Rexnord Corp Form 4 May 24, 2016

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

1(b).

(Last)

(City)

Stock

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Zaba Kevin J

(First)

2. Issuer Name and Ticker or Trading Symbol

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to Issuer

Rexnord Corp [RXN] (Middle)

(Zip)

(Check all applicable)

4701 W. GREENFIELD AVE

(Month/Day/Year) 05/20/2016

Director 10% Owner X\_ Officer (give title Other (specify below) below)

Group Ex., President-PT

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Ι

6. Individual or Joint/Group Filing(Check

Person

MILWAUKEE, WI 53214

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(State)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(A) Transaction(s) or (Instr. 3 and 4) Price

Code V Amount (D) Common

(Month/Day/Year)

159

By 401(k) Plan (1)

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Rexnord Corp - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year			7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An Nu Sh	
Stock Option (right to buy)	\$ 26.91					07/31/2014(2)	07/31/2024	Common Stock	20	
Stock Option (right to buy)	\$ 25.77					05/22/2016(3)	05/22/2025	Common Stock	3	
Stock Option (right to buy)	\$ 19.7	05/20/2016		A	81,904	05/20/2017(3)	05/20/2026	Common Stock	8	
Performance Stock Units	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	3	

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• S	Director	10% Owner	Officer	Other		
Zaba Kevin J 4701 W. GREENFIELD AVE MILWAUKEE, WI 53214			Group Ex., President-PT			

## **Signatures**

/s/ Jeffrey J. LaValle under Power of Attorney for Kevin J. Zaba 05/24/2016

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Based on information from the trustee of the 401(k) Plan.
- (2) One half of the original option vests three years from the date listed above and the other half vests five years from the date listed above.
- (3) The original option vests in three annual installments beginning on the date listed above.
  - Each performance stock unit ("PSU") represents a contingent right to receive one share of Rexnord Corporation's common stock if certain conditions are satisfied during the three-year performance period (fiscal 2016-fiscal 2018). Vesting of the PSUs is dependent on the
- (4) relative total shareholder return of Rexnord Corporation's common stock as compared to companies in the S&P 1500 Industrials Sector Index and on goals related to absolute free cash flow conversion. The target number of PSUs that may be earned is reported above; the maximum amount is 200% of the number reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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