Jones David Andrew Form 5 January 02, 2018

## FORM 5

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0362 Number: January 31,

1.0

response...

no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

1(b).

Check this box if

Expires: 2005 Estimated average burden hours per

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported 30(h) of the Investment Company Act of 1940 Form 4

Transactions Reported

| 1. Name and Address of Reporting Person * Jones David Andrew |         |          | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>COMMUNITY TRUST BANCORP<br>INC /KY/ [CTBI] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                      |  |  |
|--|---------|----------|---|---|--|--|
| (Last) 1544 WINCH  | (First) | (Middle) | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2017                             | Director 10% Owner Officer (give title Other (specify below) below)  Executive Vice President |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original Filed(Month/Day/Year)  | 6. Individual or Joint/Group Reporting  (check applicable line)                               |  |  |

#### ASHLAND, KYÂ 41101

(State)

(City)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

| (City)                               | (State)                              | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |              |   |     |                |  |  |   |  |  |
|--------------------------------------|--------------------------------------|--|--------------|---|-----|----------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed 3. Execution Date, if any Code (Month/Day/Year) (Instr. 8             |              | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |     |                | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |
| Common<br>Stock                      | 12/31/2017                           | Â  | J <u>(1)</u> | Amount 454.8438   | (D) | Price \$ 0 (1) | 8,133.6683   | I  | By ESOP   |  |  |
| Common<br>Stock                      | 12/31/2017                           | Â  | <u>J(2)</u>  | 109.3199  | A   | \$ 0<br>(2)    | 2,186.9131   | I  | By 401(k)   |  |  |
| Common<br>Stock                      | Â                                    | Â  | Â            | Â   | Â   | Â              | 3,924.3217   | D  | Â   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) |   | 4.<br>Transaction<br>Code<br>(Instr. 8) |     |     |                     | te                 | 7. Title and Amount o<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 88 II SS (( |
|---|---|---|---|---|-----|-----|---------------------|--------------------|--|-------------------------------------|-------------|
|   |   |   |   |   | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |             |
| Option (3)  | \$ 27.109<br>(4)  | Â                                       | Â | Â                                       | Â   | Â   | 01/27/2010          | 01/27/2019         | Common<br>Stock  | 68.75<br>(4)                        |             |
| Option (3)  | \$ 27.109<br>(4)  | Â                                       | Â | Â                                       | Â   | Â   | 01/27/2011          | 01/27/2019         | Common<br>Stock  | 68.75<br>(4)                        |             |
| Option (3)  | \$ 27.109<br>(4)  | Â                                       | Â | Â                                       | Â   | Â   | 01/27/2012          | 01/27/2019         | Common<br>Stock  | 68.75<br>(4)                        |             |
| Option (3)  | \$ 27.109<br>(4)  | Â                                       | Â | Â                                       | Â   | Â   | 01/27/2013          | 01/27/2019         | Common<br>Stock  | 68.75<br>(4)                        |             |
| Option (3)  | \$ 22.809<br>(5)  | Â                                       | Â | Â                                       | Â   | Â   | 01/26/2011          | 01/26/2020         | Common<br>Stock  | 103.25<br>(5)                       |             |
| Option (3)  | \$ 22.809<br>(5)  | Â                                       | Â | Â                                       | Â   | Â   | 01/26/2012          | 01/26/2020         | Common<br>Stock  | 103.25<br>(5)                       |             |
| Option (3)  | \$ 22.809<br>(5)  | Â                                       | Â | Â                                       | Â   | Â   | 01/26/2013          | 01/26/2020         | Common<br>Stock  | 103.25<br>(5)                       |             |
| Option (3)  | \$ 22.809<br>(5)  | Â                                       | Â | Â                                       | Â   | Â   | 01/26/2014          | 01/26/2020         | Common<br>Stock  | 103.25<br>(5)                       |             |
| Option (6)  | \$ 32.27  | Â                                       | Â | Â                                       | Â   | Â   | 01/27/2020          | 01/27/2025         | Common<br>Stock  | 10,000                              |             |

# **Reporting Owners**

| Reporting Owner Name / Address                                      | Relationships |           |                          |       |  |  |  |  |
|---|---------------|-----------|--------------------------|-------|--|--|--|--|
| <b></b>   | Director      | 10% Owner | Officer                  | Other |  |  |  |  |
| Jones David Andrew<br>1544 WINCHESTER AVENUE<br>ASHLAND Â KYÂ 41101 | Â             | Â         | Executive Vice President | Â     |  |  |  |  |

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## **Signatures**

David Andrew Jones By: Marilyn T. Justice, Attorney-in-Fact

01/02/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. Employee Stock Ownership Plan at a price (1) range of \$44.80-\$49.70 per share in transactions that were exempt from Section 16(b) by virtue of old rule 16a-8(b). The information reported herein is based on plan statement dated December 31, 2017.
- These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. 401(k) Plan at a price range of \$44.80-\$49.70 (2) per share in transactions that were exempt from Section 16(b) by virtue of old rule 16a-8(b). The information reported herein is based on plan statement dated December 31, 2017.
- (3) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan)
- (4) Option previously reported as covering 62.50 shares @\$29.82 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (5) Option previously reported as covering 93.75 shares @\$25.09 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (6) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2015 Stock Ownership Incentive Plan)

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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