Mark Loughridge | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 8 | Elect Raymond J. Milchovich | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 9 | Elect Robert S. Miller | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 10 | Elect Paul Polman | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 11 | Elect Dennis H. Reilley | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 12 | Elect James M. Ringler | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 13 | Elect Ruth G. Shaw | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 14 | Ratification of Auditor | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 15 | Advisory Vote on Executive Compensation | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 7/20/2016 | 1 | Merger | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 7/20/2016 | 2 | Right to Adjourn Meeting | | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 7/20/2016 | 3 | Advisory Vote on Golden Parachutes | | Mgmt |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.1 | Elect Michael J. Angelakis | | Mgmt |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.2 | Elect Michael G. Browning | | Mgmt |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.3 | Elect Theodore F. Craver, Jr. | | Mgmt |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.4 | Elect Daniel R. DiMicco | | Mgmt |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.5 | Elect John H. Forsgren | | Mgmt |
| | DUK | 26441C204 | 5/4/2017 | 1.6 | Elect Lynn J. Good | | Mgmt |

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| Duke Energy Corporation | | | | | | | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.7 | Elect John T. Herron | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.8 | Elect James B. Hyler, Jr. | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.9 | Elect William E. Kennard | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.10 | Elect E. Marie McKee | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.11 | Elect Charles W. Moorman, IV | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.12 | Elect Carlos A. Saladrigas | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.13 | Elect Thomas E. Skains | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 1.14 | Elect William E. Webster | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 2 | Ratification of Auditor | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 3 | Advisory Vote on Executive Compensation | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 5 | Elimination of Supermajority Requirement | Mgmt | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 6 | Shareholder Proposal Regarding Lobbying Report | ShrHold | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 7 | Shareholder Proposal Regarding Reporting on Company Climate Change Policies and Technological Advances | ShrHold | |
| Duke Energy Corporation | DUK | 26441C204 | 5/4/2017 | 8 | Shareholder Proposal Regarding Health Effects of Coal | ShrHold | |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 1 | Elect Lamberto Andreotti | Mgmt | |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 2 | Elect Edward D. Breen | Mgmt | |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 3 | Elect Robert A. Brown | Mgmt | |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 4 | Elect Alexander M. Cutler | Mgmt | |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 5 | Elect Eleuthere I. du Pont | Mgmt | |

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| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 6 | Elect James L. Gallogly | Mgmt |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 7 | Elect Marillyn A. Hewson | Mgmt |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 8 | Elect Lois D. Juliber | Mgmt |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 9 | Elect Lee M. Thomas | Mgmt |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 10 | Elect Patrick J. Ward | Mgmt |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 11 | Ratification of Auditor | Mgmt |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 14 | Shareholder Proposal Regarding Report on Ratio Between CEO and Employee Pay | ShrHold |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 5/24/2017 | 15 | Shareholder Proposal Regarding Accident Risk Reduction Report | ShrHold |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 7/20/2016 | 1 | Merger | Mgmt |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 7/20/2016 | 2 | Right to Adjourn Meeting | Mgmt |
| E. I. du Pont de Nemours and Company | DD | 263534109 | 7/20/2016 | 3 | Advisory Vote on Golden Parachutes | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 1 | Elect Craig Arnold | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 2 | Elect Todd M. Bluedorn | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 3 | Elect Christopher M. Connor | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 4 | Elect Michael J. Critelli | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 5 | Elect Richard H. Fearon | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 6 | Elect Charles E. Golden | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 7 | Elect Arthur E. Johnson | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 8 | Elect Deborah L. McCoy | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 9 | Elect Gregory R. Page | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 10 | Elect Sandra Pianalto | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 11 | Elect Gerald B. Smith | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 12 | Elect Dorothy C. Thompson | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 13 | Amendment to Articles to Adopt Proxy Access | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 14 | | Mgmt |

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| | | | | | Amendments to Articles Regarding Notice Periods | |
|-----------------------|------|-----------|-----------|----|--|---------|
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 15 | Ratification of Auditor and Authority to Set Fees | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 18 | Authority to Issue Shares w/ Preemptive Rights | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 19 | Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment) | Mgmt |
| Eaton Corporation plc | ETN | G29183103 | 4/26/2017 | 20 | Authority to Repurchase Overseas Market Shares | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 1 | Elect Fred D. Anderson Jr. | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 2 | Elect Edward W. Barnholt | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 3 | Elect Anthony J. Bates | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 4 | Elect Logan D. Green | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 5 | Elect Bonnie S. Hammer | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 6 | Elect Kathleen C. Mitic | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 7 | Elect Pierre M. Omidyar | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 8 | Elect Paul S. Pressler | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 9 | Elect Robert H. Swan | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 10 | Elect Thomas J. Tierney | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 11 | Elect Perry M. Traquina | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 12 | Elect Devin N. Wenig | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 15 | Ratification of Auditor | Mgmt |
| EBay Inc. | EBAY | 278642103 | 5/18/2017 | 16 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| Edison International | EIX | 281020107 | 4/27/2017 | 1 | Elect Vanessa C.L. Chang | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 2 | Elect Louis Hernandez, Jr. | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 3 | Elect James T. Morris | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 4 | Elect Pedro J. Pizarro | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 5 | Elect Linda G. Stuntz | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 6 | Elect William P. Sullivan | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 7 | Elect Ellen O. Tauscher | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 8 | Elect Peter J. Taylor | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 9 | Elect Brett White | Mgmt |

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| Edison International | EIX | 281020107 | 4/27/2017 | 10 | Ratification of Auditor | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Edison International | EIX | 281020107 | 4/27/2017 | 13 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| Eli Lilly and Company | LLY | 532457108 | 5/1/2017 | 1 | Elect Michael L. Eskew | Mgmt |
| Eli Lilly and Company | LLY | 532457108 | 5/1/2017 | 2 | Elect William G. Kaelin, Jr. | Mgmt |
| Eli Lilly and Company | LLY | 532457108 | 5/1/2017 | 3 | Elect John C. Lechleiter | Mgmt |
| Eli Lilly and Company | LLY | 532457108 | 5/1/2017 | 4 | Elect David A. Ricks | Mgmt |
| Eli Lilly and Company | LLY | 532457108 | 5/1/2017 | 5 | Elect Marschall S. Runge | Mgmt |
| Eli Lilly and Company | LLY | 532457108 | 5/1/2017 | 6 | Advisory Vote on Executive Compensation | Mgmt |
| Eli Lilly and Company | LLY | 532457108 | 5/1/2017 | 7 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Eli Lilly and Company | LLY | 532457108 | 5/1/2017 | 8 | Ratification of Auditor | Mgmt |
| Eli Lilly and Company | LLY | 532457108 | 5/1/2017 | 9 | Amendment to the Directors' Deferral Plan | Mgmt |
| Eli Lilly and Company | LLY | 532457108 | 5/1/2017 | 10 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 1.1 | Elect David N. Farr | Mgmt |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 1.2 | Elect William R. Johnson | Mgmt |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 1.3 | Elect Mathew S. Levatich | Mgmt |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 1.4 | Elect Joseph W. Prueher | Mgmt |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 4 | Ratification of Auditor | Mgmt |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 5 | Shareholder Proposal Regarding Independent Chair | ShrHold |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 6 | Shareholder Proposal Regarding Electioneering Expenditures and Payments to Advocacy Organizations | ShrHold |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 7 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Emerson Electric Co. | EMR | 291011104 | 2/7/2017 | 8 | Shareholder Proposal Regarding Reducing GHG Emissions | ShrHold |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 1 | Elect Anthony K. Anderson | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 2 | Elect Ann C. Berzin | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 3 | Elect Christopher M. Crane | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 4 | Elect Yves C. de Balmann | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 5 | Elect Nicholas DeBenedictis | Mgmt |

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| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 6 | Elect Nancy L. Gioia | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 7 | Elect Linda P. Jojo | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 8 | Elect Paul L. Joskow | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 9 | Elect Robert J. Lawless | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 10 | Elect Richard W. Mies | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 11 | Elect John W. Rogers, Jr. | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 12 | Elect Mayo A. Shattuck III | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 13 | Elect Stephen D. Steinour | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 14 | Ratification of Auditor | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Exelon Corporation | EXC | 30161N101 | 4/25/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.1 | Elect Susan K. Avery | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.2 | Elect Michael J. Boskin | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.3 | Elect Angela F. Braly | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.4 | Elect Ursula M. Burns | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.5 | Elect Henrietta H. Fore | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.6 | Elect Kenneth C. Frazier | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.7 | Elect Douglas R. Oberhelman | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.8 | Elect Samuel J. Palmisano | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.9 | Elect Steven S Reinemund | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.10 | Elect William C. Weldon | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 1.11 | Elect Darren W. Woods | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 2 | Ratification of Auditor | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 5 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |

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|---|-----|-----------|-----------|-----|--|---------|
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 6 | Shareholder Proposal Regarding Majority Vote for Election of Directors | ShrHold |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 7 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 8 | Shareholder Proposal Regarding Restricting Precatory Proposals | ShrHold |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 9 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 10 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 11 | Shareholder Policy Regarding Dividend Policy | ShrHold |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 12 | Shareholder Proposal Regarding Climate Change Policy Risk | ShrHold |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/31/2017 | 13 | Shareholder Proposal Regarding Methane Emissions Reporting | ShrHold |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 1 | Elect James L. Barksdale | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 2 | Elect John A. Edwardson | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 3 | Elect Marvin Ellison | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 4 | Elect John C. Inglis | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 5 | Elect Kimberly A. Jabal | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 6 | Elect Shirley Ann Jackson | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 7 | Elect R. Brad Martin | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 8 | Elect Joshua Cooper Ramo | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 9 | Elect Susan C. Schwab | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 10 | Elect Frederick W. Smith | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 11 | Elect David P. Steiner | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 12 | Elect Paul S. Walsh | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 14 | Ratification of Auditor | Mgmt |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 15 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 16 | Shareholder Proposal Regarding Counting Abstentions | ShrHold |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 17 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| FedEx Corporation | FDX | 31428X106 | 9/26/2016 | 18 | Shareholder Proposal Regarding Report on Risks Of LGBT-Focused State Regulations | ShrHold |
| First Trust Energy Income and Growth Fund | FEO | 33731K102 | 4/24/2017 | 1.1 | Elect Robert F. Keith | Mgmt |

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|--------------------------|-----|-----------|-----------|----|--|---------|
| Ford Motor Company | F | 345370860 | 5/11/2017 | 1 | Elect Stephen G. Butler | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 2 | Elect Kimberly A. Casiano | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 3 | Elect Anthony F. Earley, Jr. | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 4 | Elect Mark Fields | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 5 | Elect Edsel B. Ford II | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 6 | Elect William Clay Ford, Jr. | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 7 | Elect William W. Helman IV | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 8 | Elect Jon M. Huntsman, Jr. | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 9 | Elect William E. Kennard | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 10 | Elect John C. Lechleiter | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 11 | Elect Ellen R. Marram | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 12 | Elect John L. Thornton | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 13 | Elect Lynn M. Vojvodich | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 14 | Elect John S. Weinberg | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 15 | Ratification of Auditor | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 18 | Shareholder Proposal Regarding Recapitalization | ShrHold |
| Ford Motor Company | F | 345370860 | 5/11/2017 | 19 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Fortive Corporation | FTV | 34959J108 | 6/6/2017 | 1 | Elect Kate D. Mitchell | Mgmt |
| Fortive Corporation | FTV | 34959J108 | 6/6/2017 | 2 | Elect Israel Ruiz | Mgmt |
| Fortive Corporation | FTV | 34959J108 | 6/6/2017 | 3 | Ratification of Auditor | Mgmt |
| Fortive Corporation | FTV | 34959J108 | 6/6/2017 | 4 | Advisory Vote on Executive Compensation | Mgmt |
| Fortive Corporation | FTV | 34959J108 | 6/6/2017 | 5 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Fortive Corporation | FTV | 34959J108 | 6/6/2017 | 6 | Repeal of Classified Board | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 1 | Elect Peter K. Barker | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 2 | Elect Mariann Byerwalter | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 3 | Elect Charles E. Johnson | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 4 | Elect Gregory E. Johnson | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 5 | Elect Rupert H. Johnson, Jr. | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 6 | Elect Mark C. Pigott | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 7 | Elect Chutta Ratnathicam | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 8 | Elect Laura Stein | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 9 | Elect Seth H. Waugh | Mgmt |

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|--|-----|-----------|-----------|-----|---|---------|
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 10 | Elect Geoffrey Y. Yang | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 13 | Ratification of Auditor | Mgmt |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 14 | Shareholder Proposal Regarding Climate Change Proxy Voting Practices | ShrHold |
| Franklin Resources, Inc. | BEN | 354613101 | 2/15/2017 | 15 | Shareholder Proposal Regarding Compensation-Related Proxy Voting Policies | ShrHold |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 1.1 | Elect Richard C. Adkerson | Mgmt |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 1.2 | Elect Gerald J. Ford | Mgmt |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 1.3 | Elect Lydia H. Kennard | Mgmt |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 1.4 | Elect Andrew Langham | Mgmt |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 1.5 | Elect Jon C. Madonna | Mgmt |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 1.6 | Elect Courtney Mather | Mgmt |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 1.7 | Elect Dustan E. McCoy | Mgmt |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 1.8 | Elect Frances Fragos Townsend | Mgmt |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 2 | Ratification of Auditor | Mgmt |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Freeport-McMoRan Inc. | FCX | 35671D857 | 6/6/2017 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Gabelli Global Small and Mid Cap Value Trust | GGZ | 36249W104 | 5/15/2017 | 1.1 | Elect Mario J. Gabelli | Mgmt |
| Gabelli Global Small and Mid Cap Value Trust | GGZ | 36249W104 | 5/15/2017 | 1.2 | Elect James P. Conn | Mgmt |
| Gabelli Global Utility & Income Trust. | GLU | 36242L105 | 5/15/2017 | 1.1 | Elect Salvatore J. Zizza | Mgmt |
| GDL Fund | GDL | 361570104 | 5/15/2017 | 1.1 | Elect Clarence A. Davis | Mgmt |
| GDL Fund | GDL | 361570104 | 5/15/2017 | 1.2 | Elect Arthur V. Ferrara | Mgmt |
| General American Investors Company, Inc. | GAM | 368802104 | 4/12/2017 | 1.1 | Elect Rodney B. Berens | Mgmt |
| General American Investors Company, Inc. | GAM | 368802104 | 4/12/2017 | 1.2 | Elect Lewis B. Cullman | Mgmt |

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|--|-----|-----------|-----------|-----|---------------------------|------|
| General American Investors Company, Inc. | GAM | 368802104 | 4/12/2017 | 1.3 | Elect Spencer Davidson | Mgmt |
| General American Investors Company, Inc. | GAM | 368802104 | 4/12/2017 | 1.4 | Elect Clara Del Villar | Mgmt |
| General American Investors Company, Inc. | GAM | 368802104 | 4/12/2017 | 1.5 | Elect John D. Gordan, III | Mgmt |
| General American Investors Company, Inc. | GAM | 368802104 | 4/12/2017 | 1.6 | Elect Betsy F. Gotbaum | Mgmt |
| General American Investors Company, Inc. | GAM | 368802104 | 4/12/2017 | 1.7 | Elect Sidney R. Knafel | Mgmt |
| General American Investors Company, Inc. | GAM | 368802104 | 4/12/2017 | 1.8 | Elect Jeffrey W. Priest | Mgmt |
| General American Investors Company, Inc. | GAM | 368802104 | 4/12/2017 | 1.9 | Elect Henry R. Schirmer | Mgmt |
| General American Investors Company, Inc. | GAM | 368802104 | 4/12/2017 | 2 | Ratification of Auditor | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 1 | Elect Sebastien Bazin | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 2 | Elect W. Geoffrey Beattie | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 3 | Elect John J. Brennan | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 4 | Elect Francisco D'Souza | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 5 | Elect Marijn E. Dekkers | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 6 | Elect Peter B. Henry | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 7 | Elect Susan Hockfield | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 8 | Elect Jeffrey R. Immelt | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 9 | Elect Andrea Jung | Mgmt |
| | GE | 369604103 | 4/26/2017 | 10 | Elect Robert W. Lane | Mgmt |

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|--------------------------|-----|-----------|-----------|----|--|--|---------|
| General Electric Company | | | | | | | |
| General Electric Company | GE | 369604103 | 4/26/2017 | 11 | Elect Risa Lavizzo-Mourey | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 12 | Elect Rochelle B. Lazarus | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 13 | Elect Lowell C. McAdam | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 14 | Elect Steven M. Mollenkopf | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 15 | Elect James J. Mulva | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 16 | Elect James E. Rohr | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 17 | Elect Mary L. Schapiro | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 18 | Elect James S. Tisch | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 19 | Advisory Vote on Executive Compensation | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 20 | Frequency of Advisory Vote on Executive Compensation | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 21 | Amendment to the 2007 Long-term Incentive Plan | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 22 | Approval of the Material Terms of the 2007 Long-Term Incentive Plan for Section 162(m) | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 23 | Ratification of Auditor | | Mgmt |
| General Electric Company | GE | 369604103 | 4/26/2017 | 24 | Shareholder Proposal Regarding Lobbying Report | | ShrHold |
| General Electric Company | GE | 369604103 | 4/26/2017 | 25 | Shareholder Proposal Regarding Independent Board Chair | | ShrHold |
| General Electric Company | GE | 369604103 | 4/26/2017 | 26 | Shareholder Proposal Regarding Cumulative Voting | | ShrHold |
| General Electric Company | GE | 369604103 | 4/26/2017 | 27 | Shareholder Proposal Regarding Charitable Spending | | ShrHold |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 1 | Elect Bradbury H. Anderson | | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 2 | Elect R. Kerry Clark | | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 3 | Elect David M. Cordani | | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 4 | Elect Roger W. Ferguson, Jr. | | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 5 | Elect Henrietta H. Fore | | Mgmt |

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|---------------------|-----|-----------|-----------|------|--|------|
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 6 | Elect Maria G. Henry | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 7 | Elect Heidi G. Miller | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 8 | Elect Steve Odland | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 9 | Elect Kendall J. Powell | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 10 | Elect Robert L. Ryan | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 11 | Elect Eric D. Sprunk | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 12 | Elect Dorothy A. Terrell | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 13 | Elect Jorge A. Uribe | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 14 | 2016 Non-Employee Director Compensation Plan | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| General Mills, Inc. | GIS | 370334104 | 9/27/2016 | 16 | Ratification of Auditor | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.1 | Elect Leo Hindery, Jr. | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.2 | Elect Vinit Sethi | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.3 | Elect William N. Thorndike, Jr. | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.4 | Elect Management Nominee Joseph J. Ashton | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.5 | Elect Management Nominee Mary T. Barra | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.6 | Elect Management Nominee Linda R. Gooden | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.7 | Elect Management Nominee Joseph Jimenez | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.8 | Elect Management Nominee James J. Mulva | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.9 | Elect Management Nominee Patricia F. Russo | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.10 | Elect Management Nominee Thomas M. Schoewe | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.11 | | Mgmt |

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Elect Management Nominee Theodore M. Solso

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|--------------------|----|-----------|----------|------|--|---------|
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 3 | Approval of the 2017 Short-Term Incentive Plan | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 4 | Approval of the 2017 Long-Term incentive Plan | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 5 | Ratification of Auditor | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 6 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 7 | Shareholder Proposal Regarding Creation of Dual Class Equity Structure | ShrHold |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.1 | Elect Joseph J. Ashton | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.2 | Elect Mary T. Barra | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.3 | Elect Linda R. Gooden | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.4 | Elect Joseph Jimenez | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.5 | Elect Jane L. Mendillo | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.6 | Elect Michael G. Mullen | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.7 | Elect James J. Mulva | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.8 | Elect Patricia F. Russo | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.9 | Elect Thomas M. Schoewe | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.10 | Elect Theodore M. Solso | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 1.11 | Elect Carol M. Stephenson | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 3 | Approval of the 2017 Short-Term Incentive Plan | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 4 | Approval of the 2017 Long-Term incentive Plan | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 5 | Ratification of Auditor | Mgmt |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 6 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| General Motors Co. | GM | 37045V100 | 6/6/2017 | 7 | Shareholder Proposal Regarding Creation of Dual Class Equity Structure | ShrHold |

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| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 1 | Elect John F. Cogan | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 2 | Elect Kelly A. Kramer | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 3 | Elect Kevin E. Lofton | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 4 | Elect John C. Martin | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 5 | Elect John F. Milligan | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 6 | Elect Nicholas G. Moore | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 7 | Elect Richard J. Whitley | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 8 | Elect Gayle E. Wilson | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 9 | Elect Per Wold-Olsen | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 10 | Ratification of Auditor | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 11 | Amendment to the 2004 Equity Incentive Plan | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 14 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| Gilead Sciences, Inc. | GILD | 375558103 | 5/10/2017 | 15 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 1 | Elect Abdulaziz F. Alkhaayal | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 2 | Elect William E. Albrecht | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 3 | Elect Alan M. Bennett | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 4 | Elect James R. Boyd | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 5 | Elect Milton Carroll | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 6 | Elect Nance K. Dicciani | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 7 | Elect Murry S. Gerber | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 8 | Elect Jose Carlos Grubisich | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 9 | Elect David J. Lesar | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 10 | Elect Robert A. Malone | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 11 | Elect J. Landis Martin | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 12 | Elect Jeffrey A. Miller | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 13 | Elect Debra L. Reed | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 14 | Ratification of Auditor | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Halliburton Company | HAL | 406216101 | 5/17/2017 | 17 | Amendment to the Stock and Incentive Plan | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 1 | Elect Charlene Barshefsky | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 2 | Elect Aneel Bhusri | Mgmt |

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| Intel Corporation | INTC | 458140100 | 5/18/2017 | 3 | Elect Andy D. Bryant | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 4 | Elect Reed E. Hundt | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 5 | Elect Omar Ishrak | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 6 | Elect Brian M. Krzanich | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 7 | Elect Tsu-Jae King Liu | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 8 | Elect David S. Pottruck | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 9 | Elect Gregory D. Smith | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 10 | Elect Frank D. Yeary | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 11 | Elect David Bruce Yoffie | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 12 | Ratification of Auditor | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 14 | Amendment to the 2006 Equity Incentive Plan | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 16 | Shareholder Proposal Regarding Advisory Vote on Political Contributions | ShrHold |
| Intel Corporation | INTC | 458140100 | 5/18/2017 | 17 | Shareholder Proposal Regarding Counting Abstentions | ShrHold |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 10/12/2016 | 1 | Increase of Authorized Common Stock | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 1 | Elect Kenneth I. Chenault | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 2 | Elect Michael L. Eskew | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 3 | Elect David N. Farr | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 4 | Elect Mark Fields | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 5 | Elect Alex Gorsky | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 6 | Elect Shirley Ann Jackson | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 7 | Elect Andrew N. Liveris | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 8 | Elect W. James McNerney, Jr. | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 9 | Elect Hutham S. Olayan | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 10 | Elect James W. Owens | Mgmt |

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| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 11 | Elect Virginia M. Rometty | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 12 | Elect Sidney Taurel | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 13 | Elect Peter R. Voser | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 14 | Ratification of Auditor | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 15 | ADVISORY VOTE ON EXECUTIVE COMPENSATION | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 17 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 18 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| International Business Machines Corporation | IBM | 459200101 | 4/25/2017 | 19 | Shareholder Proposal Regarding Proxy Access | ShrHold |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 1 | Elect Mary C. Beckerle | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 2 | Elect D. Scott Davis | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 3 | Elect Ian E.L. Davis | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 4 | Elect Alex Gorsky | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 5 | Elect Mark B. McClellan | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 6 | Elect Anne M. Mulcahy | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 7 | Elect William D. Perez | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 8 | Elect Charles Prince | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 9 | Elect A. Eugene Washington | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 10 | Elect Ronald A. Williams | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 11 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 13 | RE-APPROVAL OF THE MATERIAL TERMS OF PERFORMANCE GOALS UNDER THE 2012 LONG-TERM INCENTIVE PLAN | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 14 | Ratification of Auditor | Mgmt |
| Johnson & Johnson | JNJ | 478160104 | 4/27/2017 | 15 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 1 | Elect David P. Abney | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 2 | Elect Natalie A. Black | Mgmt |

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| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 3 | Elect Michael E. Daniels | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 4 | Elect Brian Duperreault | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 5 | Elect Jeffrey A. Joerres | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 6 | Elect Alex A. Molinaroli | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 7 | Elect George R. Oliver | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 8 | Elect Juan Pablo del Valle Perochena | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 9 | Elect Jurgen Tinggren | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 10 | Elect Mark P. Vergnano | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 11 | Elect R. David Yost | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 12 | Ratification of PricewaterhouseCoopers | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 13 | Authority to Set Auditor's Fees | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 14 | Authority to Repurchase Shares | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 15 | Authority to Set Price Range for Re-Issuance of Treasury Shares | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 18 | Approve Performance Goals of 2012 Share and Incentive Plan | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 19 | Authority to Issue Shares w/ Preemptive Rights | Mgmt |
| Johnson Controls International plc | JCI | G51502105 | 3/8/2017 | 20 | Authority to Issue Shares w/o Preemptive Rights | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 1 | Elect Linda B. Bammann | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 2 | Elect James A. Bell | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 3 | Elect Crandall C. Bowles | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 4 | Elect Stephen B. Burke | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 5 | Elect Todd A. Combs | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 6 | Elect James S. Crown | Mgmt |

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|----------------------------|-----|-----------|-----------|-----|---|---------|
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 7 | Elect James Dimon | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 8 | Elect Timothy P. Flynn | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 9 | Elect Laban P. Jackson, Jr. | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 10 | Elect Michael A. Neal | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 11 | Elect Lee R. Raymond | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 12 | Elect William C. Weldon | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 14 | Ratification of Auditor | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 16 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 17 | Shareholder Proposal Regarding Government Service Vesting | ShrHold |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 18 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHold |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 19 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 20 | Shareholder Proposal Regarding Counting Abstentions | ShrHold |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/16/2017 | 21 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Kellogg Company | K | 487836108 | 4/28/2017 | 1.1 | Elect John Bryant | Mgmt |
| Kellogg Company | K | 487836108 | 4/28/2017 | 1.2 | Elect Stephanie A. Burns | Mgmt |
| Kellogg Company | K | 487836108 | 4/28/2017 | 1.3 | Elect Richard W. Dreiling | Mgmt |
| Kellogg Company | K | 487836108 | 4/28/2017 | 1.4 | Elect La June Montgomery Tabron | Mgmt |
| Kellogg Company | K | 487836108 | 4/28/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Kellogg Company | K | 487836108 | 4/28/2017 | 3 | Ratification of Auditor | Mgmt |
| Kellogg Company | K | 487836108 | 4/28/2017 | 4 | Approval of 2017 LTIP | Mgmt |
| Kellogg Company | K | 487836108 | 4/28/2017 | 5 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Kellogg Company | K | 487836108 | 4/28/2017 | 6 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 1 | Elect John F. Bergstrom | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 2 | Elect Abelardo E. Bru | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 3 | Elect Robert W. Decherd | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 4 | Elect Thomas J. Falk | Mgmt |

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|----------------------------|-----|-----------|-----------|----|---|---------|
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 5 | Elect Fabian T. Garcia | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 6 | Elect Michael D. Hsu | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 7 | Elect Mae C. Jemison | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 8 | Elect James M. Jenness | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 9 | Elect Nancy J. Karch | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 10 | Elect Christa Quarles | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 11 | Elect Ian C. Read | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 12 | Elect Marc J. Shapiro | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 13 | Elect Michael D. White | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 14 | Ratification of Auditor | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Kimberly-Clark Corporation | KMB | 494368103 | 4/20/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 1 | Elect Gregory E. Abel | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 2 | Elect Alexandre Behring | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 3 | Elect Warren E. Buffett | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 4 | Elect John T. Cahill | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 5 | Elect Tracy Britt Cool | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 6 | Elect Feroz Dewan | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 7 | Elect Jeanne P. Jackson | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 8 | Elect Jorge Paulo Lemann | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 9 | Elect Mackey J. McDonald | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 10 | Elect John C. Pope | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 11 | Elect Marcel Herrmann Telles | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 13 | Ratification of Auditor | Mgmt |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 14 | Shareholder Proposal Regarding Sustainability Reporting | ShrHold |
| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 15 | Shareholder Proposal Regarding Reporting On The Use of Non-Recyclable Packaging | ShrHold |

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| Kraft Heinz Company | KHC | 500754106 | 4/19/2017 | 16 | Shareholder Proposal Regarding Deforestation and Human Rights Report | ShrHold |
| Lazard Global Total Return and Income Fund Inc | LGI | 52106W103 | 4/21/2017 | 1.1 | Elect Franci J. Blassberg | Mgmt |
| Lazard Global Total Return and Income Fund Inc | LGI | 52106W103 | 4/21/2017 | 1.2 | Elect Richard Reiss, Jr. | Mgmt |
| Lazard Global Total Return and Income Fund Inc | LGI | 52106W103 | 4/21/2017 | 1.3 | Elect Ashish Bhutani | Mgmt |
| Lazard Global Total Return and Income Fund Inc | LGI | 52106W103 | 4/21/2017 | 1.4 | Elect Nathan A. Paul | Mgmt |
| Legg Mason BW Global Income Opportunities Fund Inc | BWG | 52469B100 | 2/24/2017 | 1.1 | Elect Leslie H. Gelb | Mgmt |
| Legg Mason BW Global Income Opportunities Fund Inc | BWG | 52469B100 | 2/24/2017 | 1.2 | Elect Jane E. Trust | Mgmt |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/25/2016 | 1.1 | Elect John J. Neuhauser | Mgmt |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/25/2016 | 1.2 | Elect Richard C. Rantzow | Mgmt |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/25/2016 | 2 | Approval of a New Investment Advisory Agreement with Sustainable Growth Advisers | Mgmt |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 4/7/2017 | 1.1 | Elect Robert D. Agdern | Mgmt |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 4/7/2017 | 1.2 | Elect Eileen A. Kamerick | Mgmt |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 4/7/2017 | 1.3 | Elect Riordan Roett | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 1 | Elect Lawrence S. Bacow | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 2 | Elect Ann E. Berman | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 3 | Elect Joseph L. Bower | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 4 | Elect Charles D. Davidson | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 5 | Elect Charles M. Diker | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 6 | Elect Jacob A. Frenkel | Mgmt |

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| Loews Corporation | L | 540424108 | 5/9/2017 | 7 | Elect Paul J. Fribourg | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 8 | Elect Walter L. Harris | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 9 | Elect Philip A. Laskawy | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 10 | Elect Ken Miller | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 11 | Elect Andrew H. Tisch | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 12 | Elect James S. Tisch | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 13 | Elect Jonathan M. Tisch | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 14 | Elect Anthony Welters | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Loews Corporation | L | 540424108 | 5/9/2017 | 17 | Ratification of Auditor | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.1 | Elect Raul Alvarez | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.2 | Elect Angela F. Braly | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.3 | Elect Sandra B. Cochran | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.4 | Elect Laurie Z. Douglas | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.5 | Elect Richard W. Dreiling | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.6 | Elect Robert L. Johnson | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.7 | Elect Marshall O. Larsen | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.8 | Elect James H. Morgan | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.9 | Elect Robert A. Niblock | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.10 | Elect Bertram L. Scott | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 1.11 | Elect Eric C. Wiseman | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 4 | Ratification of Auditor | Mgmt |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/2/2017 | 5 | Shareholder Proposal Regarding Report on Renewable Energy Sourcing | ShrHold |
| Macquarie Global Infrastructure Total Return Fund, Inc. | MGU | 55608D101 | 6/20/2017 | 1.1 | Elect Brad Frishberg | Mgmt |
| Macquarie Global Infrastructure Total Return Fund, Inc. | MGU | 55608D101 | 6/20/2017 | 1.2 | Elect Chris LaVictoire Mahai | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 1 | Elect Michael J. Dolan | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 2 | Elect Trevor A. Edwards | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 3 | Elect Frances D. Fergusson | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 4 | Elect Margaret H. Georgiadis | Mgmt |

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| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 5 | Elect Ann Lewnes | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 6 | Elect Dominic Ng | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 7 | Elect Vasant M. Prabhu | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 8 | Elect Dean A. Scarborough | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 9 | Elect Christopher A. Sinclair | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 10 | Elect Dirk Van de Put | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 11 | Elect Kathy White Loyd | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 12 | Ratification of Auditor | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Mattel, Inc. | MAT | 577081102 | 5/19/2017 | 15 | Approval of the Incentive Plan | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 1 | Elect Lloyd H. Dean | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 2 | Elect Stephen J. Easterbrook | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 3 | Elect Robert A. Eckert | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 4 | Elect Margaret H. Georgiadis | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 5 | Elect Enrique Hernandez, Jr. | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 6 | Elect Jeanne P. Jackson | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 7 | Elect Richard H. Lenny | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 8 | Elect John Mulligan | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 9 | Elect Sheila A. Penrose | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 10 | Elect John W. Rogers, Jr. | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 11 | Elect Miles D. White | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 14 | Approval of the Material Terms of the Performance Goals for Awards Under the 2012 Omnibus Stock Ownership Plan | Mgmt |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 15 | Ratification of Auditor | Mgmt |

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|------------------------|-----|-----------|-----------|----|---|--|--|--|--|---------|
| McDonald's Corporation | | | | | | | | | | |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 16 | Shareholder Proposal Regarding Counting Abstentions | | | | | ShrHold |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 17 | Shareholder Proposal Regarding Right to Call a Special Meeting | | | | | ShrHold |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 18 | Shareholder Proposal Regarding Franchisee Directors | | | | | ShrHold |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 19 | Shareholder Proposal Regarding Holy Land Principles | | | | | ShrHold |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 20 | Shareholder Proposal Regarding Use of Antibiotics | | | | | ShrHold |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 21 | Shareholder Proposal Regarding Environmental Impacts of Polystyrene Beverage Cups | | | | | ShrHold |
| McDonald's Corporation | MCD | 580135101 | 5/24/2017 | 22 | Shareholder Proposal Regarding Charitable Contributions Report | | | | | ShrHold |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 1 | Elect Andy D. Bryant | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 2 | Elect Wayne A. Budd | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 3 | Elect N. Anthony Coles | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 4 | Elect John H. Hammergren | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 5 | Elect M. Christine Jacobs | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 6 | Elect Donald R. Knauss | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 7 | Elect Marie L. Knowles | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 8 | Elect Edward A. Mueller | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 9 | Elect Susan R. Salka | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 10 | Ratification of Auditor | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 11 | Advisory Vote on Executive Compensation | | | | | Mgmt |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 12 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | | | | | ShrHold |
| Mckesson Corporation | MCK | 58155Q103 | 7/27/2016 | 13 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | | | | | ShrHold |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 1 | Elect Leslie A. Brun | | | | | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 2 | Elect Thomas R. Cech | | | | | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 3 | Elect Pamela J. Craig | | | | | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 4 | Elect Kenneth C. Frazier | | | | | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 5 | Elect Thomas H. Glocer | | | | | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 6 | Elect Rochelle B. Lazarus | | | | | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 7 | Elect John H. Noseworthy | | | | | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 8 | Elect Carlos E. Represas | | | | | Mgmt |

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|-------------------|-----|-----------|-----------|-----|---|---------|
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 9 | Elect Paul B. Rothman | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 10 | Elect Patricia F. Russo | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 11 | Elect Craig B. Thompson | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 12 | Elect Wendell P. Weeks | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 13 | Elect Peter C. Wendell | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 16 | Ratification of Auditor | Mgmt |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 17 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 18 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 19 | Shareholder Proposal Regarding Report on Conducting Business in Conflict-Affected Areas | ShrHold |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/23/2017 | 20 | Shareholder Proposal Regarding Report on Board Oversight of Product Safety and Quality | ShrHold |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 1 | Elect Cheryl W. Grise | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 2 | Elect Carlos M. Gutierrez | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 3 | Elect David L. Herzog | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 4 | Elect R. Glenn Hubbard | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 5 | Elect Steven A. Kandarian | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 6 | Elect Alfred F. Kelly, Jr. | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 7 | Elect Edward J. Kelly, III | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 8 | Elect William E. Kennard | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 9 | Elect James M. Kilts | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 10 | Elect Catherine R. Kinney | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 11 | Elect Denise M. Morrison | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 12 | Ratification of Auditor | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| MetLife, Inc. | MET | 59156R108 | 6/13/2017 | 15 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Mexico Fund Inc | MXF | 592835102 | 3/7/2017 | 1.1 | Elect Emilio Carrillo Gamboa | Mgmt |

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|------------------------------|------|-----------|------------|-----|--|---------|
| Mexico Fund Inc | MXF | 592835102 | 3/7/2017 | 1.2 | Elect Jonathan Davis Arzac | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 1 | Elect William H. Gates III | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 2 | Elect Teri L. List-Stoll | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 3 | Elect G. Mason Morfit | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 4 | Elect Satya Nadella | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 5 | Elect Charles H. Noski | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 6 | Elect Helmut G. W. Panke | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 7 | Elect Sandra E. Peterson | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 8 | Elect Charles W. Scharf | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 9 | Elect John W. Stanton | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 10 | Elect John W. Thompson | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 11 | Elect Padmasree Warrior | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 13 | Ratification of Auditor | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 14 | Amendment to Articles to Reduce the Ownership Threshold Required to Call a Special Meeting | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 15 | Amendment to the 2001 Stock Plan and French Sub-Plan | Mgmt |
| Microsoft Corporation | MSFT | 594918104 | 11/30/2016 | 16 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| Molson Coors Brewing Company | TAP | 60871R209 | 5/17/2017 | 1.1 | Elect Roger G. Eaton | Mgmt |
| Molson Coors Brewing Company | TAP | 60871R209 | 5/17/2017 | 1.2 | Elect Charles M. Herington | Mgmt |
| Molson Coors Brewing Company | TAP | 60871R209 | 5/17/2017 | 1.3 | Elect H. Sanford Riley | Mgmt |
| Molson Coors Brewing Company | TAP | 60871R209 | 5/17/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 1 | Elect Dwight M. Barns | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 2 | Elect Gregory H. Boyce | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 3 | Elect David L. Chicoine | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 4 | Elect Janice L. Fields | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 5 | Elect Hugh Grant | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 6 | Elect Arthur H. Harper | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 7 | Elect Laura K. Ipsen | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 8 | Elect Marcos Marinho Lutz | Mgmt |

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| Monsanto Company | MON | 61166W101 | 1/27/2017 | 9 | Elect C. Steven McMillan | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 10 | Elect Jon R. Moeller | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 11 | Elect George H. Poste | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 12 | Elect Robert J. Stevens | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 13 | Elect Patricia Verduin | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 14 | Ratification of Auditor | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 17 | Approval of Performance Goals Under and Amendment to the Long-Term Incentive Plan | Mgmt |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Monsanto Company | MON | 61166W101 | 1/27/2017 | 19 | Shareholder Proposal Regarding Risks of Glyphosate | ShrHold |
| Monster Beverage Corporation | MNST | 61174X109 | 10/11/2016 | 1 | Increase of Authorized Common Stock | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 1 | Elect Erskine B. Bowles | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 2 | Elect Alistair Darling | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 3 | Elect Thomas H. Glocer | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 4 | Elect James P. Gorman | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 5 | Elect Robert H. Herz | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 6 | Elect Nobuyuki Hirano | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 7 | Elect Klaus Kleinfeld | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 8 | Elect Jami Miscik | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 9 | Elect Dennis M. Nally | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 10 | Elect Hutham S. Olayan | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 11 | Elect James W. Owens | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 12 | Elect Ryohsuke Tamakoshi | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 13 | Elect Perry M. Traquina | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 14 | Elect Rayford Wilkins, Jr. | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 15 | Ratification of Auditor | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 18 | Amendment to the Equity Incentive Compensation Plan | Mgmt |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 19 | Amendment to the Directors' Equity Capital Accumulation Plan | Mgmt |

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| Morgan Stanley | MS | 617446448 | 5/22/2017 | 20 | Shareholder Proposal Regarding Counting Abstentions | ShrHold |
| Morgan Stanley | MS | 617446448 | 5/22/2017 | 21 | Shareholder Proposal Regarding Government Service Vesting | ShrHold |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 1 | Elect Heather Bresch | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 2 | Elect Wendy Cameron | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 3 | Elect Robert J. Cindrich | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 4 | Elect Robert J. Coury | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 5 | Elect JoEllen Lyons Dillon | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 6 | Elect Neil F. Dimick | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 7 | Elect Melina Higgins | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 8 | Elect Rajiv Malik | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 9 | Elect Mark Parrish | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 10 | Elect Randall L. Vanderveen | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 11 | Elect Sjoerd S. Vollebregt | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 12 | Adoption of the Dutch Annual Accounts | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 13 | Ratification of Auditor | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 14 | Appointment of Auditor for the Dutch Annual Accounts | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Mylan N.V. | MYL | N59465109 | 6/22/2017 | 17 | Authorization for Board to Acquire Ordinary and Preferred Shares | Mgmt |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 9/7/2016 | 1.1 | Elect Faith Colish | Mgmt |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 9/7/2016 | 1.2 | Elect Michael J. Cosgrove | Mgmt |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 9/7/2016 | 1.3 | Elect Deborah C. McLean | Mgmt |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 9/7/2016 | 1.4 | Elect Tom D. Seip | Mgmt |
| New Ireland Fund Inc | IRL | 645673104 | 7/20/2016 | 1 | Approval of a New Investment Advisory Agreement | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 1 | Elect Ian G.H. Ashken | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 2 | Elect Thomas E. Clarke | Mgmt |

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| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 3 | Elect Kevin C. Conroy | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 4 | Elect Scott S. Cowen | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 5 | Elect Michael T. Cowhig | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 6 | Elect Domenico De Sole | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 7 | Elect Martin E. Franklin | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 8 | Elect Ros L'Esperance | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 9 | Elect Michael B. Polk | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 10 | Elect Steven J. Strobel | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 11 | Elect Michael A. Todman | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 12 | Elect Raymond G. Viault | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 13 | Ratification of Auditor | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Newell Brands Inc | NWL | 651229106 | 5/9/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 1 | Elect Gregory H. Boyce | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 2 | Elect Bruce R. Brook | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 3 | Elect J. Kofi Bucknor | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 4 | Elect Vincent A. Calarco | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 5 | Elect Joseph A. Carrabba | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 6 | Elect Noreen Doyle | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 7 | Elect Gary J. Goldberg | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 8 | Elect Veronica M. Hagen | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 9 | Elect Jane Nelson | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 10 | Elect Julio M. Quintana | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 11 | Ratification of Auditor | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Newmont Mining Corporation | NEM | 651639106 | 4/20/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| | NEM | 651639106 | 4/20/2017 | 14 | | ShrHold |

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| Newmont Mining Corporation | | | | | | Shareholder Proposal Regarding Report Regarding Reporting on Company's Human Rights Policies and Risks | |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 1 | | Elect Sherry S. Barrat | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 2 | | Elect James L. Camaren | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 3 | | Elect Kenneth B. Dunn | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 4 | | Elect Naren K. Gursahaney | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 5 | | Elect Kirk S. Hachigian | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 6 | | Elect Toni Jennings | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 7 | | Elect Amy B. Lane | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 8 | | Elect James L. Robo | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 9 | | Elect Rudy E. Schupp | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 10 | | Elect John L. Skolds | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 11 | | Elect William H. Swanson | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 12 | | Elect Hansel E. Tookes II | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 13 | | Ratification of Auditor | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 14 | | Advisory Vote on Executive Compensation | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 15 | | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 16 | | Approval of the 2017 Non-Employee Director Stock Plan | Mgmt |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/18/2017 | 17 | | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHold |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 1 | | Elect Thomas D. Bell, Jr. | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 2 | | Elect Erskine B. Bowles | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 3 | | Elect Robert A. Bradway | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 4 | | Elect Wesley G. Bush | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 5 | | Elect Daniel A. Carp | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 6 | | Elect Mitchell E. Daniels, Jr. | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 7 | | Elect Marcela E. Donadio | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 8 | | Elect Steven F. Leer | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 9 | | Elect Michael D. Lockhart | Mgmt |

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| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 10 | Elect Amy E. Miles | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 11 | Elect Martin H. Nesbitt | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 12 | Elect James A. Squires | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 13 | Elect John R. Thompson | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 14 | Ratification of Auditor | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Norfolk Southern Corporation | NSC | 655844108 | 5/11/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 1.1 | Elect Patrick J. Dempsey | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 1.2 | Elect John J. Ferriola | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 1.3 | Elect Gregory J. Hayes | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 1.4 | Elect Victoria F. Haynes | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 1.5 | Elect Bernard L. Kasriel | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 1.6 | Elect Christopher J. Kearney | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 1.7 | Elect Laurette T. Koellner | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 1.8 | Elect John H. Walker | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 2 | Ratification of Auditor | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 5 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Nucor Corporation | NUE | 670346105 | 5/11/2017 | 6 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHold |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 6/30/2017 | 1 | Issuance of Shares of the Acquiring Fund in Connection with the Reorganization | Mgmt |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 6/30/2017 | 2.1 | Elect William Adams IV | Mgmt |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 6/30/2017 | 2.2 | Elect David J. Kundert | Mgmt |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 6/30/2017 | 2.3 | Elect John K. Nelson | Mgmt |

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| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 6/30/2017 | 2.4 | Elect Terence J. Toth | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 1 | Elect Spencer Abraham | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 2 | Elect Howard I. Atkins | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 3 | Elect Eugene L. Batchelder | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 4 | Elect John E. Feick | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 5 | Elect Margaret M. Foran | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 6 | Elect Carlos M. Gutierrez | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 7 | Elect Vicki A. Hollub | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 8 | Elect William R. Klesse | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 9 | Elect Jack B. Moore | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 10 | Elect Avedick B. Poladian | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 11 | Elect Elisse B. Walter | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 14 | Ratification of Auditor | Mgmt |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 15 | Shareholder Proposal Regarding Climate Change Policy Risk | ShrHold |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 16 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 17 | Shareholder Proposal Regarding Methane Emissions Reporting | ShrHold |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/12/2017 | 18 | Shareholder Proposal Regarding Political Spending Report | ShrHold |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 1 | Elect John D. Wren | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 2 | Elect Bruce Crawford | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 3 | Elect Alan R. Batkin | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 4 | Elect Mary C. Choksi | Mgmt |

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| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 5 | Elect Robert Charles Clark | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 6 | Elect Leonard S. Coleman | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 7 | Elect Susan S. Denison | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 8 | Elect Deborah J. Kissire | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 9 | Elect John R. Murphy | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 10 | Elect John R. Purcell | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 11 | Elect Linda Johnson Rice | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 12 | Elect Valerie M. Williams | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Omnicom Group Inc. | OMC | 681919106 | 5/25/2017 | 15 | Ratification of Auditor | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 1 | Elect Brian L. Derksen | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 2 | Elect Julie H. Edwards | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 3 | Elect John W. Gibson | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 4 | Elect Randall J. Larson | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 5 | Elect Steven J. Malcolm | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 6 | Elect Kevin S. McCarthy | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 7 | Elect Jim W. Mogg | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 8 | Elect Pattye L. Moore | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 9 | Elect Gary D. Parker | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 10 | Elect Eduardo A. Rodriguez | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 11 | Elect Terry K. Spencer | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 12 | Ratification of Auditor | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| ONEOK, Inc. | OKE | 682680103 | 5/24/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.1 | Elect Jeffrey S. Berg | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.2 | Elect H. Raymond Bingham | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.3 | Elect Michael J. Boskin | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.4 | Elect Safra A. Catz | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.5 | Elect Bruce R. Chizen | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.6 | Elect George H. Conrades | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.7 | Elect Lawrence J. Ellison | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.8 | Elect Hector Garcia-Molina | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.9 | Elect Jeffrey O. Henley | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.10 | Elect Mark V. Hurd | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.11 | Elect Renee J. James | Mgmt |

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| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.12 | Elect Leon E. Panetta | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 1.13 | Elect Naomi O. Seligman | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 3 | Ratification of Auditor | Mgmt |
| Oracle Corporation | ORCL | 68389X105 | 11/16/2016 | 4 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 1 | Elect Wences Casares | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 2 | Elect Jonathan Christodoro | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 3 | Elect John J. Donahoe II | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 4 | Elect David Dorman | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 5 | Elect Belinda J. Johnson | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 6 | Elect Gail J. McGovern | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 7 | Elect David M. Moffett | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 8 | Elect Daniel H. Schulman | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 9 | Elect Frank D. Yeary | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 10 | Advisory Vote on Executive Compensation | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 11 | Amendment to Articles Regarding Proxy Access | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 12 | Ratification of Auditor | Mgmt |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 13 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 14 | Shareholder Proposal Regarding Sustainability Report | ShrHold |
| Paypal Holdings, Inc. | PYPL | 70450Y103 | 5/24/2017 | 15 | Shareholder Proposal Regarding Net Zero Greenhouse Gas Emissions | ShrHold |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 1 | Elect Shona L. Brown | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 2 | Elect George W. Buckley | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 3 | Elect Cesar Conde | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 4 | Elect Ian M. Cook | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 5 | Elect Dina Dublon | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 6 | Elect Rona A. Fairhead | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 7 | Elect Richard W. Fisher | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 8 | Elect William R. Johnson | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 9 | Elect Indra K. Nooyi | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 10 | Elect David C. Page | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 11 | Elect Robert C. Pohlad | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 12 | Elect Daniel Vasella | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 13 | Elect Darren Walker | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 14 | Elect Alberto Weisser | Mgmt |

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| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 15 | Ratification of Auditor | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 18 | Shareholder Proposal Regarding Report on Pesticides | ShrHold |
| PepsiCo, Inc. | PEP | 713448108 | 5/3/2017 | 19 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 1 | Elect Dennis A. Ausiello | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 2 | Elect Ronald E. Blaylock | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 3 | Elect W. Don Cornwell | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 4 | Elect Joseph J. Echevarria | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 5 | Elect Frances D. Fergusson | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 6 | Elect Helen H. Hobbs | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 7 | Elect James M. Kilts | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 8 | Elect Shantanu Narayen | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 9 | Elect Suzanne Nora Johnson | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 10 | Elect Ian C. Read | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 11 | Elect Stephen W. Sanger | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 12 | Elect James C. Smith | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 13 | Ratification of Auditor | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 16 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 17 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Pfizer Inc. | PFE | 717081103 | 4/27/2017 | 18 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 1 | Elect Harold Brown | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 2 | Elect Andre Calantzopoulos | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 3 | Elect Louis C. Camilleri | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 4 | Elect Massimo Ferragamo | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 5 | Elect Werner Geissler | Mgmt |
| | PM | 718172109 | 5/3/2017 | 6 | Elect Jennifer Li | Mgmt |

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Philip Morris
International Inc.

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| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 7 | Elect Jun Makihara | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 8 | Elect Sergio Marchionne | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 9 | Elect Kalpana Morparia | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 10 | Elect Lucio A. Noto | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 11 | Elect Frederik Paulsen | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 12 | Elect Robert B. Polet | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 13 | Elect Stephen M. Wolf | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 16 | APPROVAL OF THE 2017 PERFORMANCE INCENTIVE PLAN | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 17 | APPROVAL OF THE 2017 STOCK COMPENSATION PLAN FOR NON-EMPLOYEE DIRECTORS | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 18 | Ratification of Auditor | Mgmt |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 19 | Shareholder Proposal Regarding Formation of Human Rights Review Committee | ShrHold |
| Philip Morris International Inc. | PM | 718172109 | 5/3/2017 | 20 | Shareholder Proposal Regarding Mediation of Alleged Human Rights Violations | ShrHold |
| Phillips 66 | PSX | 718546104 | 5/3/2017 | 1 | Elect William R. Loomis, Jr. | Mgmt |
| Phillips 66 | PSX | 718546104 | 5/3/2017 | 2 | Elect Glenn F. Tilton | Mgmt |
| Phillips 66 | PSX | 718546104 | 5/3/2017 | 3 | Elect Marna C. Whittington | Mgmt |
| Phillips 66 | PSX | 718546104 | 5/3/2017 | 4 | Ratification of Auditor | Mgmt |
| Phillips 66 | PSX | 718546104 | 5/3/2017 | 5 | Advisory Vote on Executive Compensation | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 1 | Elect Edison C. Buchanan | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 2 | Elect Andrew F. Cates | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 3 | Elect Timothy L. Dove | Mgmt |

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| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 4 | Elect Phillip A. Gobe | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 5 | Elect Larry R. Grillot | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 6 | Elect Stacy P. Methvin | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 7 | Elect Royce W. Mitchell | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 8 | Elect Frank A. Risch | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 9 | Elect Scott D. Sheffield | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 10 | Elect Mona K. Sutphen | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 11 | Elect J. Kenneth Thompson | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 12 | Elect Phoebe A. Wood | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 13 | Elect Michael D. Wortley | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 14 | Ratification of Auditor | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/18/2017 | 17 | Shareholder Proposal Regarding Sustainability Report | ShrHold |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 1 | Elect Rodney C. Adkins | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 2 | Elect John W. Conway | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 3 | Elect Steven G. Elliott | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 4 | Elect Raja Rajamannar | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 5 | Elect Craig A. Rogerson | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 6 | Elect William H. Spence | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 7 | Elect Natica von Althann | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 8 | Elect Keith H. Williamson | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 9 | Elect Armando Zagalo de Lima | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 10 | Advisory Vote on Executive Compensation | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 11 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 12 | Amendment to the 2012 Stock Incentive Plan | Mgmt |

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| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 13 | Ratification of Auditor | Mgmt |
| PPL Corporation | PPL | 69351T106 | 5/17/2017 | 14 | Shareholder Proposal Regarding Climate Change Reporting | ShrHold |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 1 | Elect Stephen F. Angel | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 2 | Elect Oscar Bernardes | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 3 | Elect Nance K. Dicciani | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 4 | Elect Edward G. Galante | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 5 | Elect Raymond W. LeBoeuf | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 6 | Elect Larry D. McVay | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 7 | Elect Martin H. Richenhagen | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 8 | Elect Wayne T. Smith | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 9 | Elect Robert L. Wood | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 10 | Ratification of Auditor | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Praxair, Inc. | PX | 74005P104 | 4/25/2017 | 13 | Approval of Amendments to the 2009 Long Term Incentive Plan | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 1 | Elect Willie A. Deese | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 2 | Elect Albert R. Gamper, Jr. | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 3 | Elect William V. Hickey | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 4 | Elect Ralph Izzo | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 5 | Elect Shirley Ann Jackson | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 6 | Elect David Lilley | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 7 | Elect Thomas A. Renyi | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 8 | Elect Hak Cheol Shin | Mgmt |

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| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 9 | Elect Richard J. Swift | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 10 | Elect Susan Tomasky | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 11 | Elect Alfred W. Zollar | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/18/2017 | 14 | Ratification of Auditor | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 1 | Elect Barbara T. Alexander | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 2 | Elect Jeffrey W. Henderson | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 3 | Elect Thomas W. Horton | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 4 | Elect Paul E. Jacobs | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 5 | Elect Ann M. Livermore | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 6 | Elect Harish M. Manwani | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 7 | Elect Mark D. McLaughlin | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 8 | Elect Steven M. Mollenkopf | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 9 | Elect Clark T. Randt, Jr. | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 10 | Elect Francisco Ros | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 11 | Elect Anthony J. Vinciquerra | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 12 | Ratification of Auditor | Mgmt |
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |

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|------------------------------|------|-----------|-----------|-----|---|---------|
| QUALCOMM Incorporated | QCOM | 747525103 | 3/7/2017 | 14 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 1 | Elect Tracy A Atkinson | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 2 | Elect Robert E. Beauchamp | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 3 | Elect Vernon E. Clark | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 4 | Elect Stephen J. Hadley | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 5 | Elect Thomas A. Kennedy | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 6 | Elect Letitia A. Long | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 7 | Elect George R. Oliver | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 8 | Elect Dinesh C. Paliwal | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 9 | Elect William R. Spivey | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 10 | Elect James A. Winnefeld, Jr. | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 13 | Approval of 2017 Incentive Plan for Section 162(m) Purposes | Mgmt |
| Raytheon Company | RTN | 755111507 | 5/25/2017 | 14 | Ratification of Auditor | Mgmt |
| RMR Real Estate Income Fund. | RIF | 76970B101 | 4/13/2017 | 1 | Elect John L. Harrington | Mgmt |
| RMR Real Estate Income Fund. | RIF | 76970B101 | 4/13/2017 | 2 | Approval of Redomestication of the fund from a Delaware Statutory Trust to a Maryland Statutory Trust | Mgmt |
| Royce Trust | RMT | 780915104 | 9/19/2016 | 1.1 | Elect Patricia W. Chadwick | Mgmt |
| Royce Trust | RMT | 780915104 | 9/19/2016 | 1.2 | Elect Arthur S. Mehlman | Mgmt |
| Royce Trust | RMT | 780915104 | 9/19/2016 | 1.3 | Elect Michael K. Shields | Mgmt |
| Royce Trust | RVT | 780910105 | 9/19/2016 | 1.1 | Elect Patricia W. Chadwick | Mgmt |
| Royce Trust | RVT | 780910105 | 9/19/2016 | 1.2 | Elect Arthur S. Mehlman | Mgmt |
| Royce Trust | RVT | 780910105 | 9/19/2016 | 1.3 | Elect Michael K. Shields | Mgmt |

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| Royce Trust | RGT | 78081T104 | 9/19/2016 | 1.1 | Elect Patricia W. Chadwick | Mgmt |
| Royce Trust | RGT | 78081T104 | 9/19/2016 | 1.2 | Elect Arthur S. Mehlman | Mgmt |
| Royce Trust | RGT | 78081T104 | 9/19/2016 | 1.3 | Elect Michael K. Shields | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 1 | Elect Peter L.S. Currie | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 2 | Elect Miguel M. Galuccio | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 3 | Elect V. Maureen Kempston Darkes | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 4 | Elect Paal Kibsgaard | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 5 | Elect Nikolay Kudryavtsev | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 6 | Elect Helge Lund | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 7 | Elect Michael E. Marks | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 8 | Elect Indra K. Nooyi | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 9 | Elect Lubna S. Olayan | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 10 | Elect Leo Rafael Reif | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 11 | Elect Tore I. Sandvold | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 12 | Elect Henri Seydoux | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 15 | Approval of Financial Statements/Dividends | Mgmt |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 16 | Ratification of Auditor | Mgmt |
| Schlumberger N.V. | SLB | 806857108 | 4/5/2017 | 17 | Approval of the 2017 Omnibus Stock | Mgmt |

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| (Schlumberger Limited) | | | | | | Incentive Plan | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 806857108 | 4/5/2017 | 18 | | Amendment to the Discounted Stock Purchase Plan | Mgmt |
| Source Capital, Inc. | SOR | 836144105 | 5/8/2017 | 1.1 | | Elect J. Richard Atwood | Mgmt |
| Source Capital, Inc. | SOR | 836144105 | 5/8/2017 | 1.2 | | Elect Sandra Brown | Mgmt |
| Source Capital, Inc. | SOR | 836144105 | 5/8/2017 | 1.3 | | Elect Mark L. Lipson | Mgmt |
| Source Capital, Inc. | SOR | 836144105 | 5/8/2017 | 1.4 | | Elect Alfred E. Osborne, Jr. | Mgmt |
| Source Capital, Inc. | SOR | 836144105 | 5/8/2017 | 1.5 | | Elect A. Robert Pisano | Mgmt |
| Source Capital, Inc. | SOR | 836144105 | 5/8/2017 | 1.6 | | Elect Patrick B. Purcell | Mgmt |
| Source Capital, Inc. | SOR | 836144105 | 5/8/2017 | 1.7 | | Elect Allan M. Rudnick | Mgmt |
| Sprott Focus Trust, Inc. | FUND | 85208J109 | 9/20/2016 | 1.1 | | Elect Michael W. Clark | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 1 | | Elect Howard Schultz | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 2 | | Elect William W. Bradley | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 3 | | Elect Rosalind G. Brewer | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 4 | | Elect Mary N. Dillon | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 5 | | Elect Robert M. Gates | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 6 | | Elect Mellody Hobson | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 7 | | Elect Kevin R. Johnson | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 8 | | Elect Jorgen Vig Knudstorp | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 9 | | Elect Satya Nadella | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 10 | | Elect Joshua Cooper Ramo | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 11 | | Elect Clara Shih | Mgmt |

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|--------------------------|------|-----------|-----------|----|---|---------|
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 12 | Elect Javier G. Teruel | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 13 | Elect Myron E. Ullman III | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 14 | Elect Craig E. Weatherup | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 17 | Ratification of Auditor | Mgmt |
| Starbucks Corporation | SBUX | 855244109 | 3/22/2017 | 18 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 1 | Elect Kennett F. Burnes | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 2 | Elect Patrick de Saint-Aignan | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 3 | Elect Lynn A. Dugle | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 4 | Elect Amelia C. Fawcett | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 5 | Elect William C. Freda | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 6 | Elect Linda A. Hill | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 7 | Elect Joseph L. Hooley | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 8 | Elect Sean O'Sullivan | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 9 | Elect Richard P. Sergel | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 10 | Elect Gregory L. Summe | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 13 | Approval of the 2017 Stock Incentive Plan | Mgmt |
| State Street Corporation | STT | 857477103 | 5/17/2017 | 14 | Ratification of Auditor | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 1 | Elect Howard E. Cox, Jr. | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 2 | Elect Srikant M. Datar | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 3 | Elect Roch Doliveux | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 4 | Elect Louise L. Francesconi | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 5 | Elect Allan C. Golston | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 6 | Elect Kevin A. Lobo | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 7 | Elect Andrew K Silvernail | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 8 | Elect Ronda E. Stryker | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 9 | Ratification of Auditor | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 10 | Amendment to the 2011 Long-Term Incentive Plan | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 11 | Amendment to the 2011 Performance Incentive Award Plan | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 12 | Amendment to the 2008 Employee Stock Purchase Plan | Mgmt |

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|---------------------|-----|-----------|------------|----|---|---------|
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 13 | Re-approval of the Executive Bonus Plan | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Stryker Corporation | SYK | 863667101 | 5/3/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 1 | Elect Daniel J. Brutto | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 2 | Elect John M. Cassaday | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 3 | Elect Judith B. Craven | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 4 | Elect William J. DeLaney | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 5 | Elect Joshua D. Frank | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 6 | Elect Larry C. Glasscock | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 7 | Elect Jonathan Golden | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 8 | Elect Bradley M. Halverson | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 9 | Elect Hans-Joachim Koerber | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 10 | Elect Nancy S. Newcomb | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 11 | Elect Nelson Peltz | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 12 | Elect Edward D. Shirley | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 13 | Elect Richard G. Tilghman | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 14 | Elect Jackie M. Ward | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 16 | Ratification of Auditor | Mgmt |
| Sysco Corporation | SYX | 871829107 | 11/16/2016 | 17 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHold |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 1 | Elect Roxanne S. Austin | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 2 | Elect Douglas M. Baker, Jr. | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 3 | Elect Brian C. Cornell | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 4 | Elect Calvin Darden | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 5 | Elect Henrique De Castro | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 6 | Elect Robert L. Edwards | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 7 | Elect Melanie L. Healey | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 8 | Elect Donald R. Knauss | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 9 | Elect Monica C. Lozano | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 10 | Elect Mary E. Minnick | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 11 | Elect Derica W. Rice | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 12 | Elect Kenneth L. Salazar | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 13 | Ratification of Auditor | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 15 | Frequency of Advisory Vote on Executive | Mgmt |

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| | | | | | Compensation | |
|-----------------------------|-----|-----------|-----------|-----|---|------|
| Target Corporation | TGT | 87612E106 | 6/14/2017 | 16 | Approval of the Executive Officer Cash Incentive Plan | Mgmt |
| Templeton Dragon Fund, Inc. | TDF | 88018T101 | 5/30/2017 | 1.1 | Elect Harris J. Ashton | Mgmt |
| Templeton Dragon Fund, Inc. | TDF | 88018T101 | 5/30/2017 | 1.2 | Elect Mary C. Choksi | Mgmt |
| Templeton Dragon Fund, Inc. | TDF | 88018T101 | 5/30/2017 | 1.3 | Elect J. Michael Luttig | Mgmt |
| Templeton Dragon Fund, Inc. | TDF | 88018T101 | 5/30/2017 | 1.4 | Elect Constantine D. Tseretopoulos | Mgmt |
| Templeton Dragon Fund, Inc. | TDF | 88018T101 | 5/30/2017 | 2 | Ratification of Auditor | Mgmt |
| Thai Fund Inc | TTF | 882904105 | 7/7/2016 | 1.1 | Elect Frank L. Bowman | Mgmt |
| Thai Fund Inc | TTF | 882904105 | 7/7/2016 | 1.2 | Elect Jakki L. Haussler | Mgmt |
| Thai Fund Inc | TTF | 882904105 | 7/7/2016 | 1.3 | Elect James F. Higgins | Mgmt |
| Thai Fund Inc | TTF | 882904105 | 7/7/2016 | 1.4 | Elect Manuel H. Johnson | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 1 | Elect Robert A. Bradway | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 2 | Elect David L. Calhoun | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 3 | Elect Arthur D. Collins, Jr. | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 4 | Elect Kenneth M. Duberstein | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 5 | Elect Edmund P. Giambastiani, Jr. | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 6 | Elect Lynn J. Good | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 7 | Elect Lawrence W. Kellner | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 8 | Elect Edward M. Liddy | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 9 | Elect Dennis A. Muilenburg | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 10 | Elect Susan C. Schwab | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 11 | Elect Randall L. Stephenson | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 12 | Elect Ronald A. Williams | Mgmt |

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| The Boeing Company | BA | 097023105 | 5/1/2017 | 13 | Elect Mike S. Zafirovski | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 16 | Ratification of Auditor | Mgmt |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 17 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 18 | Shareholder Report Regarding Right to Call a Special Meeting | ShrHold |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 19 | Shareholder Proposal Regarding Report On Arms Sales to Israel | ShrHold |
| The Boeing Company | BA | 097023105 | 5/1/2017 | 20 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 1 | Elect Amy L. Banse | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 2 | Elect Richard Carmona | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 3 | Elect Benno Dorer | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 4 | Elect Spencer C. Fleischer | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 5 | Elect Esther Lee | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 6 | Elect A.D. David Mackay | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 7 | Elect Robert W. Matschullat | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 8 | Elect Jeffrey Noddle | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 9 | Elect Pamela Thomas-Graham | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 10 | Elect Carolyn M. Ticknor | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 11 | Elect Christopher J. Williams | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 13 | Ratification of Auditor | Mgmt |
| The Clorox Company | CLX | 189054109 | 11/16/2016 | 14 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 1 | Elect Lloyd C. Blankfein | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 2 | Elect M. Michele Burns | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 3 | Elect Mark Flaherty | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 4 | Elect William W. George | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 5 | Elect James A. Johnson | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 6 | Elect Ellen J. Kullman | Mgmt |
| | GS | 38141G104 | 4/28/2017 | 7 | Elect Lakshmi N. Mittal | Mgmt |

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| The Goldman Sachs Group, Inc. | | | | | | | | | |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 8 | Elect Adebayo O. Ogunlesi | | | | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 9 | Elect Peter Oppenheimer | | | | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 10 | Elect David A. Viniar | | | | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 11 | Elect Mark O. Winkelman | | | | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 12 | Advisory Vote on Executive Compensation | | | | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | | | | Mgmt |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 4/28/2017 | 14 | Ratification of Auditor | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 1 | Elect Gerard J. Arpey | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 2 | Elect Ari Bousbib | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 3 | Elect Jeffery H. Boyd | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 4 | Elect Gregory D. Brenneman | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 5 | Elect J. Frank Brown | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 6 | Elect Albert P. Carey | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 7 | Elect Armando Codina | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 8 | Elect Helena B. Foulkes | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 9 | Elect Linda R. Gooden | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 10 | Elect Wayne M. Hewett | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 11 | Elect Karen L. Katen | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 12 | Elect Craig A. Menear | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 13 | Elect Mark Vadon | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 14 | Ratification of Auditor | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 15 | Advisory Vote on Executive Compensation | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | | | | Mgmt |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 17 | Shareholder Proposal Regarding Employment Diversity Report | | | | ShrHold |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 18 | Shareholder Proposal Regarding Advisory Vote on Political Contributions | | | | ShrHold |
| The Home Depot, Inc. | HD | 437076102 | 5/18/2017 | 19 | Shareholder Proposal Regarding Right to Call a Special Meeting | | | | ShrHold |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 1 | Elect Stuart B. Burgdoerfer | | | | Mgmt |

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|-----------------------------|-----|-----------|-----------|----|--|------|
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 2 | Elect Charles A. Davis | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 3 | Elect Roger N. Farah | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 4 | Elect Lawton W. Fitt | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 5 | Elect Susan Patricia Griffith | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 6 | Elect Jeffrey D. Kelly | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 7 | Elect Patrick H. Nettles | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 8 | Elect Glenn M. Renwick | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 9 | Elect Bradley T. Sheares | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 10 | Elect Barbara R. Snyder | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 11 | Approval of the 2017 Executive Annual Incentive Plan | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 12 | Approval of the 2017 Directors Equity Incentive Plan | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| The Progressive Corporation | PGR | 743315103 | 5/12/2017 | 15 | Ratification of Auditor | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 1 | Elect Juanita Powell Baranco | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 2 | Elect Jon A. Boscia | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 3 | Elect Henry A. Clark III | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 4 | Elect Thomas A. Fanning | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 5 | Elect David J. Grain | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 6 | Elect Veronica M. Hagen | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 7 | Elect Warren A. Hood, Jr. | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 8 | Elect Linda P. Hudson | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 9 | Elect Donald M. James | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 10 | Elect John D. Johns | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 11 | Elect Dale E. Klein | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 12 | Elect William G. Smith, Jr. | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 13 | Elect Steven R. Specker | Mgmt |

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|-------------------------|-----|-----------|-----------|----|--|---------|
| The Southern Company | SO | 842587107 | 5/24/2017 | 14 | Elect Larry D. Thompson | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 15 | Elect E. Jenner Wood III | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 16 | Elimination of Supermajority Requirement | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 17 | Advisory Vote on Executive Compensation | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 18 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 19 | Ratification of Auditor | Mgmt |
| The Southern Company | SO | 842587107 | 5/24/2017 | 20 | Shareholder Proposal Regarding Reporting on Company Climate Change Policies | ShrHold |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 1 | Elect Zein Abdalla | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 2 | Elect Jose B. Alvarez | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 3 | Elect Alan M. Bennett | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 4 | Elect David T. Ching | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 5 | Elect Ernie Herrman | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 6 | Elect Michael F. Hines | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 7 | Elect Amy B. Lane | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 8 | Elect Carol Meyrowitz | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 9 | Elect Jackwyn L. Nemerov | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 10 | Elect John F. O'Brien | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 11 | Elect Willow B. Shire | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 12 | Ratification of Auditor | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 13 | REAPPROVAL OF MATERIAL TERMS OF PERFORMANCE GOALS UNDER THE STOCK INCENTIVE PLAN | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 14 | Reapproval of Material Terms of Performance Goals Under the Cash Incentive Plans | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |

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|-------------------------------|-----|-----------|-----------|----|---|---------|
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 17 | Shareholder Proposal Regarding Linking Executive Pay to Diversity Metrics | ShrHold |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 18 | Shareholder Proposal Regarding Report on Ratio Between CEO and Employee Pay | ShrHold |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 19 | Shareholder Report Regarding Gender and Ethnicity Pay Equity | ShrHold |
| The TJX Companies, Inc. | TJX | 872540109 | 6/6/2017 | 20 | Shareholder Proposal Regarding Net Zero Greenhouse Gas Emissions | ShrHold |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 1 | Elect Alan L. Beller | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 2 | Elect John H. Dasburg | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 3 | Elect Janet M. Dolan | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 4 | Elect Kenneth M. Duberstein | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 5 | Elect Patricia L. Higgins | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 6 | Elect William J. Kane | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 7 | Elect Cleve L. Killingsworth, Jr. | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 8 | Elect Philip T. Ruegger III | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 9 | Elect Todd C. Schermerhorn | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 10 | Elect Alan D. Schnitzer | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 11 | Elect Donald J. Shepard | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 12 | Elect Laurie J. Thomsen | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 13 | Ratification of Auditor | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 16 | Amendment to the 2014 Stock Incentive Plan | Mgmt |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 17 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| | TRV | 89417E109 | 5/18/2017 | 18 | | ShrHold |

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| The Travelers Companies, Inc. | | | | | Shareholder Proposal Regarding Gender Pay Equity Report | |
| The Travelers Companies, Inc. | TRV | 89417E109 | 5/18/2017 | 19 | Shareholder Proposal Regarding Diversity Report | ShrHold |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 1 | Elect Susan E. Arnold | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 2 | Elect John S. Chen | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 3 | Elect Jack Dorsey | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 4 | Elect Robert A. Iger | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 5 | Elect Maria Elena Lagomasino | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 6 | Elect Fred H. Langhammer | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 7 | Elect Aylwin B. Lewis | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 8 | Elect Robert W. Matschullat | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 9 | Elect Mark G. Parker | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 10 | Elect Sheryl Sandberg | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 11 | Elect Orin C. Smith | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 12 | Ratification of Auditor | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 15 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| The Walt Disney Company | DIS | 254687106 | 3/8/2017 | 16 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 1 | Elect Marc N. Casper | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 2 | Elect Nelson J. Chai | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 3 | Elect C. Martin Harris | Mgmt |
| | TMO | 883556102 | 5/17/2017 | 4 | Elect Tyler Jacks | Mgmt |

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| Thermo Fisher Scientific Inc. | | | | | | | |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 5 | Elect Judy C. Lewent | | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 6 | Elect Thomas J. Lynch | | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 7 | Elect Jim P. Manzi | | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 8 | Elect William G. Parrett | | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 9 | Elect Lars Rebien Sorensen | | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 10 | Elect Scott M. Sperling | | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 11 | Elect Elaine S. Ullian | | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 12 | Elect Dion J. Weisler | | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 13 | Advisory Vote on Executive Compensation | | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | | Mgmt |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/17/2017 | 15 | Ratification of Auditor | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 2/15/2017 | 1 | Merger | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 2/15/2017 | 2 | Advisory Vote on Golden Parachutes | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 2/15/2017 | 3 | Right to Adjourn Meeting | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 1 | Elect William P. Barr | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 2 | Elect Jeffrey L. Bewkes | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 3 | Elect Robert Charles Clark | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 4 | Elect Mathias Dopfner | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 5 | Elect Jessica P. Einhorn | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 6 | Elect Carlos M. Gutierrez | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 7 | Elect Fred Hassan | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 8 | Elect Paul D. Wachter | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 9 | Elect Deborah C. Wright | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 10 | Ratification of Auditor | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 11 | Advisory Vote on Executive Compensation | | Mgmt |
| Time Warner Inc. | TWX | 887317303 | 6/15/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | | Mgmt |
| | TY | 895436103 | 4/19/2017 | 1.1 | Elect Patricia M. Flynn | | Mgmt |

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Tri-Continental
Corporation

| | | | | | | |
|--------------------------------|-----|-----------|------------|-----|------------------------------|------|
| Tri-Continental Corporation | TY | 895436103 | 4/19/2017 | 1.2 | Elect Catherine James Paglia | Mgmt |
| Tri-Continental Corporation | TY | 895436103 | 4/19/2017 | 1.3 | Elect William A. Hawkins | Mgmt |
| Tri-Continental Corporation | TY | 895436103 | 4/19/2017 | 2 | Ratification of Auditor | Mgmt |
| Turkish Investment Fund Inc | TKF | 900145103 | 7/7/2016 | 1.1 | Elect Frank L. Bowman | Mgmt |
| Turkish Investment Fund Inc | TKF | 900145103 | 7/7/2016 | 1.2 | Elect Jakki L. Haussler | Mgmt |
| Turkish Investment Fund Inc | TKF | 900145103 | 7/7/2016 | 1.3 | Elect James F. Higgins | Mgmt |
| Turkish Investment Fund Inc | TKF | 900145103 | 7/7/2016 | 1.4 | Elect Manuel H. Johnson | Mgmt |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 1 | Elect K. Rupert Murdoch | Mgmt |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 2 | Elect Lachlan K. Murdoch | Mgmt |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 3 | Elect Delphine Arnault | Mgmt |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 4 | Elect James W. Breyer | Mgmt |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 5 | Elect Chase Carey | Mgmt |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 6 | Elect David F. DeVoe | Mgmt |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 7 | Elect Viet D. Dinh | Mgmt |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 8 | Elect Roderick Ian Eddington | Mgmt |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 9 | Elect James Murdoch | Mgmt |
| Twenty-First Century | FOX | 90130A200 | 11/10/2016 | 10 | Elect Jacques Nasser | Mgmt |

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Fox, Inc.

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|-----------------------------------|-----|-----------|------------|----|---------------------------|------|
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 11 | Elect Robert S. Silberman | Mgmt |
|-----------------------------------|-----|-----------|------------|----|---------------------------|------|

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|-----------------------------------|-----|-----------|------------|----|---------------------|------|
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 12 | Elect Tidjane Thiam | Mgmt |
|-----------------------------------|-----|-----------|------------|----|---------------------|------|

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|-----------------------------------|-----|-----------|------------|----|------------------------|------|
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 13 | Elect Jeffrey W. Ubben | Mgmt |
|-----------------------------------|-----|-----------|------------|----|------------------------|------|

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|-----------------------------------|-----|-----------|------------|----|-------------------------|------|
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 14 | Ratification of Auditor | Mgmt |
|-----------------------------------|-----|-----------|------------|----|-------------------------|------|

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|-----------------------------------|-----|-----------|------------|----|---|------|
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/10/2016 | 15 | Advisory Vote on Executive Compensation | Mgmt |
|-----------------------------------|-----|-----------|------------|----|---|------|

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|------------------------------|-----|-----------|-----------|---|---------------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 1 | Elect Andrew H. Card, Jr. | Mgmt |
|------------------------------|-----|-----------|-----------|---|---------------------------|------|

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|------------------------------|-----|-----------|-----------|---|----------------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 2 | Elect Erroll B. Davis, Jr. | Mgmt |
|------------------------------|-----|-----------|-----------|---|----------------------------|------|

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| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 3 | Elect David B. Dillon | Mgmt |
|------------------------------|-----|-----------|-----------|---|-----------------------|------|

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|------------------------------|-----|-----------|-----------|---|----------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 4 | Elect Lance M. Fritz | Mgmt |
|------------------------------|-----|-----------|-----------|---|----------------------|------|

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|------------------------------|-----|-----------|-----------|---|--------------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 5 | Elect Deborah C. Hopkins | Mgmt |
|------------------------------|-----|-----------|-----------|---|--------------------------|------|

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|------------------------------|-----|-----------|-----------|---|--------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 6 | Elect Jane H. Lute | Mgmt |
|------------------------------|-----|-----------|-----------|---|--------------------|------|

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|------------------------------|-----|-----------|-----------|---|---------------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 7 | Elect Michael R. McCarthy | Mgmt |
|------------------------------|-----|-----------|-----------|---|---------------------------|------|

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|------------------------------|-----|-----------|-----------|---|----------------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 8 | Elect Michael W. McConnell | Mgmt |
|------------------------------|-----|-----------|-----------|---|----------------------------|------|

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|------------------------------|-----|-----------|-----------|---|-----------------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 9 | Elect Thomas F. McLarty III | Mgmt |
|------------------------------|-----|-----------|-----------|---|-----------------------------|------|

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|------------------------------|-----|-----------|-----------|----|-----------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 10 | Elect Steven R. Rogel | Mgmt |
|------------------------------|-----|-----------|-----------|----|-----------------------|------|

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|------------------------------|-----|-----------|-----------|----|--------------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 11 | Elect Jose H. Villarreal | Mgmt |
|------------------------------|-----|-----------|-----------|----|--------------------------|------|

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|------------------------------|-----|-----------|-----------|----|-------------------------|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 12 | Ratification of Auditor | Mgmt |
|------------------------------|-----|-----------|-----------|----|-------------------------|------|

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|------------------------------|-----|-----------|-----------|----|---|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
|------------------------------|-----|-----------|-----------|----|---|------|

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|------------------------------|-----|-----------|-----------|----|---|------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
|------------------------------|-----|-----------|-----------|----|---|------|

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|------------------------------|-----|-----------|-----------|----|---|---------|
| Union Pacific Corporation | UNP | 907818108 | 5/11/2017 | 15 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
|------------------------------|-----|-----------|-----------|----|---|---------|

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|--------------------------------|-----|-----------|----------|---|----------------------|------|
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 1 | Elect David P. Abney | Mgmt |
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|---------------------------------|-----|-----------|-----------|----|--|---------|
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 2 | Elect Rodney C. Adkins | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 3 | Elect Michael J. Burns | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 4 | Elect William R. Johnson | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 5 | Elect Candace Kendle | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 6 | Elect Ann M. Livermore | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 7 | Elect Rudy H.P. Markham | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 8 | Elect Franck J. Moison | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 9 | Elect Clark T. Randt, Jr. | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 10 | Elect John T. Stankey | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 11 | Elect Carol B. Tome | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 12 | Elect Kevin M. Warsh | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 15 | Ratification of Auditor | Mgmt |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 17 | Shareholder Proposal Regarding Recapitalization | ShrHold |
| United Parcel Service, Inc. | UPS | 911312106 | 5/4/2017 | 18 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 1 | Elect Lloyd J Austin | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 2 | Elect Diane M. Bryant | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 3 | Elect John V. Faraci | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 4 | Elect Jean-Pierre Garnier | Mgmt |
| | UTX | 913017109 | 4/24/2017 | 5 | Elect Gregory J. Hayes | Mgmt |

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| United Technologies Corporation | | | | | | | |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 6 | Elect Edward A. Kangas | | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 7 | Elect Ellen J. Kullman | | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 8 | Elect Marshall O. Larsen | | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 9 | Elect Harold W. McGraw III | | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 10 | Elect Fredric G. Reynolds | | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 11 | Elect Brian C. Rogers | | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 12 | Elect Christine Todd Whitman | | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 13 | Ratification of Auditor | | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 14 | Advisory Vote on Executive Compensation | | Mgmt |
| United Technologies Corporation | UTX | 913017109 | 4/24/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.1 | Elect Richard T. Carucci | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.2 | Elect Juliana L. Chugg | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.3 | Elect Benno Dorer | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.4 | Elect Mark S. Hoplamazian | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.5 | Elect Robert J. Hurst | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.6 | Elect Laura W. Lang | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.7 | Elect W. Alan McCollough | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.8 | Elect W. Rodney McMullen | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.9 | Elect Clarence Otis, Jr. | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.10 | Elect Steven E. Rendle | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.11 | Elect Carol L. Roberts | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.12 | Elect Matthew J. Shattock | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 1.13 | Elect Eric C. Wiseman | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 2 | Advisory Vote on Executive Compensation | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | | Mgmt |
| V.F. Corporation | VFC | 918204108 | 4/25/2017 | 4 | Ratification of Auditor | | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 1 | Elect Shellye L. Archambeau | | Mgmt |

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|-----------------------------|-----|-----------|-----------|-----|--|---------|
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 2 | Elect Mark T. Bertolini | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 3 | Elect Richard L. Carrion | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 4 | Elect Melanie L. Healey | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 5 | Elect M. Frances Keeth | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 6 | Elect Karl-Ludwig Kley | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 7 | Elect Lowell C. McAdam | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 8 | Elect Clarence Otis, Jr. | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 9 | Elect Rodney E. Slater | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 10 | Elect Kathryn A. Tesija | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 11 | Elect Gregory D. Wasson | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 12 | Elect Gregory G. Weaver | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 13 | Ratification of Auditor | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 16 | 2017 Long-Term Incentive Plan | Mgmt |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 17 | Shareholder Proposal Regarding Human Rights Committee | ShrHold |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 18 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHold |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 19 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 20 | Shareholder Proposal Regarding Clawback Policy | ShrHold |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 21 | Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age | ShrHold |
| Verizon Communications Inc. | VZ | 92343V104 | 5/4/2017 | 22 | Shareholder Proposal Regarding Limiting Matching Contributions for Executives | ShrHold |
| Versum Materials, Inc. | VSM | 92532W103 | 3/21/2017 | 1.1 | Elect Seifi Ghasemi | Mgmt |

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| Versum Materials, Inc. | VSM | 92532W103 | 3/21/2017 | 1.2 | Elect Guillermo Novo | Mgmt |
| Versum Materials, Inc. | VSM | 92532W103 | 3/21/2017 | 1.3 | Elect Jacques Croisetiere | Mgmt |
| Versum Materials, Inc. | VSM | 92532W103 | 3/21/2017 | 1.4 | Elect Yi Hyon Paik | Mgmt |
| Versum Materials, Inc. | VSM | 92532W103 | 3/21/2017 | 1.5 | Elect Thomas J. Riordan | Mgmt |
| Versum Materials, Inc. | VSM | 92532W103 | 3/21/2017 | 1.6 | Elect Susan C. Schnabel | Mgmt |
| Versum Materials, Inc. | VSM | 92532W103 | 3/21/2017 | 1.7 | Elect Alejandro D. Wolff | Mgmt |
| Versum Materials, Inc. | VSM | 92532W103 | 3/21/2017 | 2 | Ratification of Auditor | Mgmt |
| Versum Materials, Inc. | VSM | 92532W103 | 3/21/2017 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Versum Materials, Inc. | VSM | 92532W103 | 3/21/2017 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Voya Global Advantage & Premium Opportunity Fund | IAE | 92912Y109 | 7/7/2016 | 1.1 | Elect John V. Boyer | Mgmt |
| Voya Global Advantage & Premium Opportunity Fund | IAE | 92912Y109 | 7/7/2016 | 1.2 | Elect Patricia W. Chadwick | Mgmt |
| Voya Global Advantage & Premium Opportunity Fund | IAE | 92912Y109 | 7/7/2016 | 1.3 | Elect Sheryl K. Pressler | Mgmt |
| Voya Global Advantage & Premium Opportunity Fund | IAE | 92912Y109 | 7/7/2016 | 1.4 | Elect Christopher P. Sullivan | Mgmt |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/7/2016 | 1.1 | Elect John V. Boyer | Mgmt |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/7/2016 | 1.2 | Elect Patricia W. Chadwick | Mgmt |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/7/2016 | 1.3 | Elect Sheryl K. Pressler | Mgmt |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 92912X101 | 7/7/2016 | 1.4 | Elect Christopher P. Sullivan | Mgmt |
| Voya Natural Resources Equity Income Fund | IRR | 92913C106 | 7/7/2016 | 1.1 | Elect John V. Boyer | Mgmt |
| Voya Natural Resources Equity Income Fund | IRR | 92913C106 | 7/7/2016 | 1.2 | Elect Patricia W. Chadwick | Mgmt |
| Voya Natural Resources Equity Income Fund | IRR | 92913C106 | 7/7/2016 | 1.3 | Elect Sheryl K. Pressler | Mgmt |

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| Voya Natural Resources Equity Income Fund | IRR | 92913C106 | 7/7/2016 | 1.4 | Elect Christopher P. Sullivan | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 1 | Elect James I. Cash, Jr. | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 2 | Elect Timothy P. Flynn | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 3 | Elect Carla A. Harris | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 4 | Elect Thomas W. Horton | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 5 | Elect Marissa A. Mayer | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 6 | Elect C. Douglas McMillon | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 7 | Elect Gregory B. Penner | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 8 | Elect Steven S Reinemund | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 9 | Elect Kevin Y. Systrom | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 10 | Elect S. Robson Walton | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 11 | Elect Steuart Walton | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 14 | Ratification of Auditor | Mgmt |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 15 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 16 | Shareholder Proposal Regarding Proxy Access | ShrHold |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/2/2017 | 17 | Shareholder Proposal Regarding Environmental Expertise on Board | ShrHold |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 1 | Elect John D. Baker II | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 2 | Elect John S. Chen | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 3 | Elect Lloyd H. Dean | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 4 | Elect Elizabeth A. Duke | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 5 | Elect Enrique Hernandez, Jr. | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 6 | Elect Donald M. James | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 7 | Elect Cynthia H. Milligan | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 8 | Elect Karen B. Peetz | Mgmt |
| | WFC | 949746101 | 4/25/2017 | 9 | Elect Federico F. Pena | Mgmt |

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| Wells Fargo & Company | | | | | | | |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 10 | Elect James H. Quigley | | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 11 | Elect Stephen W. Sanger | | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 12 | Elect Ronald L. Sargent | | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 13 | Elect Timothy J. Sloan | | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 14 | Elect Susan Swenson | | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 15 | Elect Suzanne M. Vautrinot | | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 16 | Advisory Vote on Executive Compensation | | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 18 | Ratification of Auditor | | Mgmt |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 19 | Shareholder Proposal Regarding Retail Banking Sales Practices Report | | ShrHold |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 20 | Shareholder Proposal Regarding Cumulative Voting | | ShrHold |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 21 | Shareholder Proposal Regarding Study Session to Address Divestiture of Non-Core Banking Assets | | ShrHold |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 22 | Shareholder Proposal Regarding Gender Pay Equity Report | | ShrHold |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 23 | Shareholder Proposal Regarding Lobbying Report | | ShrHold |
| Wells Fargo & Company | WFC | 949746101 | 4/25/2017 | 24 | Shareholder Proposal Regarding Indigenous Peoples' Rights Policy | | ShrHold |
| Wells Fargo Income Opportunities Fund | EOD | 94987C103 | 2/6/2017 | 1.1 | Elect Isaiah Harris Jr. | | Mgmt |
| Wells Fargo Income Opportunities Fund | EOD | 94987C103 | 2/6/2017 | 1.2 | Elect David F. Larcker | | Mgmt |
| Wells Fargo Income Opportunities Fund | EOD | 94987C103 | 2/6/2017 | 1.3 | Elect Olivia S. Mitchell | | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 1 | Elect Mark A. Emmert | | Mgmt |

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| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 2 | Elect Rick R. Holley | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 3 | Elect Sara Grootwassink Lewis | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 4 | Elect John F. Morgan, Sr. | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 5 | Elect Nicole W. Piasecki | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 6 | Elect Marc F. Racicot | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 7 | Elect Lawrence A. Selzer | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 8 | Elect Doyle R. Simons | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 9 | Elect D. Michael Steuert | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 10 | Elect Kim Williams | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 11 | Elect Charles R. Williamson | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Weyerhaeuser Company | WY | 962166104 | 5/19/2017 | 14 | Ratification of Auditor | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 1 | Elect Gail K. Boudreaux | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 2 | Elect Richard K. Davis | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 3 | Elect Benjamin G.S. Fowke III | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 4 | Elect Richard T. O'Brien | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 5 | Elect Christopher J. Policinski | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 6 | Elect James T. Prokopanko | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 7 | Elect A. Patricia Sampson | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 8 | Elect James J. Sheppard | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 9 | Elect David A. Westerlund | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 10 | Elect Kim Williams | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 11 | Elect Timothy V. Wolf | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 12 | Elect Daniel Yohannes | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 15 | Ratification of Auditor | Mgmt |
| Xcel Energy Inc. | XEL | 98389B100 | 5/17/2017 | 16 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 1 | Elect Paget L. Alves | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 2 | Elect Michael J. Cavanagh | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 3 | Elect Christopher M. Connor | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 4 | Elect Brian C. Cornell | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 5 | Elect Greg Creed | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 6 | Elect Mirian M. Graddick-Weir | Mgmt |

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| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 7 | Elect Thomas C. Nelson | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 8 | Elect P. Justin Skala | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 9 | Elect Elane B. Stock | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 10 | Elect Robert D. Walter | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 11 | Ratification of Auditor | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Yum! Brands, Inc. | YUM | 988498101 | 5/19/2017 | 14 | Shareholder Proposal Regarding Deforestation and Human Rights Policy | ShrHold |

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Total Return Fund, Inc.

By (Signature and Title) /s/ Ralph W. Bradshaw
Ralph W. Bradshaw, President and Chairman
(Principal Executive Officer)

Date August 18, 2017