#### Edgar Filing: SUPERIOR INDUSTRIES INTERNATIONAL INC - Form 4

#### SUPERIOR INDUSTRIES INTERNATIONAL INC

Form 4

March 08, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

if no longer subject to

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per

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Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Street)

(State)

KAKAR PARVEEN

2. Issuer Name and Ticker or Trading

Symbol

SUPERIOR INDUSTRIES INTERNATIONAL INC [SUP]

3. Date of Earliest Transaction

(Month/Day/Year)

(Middle)

(Zip)

Director Officer (give title

10% Owner Other (specify

below)

Senior Vice President

26600 TELEGRAPH ROAD, SUITE 03/06/2017

400

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

SOUTHFIELD, MI 48033

(eng)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/06/2017		M	1,110	A	\$ 0	16,600	D		
Common Stock	03/08/2017		S <u>(1)</u>	420	D	\$ 26.12 (2)	16,180	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Der Sec (Ins
				Code V	ŕ	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(3)</u>	03/06/2017		M	1,110	<u>(4)</u>	<u>(4)</u>	Common	1,110	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KAKAR PARVEEN 26600 TELEGRAPH ROAD, SUITE 400 SOUTHFIELD, MI 48033

Senior Vice President

### **Signatures**

/s/ Kerry A. Shiba as Attorney-in-Fact

03/08/2017

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold solely for the purpose of paying taxes due upon the vesting of shares of restricted stock that were granted to the Reporting Person under the Company's Amended and Restated 2008 Equity Incentive Plan.
- The price reported in Column 4 of Table I is an average price. The shares were sold in multiple transactions at prices ranging from \$26.10 to \$26.20, inclusive. The reporting person undertakes to provide to Superior Industries International, Inc., any security holder of Superior Industries International, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (3) Each restricted stock unit represents a contingent right to receive one share of common stock of Superior Industries International, Inc.
- (4) The restricted stock units vest and settle in stock in three approximately equal installments on each of the first three anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2