LEVINE ALAN B Form 4

February 15, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

5. Relationship of Reporting Person(s) to

21,220 (1) (2)

64.15 (3)

D

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response... 0.5

1(b).

Common

Stock

02/14/2018

(Print or Type Responses)

1. Name and Address of Reporting Person \*

LEVINE ALAN B		Symbol					Issuer			
			RBC Be	earings IN	NC [ROL	L]		(Chec	ck all applicable	e)
(Last) (First) (Middle)  102 WILLENBROCK ROAD, ONE TRIBOLOGY CENTER			3. Date of Earliest Transaction (Month/Day/Year) 02/14/2018					Director Officer (give below)	10%	Owner er (specify
OXFORD, 0	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Execution	med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	02/14/2018			M	500	(D)	\$ 44.6	20,520 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D	
Common Stock	02/14/2018			S	500	D	\$ 115.7	20,020 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D	
Common Stock	02/14/2018			M	2,000	A	\$ 51.08	22,020 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D	
Common Stock	02/14/2018			S	2,000	D	\$ 115.7	20,020 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D	

1.200

M

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Common Stock	02/14/2018	S	1,200	D	\$ 115.7	20,020 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D
Common Stock	02/14/2018	M	800	A	\$ 72.83	20,820 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D
Common Stock	02/14/2018	S	800	D	\$ 115.7	20,020 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D
Common Stock	02/14/2018	M	400	A	\$ 72.94	20,420 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D
Common Stock	02/14/2018	S	400	D	\$ 115.7	20,020 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Option to Purchase Common Stock	\$ 44.6	02/14/2018		M		500	06/04/2013(4)	06/04/2019	Common Stock	500
Option to Purchase Common Stock	\$ 51.08	02/14/2018		M		2,000	06/14/2014(4)	06/14/2020	Common Stock	2,00
Option to Purchase Common Stock	\$ 64.15	02/14/2018		M		1,200	07/01/2015(5)	07/01/2021	Common Stock	1,20
Option to Purchase Common	\$ 72.83	02/14/2018		M		800	07/01/2016(6)	07/01/2022	Common Stock	800

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Stock

Option to Purchase Common Stock	\$ 72.94	02/14/2018	M	400	07/08/2017(7)	07/08/2023	Common Stock	400
Option to Purchase Common	\$ 99.64				06/27/2018(8)	06/27/2024	Common Stock	2,00

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LEVINE ALAN B 102 WILLENBROCK ROAD ONE TRIBOLOGY CENTER OXFORD, CT 06478

# **Signatures**

/s/Thomas J. Williams /attorney in fact

02/15/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 1,250 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule 1/3 vest on 6/27/2018, 1/3 vest on 6/27/2019, 1/3 vest on 6/27/2020. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- 416 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 7/1/2018. Unvested shares of Restricted Stock (2) (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- 833 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule- 1/2 vest on 7/8/2018 and 1/2 vest on 7/8/2019. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- (4) Options to purchase shares of Common Stock vest on 6/14/2018. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/2 vest on 7/1/2018 and 1/2 vest on 7/1/2019. Options expire 7 years from grant date
- Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/3 vest on 7/1/2018, 1/3 vest on 7/1/2019 and 1/3 vest on 7/1/2020. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/4 vest on 7/8/2018, 1/4 vest on 7/8/2019, 1/4 vest on 7/8/2020 and 1/4 vest on 7/8/2021. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 6/27/2018, 1/5 vest on 6/27/2019, 1/5 vest on 6/27/2021 and 1/5 vest on 6/27/2022. Options expire 7 years from grant date.

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