MOOG INC. Form 4 November 05, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BALL LAWRENCE J** 

(First)

(Street)

1811 MOUNTAINSIDE DRIVE

(Middle)

2. Issuer Name and Ticker or Trading Symbol

MOOG INC. [MOGA/MOGB]

(Month/Day/Year)

3. Date of Earliest Transaction

11/03/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

Vice President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

BLACKSBURG, VA 24060

(City)	(State)	(Zip) Tabl	le I - Non-I	<b>Derivative</b>	Secur	ities Acqu	uired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	xecution Date, if Transactior(A) or Disp y Code (Instr. 3, 4 a			of (D)	5. Amount of Securities Ownership Beneficially Owned Owned Following Reported Transaction(s)  6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common	11/03/2014		Code V F	Amount 5,436	(D)	Price \$ 76.54	(Instr. 3 and 4) 16,983	D	
Class A Common	11/03/2014		M	14,854	A	\$ 28.01	31,837	D	
Class A Common	11/05/2014		F	9,763	D	\$ 76.06	22,074	D	
Class A Common	11/05/2014		M	20,250	A	\$ 36.67	42,324	D	
Class A Common	11/05/2014		F	3,472	D	\$ 76.06	38,852	D	

 $\begin{array}{c} Class\ B \\ Common \\ \underline{^{(1)}} \end{array}$ 

7,248

I

401 (k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy	\$ 28.01	11/03/2014		M		14,854	(3)	11/30/2014	Class A Common	14,854
Option to Buy	\$ 28.94						11/29/2015	11/29/2015	Class A Common	20,250
Option to Buy	\$ 36.67	11/05/2014		M		20,250	11/28/2009	11/28/2016	Class A Common	20,250
Option to Buy	\$ 42.45						11/26/2010	11/26/2017	Class A Common	20,250
SAR <u>(4)</u>	\$ 36.86						<u>(5)</u>	11/30/2020	Class A Common	20,500
SAR (4)	\$ 41.82						<u>(6)</u>	11/30/2021	Class A Common	20,500
SAR (4)	\$ 36.41						<u>(7)</u>	11/27/2022	Class A Common	20,500
SAR	\$ 61.69						(8)	11/11/2023	Class A Common	10,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BALL LAWRENCE J
1811 MOUNTAINSIDE DRIVE
BLACKSBURG, VA 24060

Vice
President

#### **Signatures**

Timothy P. Balkin, as Power of Attorney for Lawrence J. Ball

11/05/2014

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- (2) Option to buy granted under the 1998 and/or 2003 Incentive Stock Option Plan.
- Options are exercisable as follows: 581 on 11/30/09, 3,569 on 11/30/10, 3,568 on 11/30/11, 3,568 on 11/30/12, 3,568 on 11/30/13 and 5,396 on 11/30/14.
- (4) Stock Appreciation Rights (SAR) granted under the 2008 Incentive Stock Option Plan.
- (5) SAR exercisable as follows: 6,834 on 11/30/11, 6,833 on 11/30/12 and 6,833 on 11/30/13.
- (6) SAR exercisable as follows: 6,834 on 11/30/12, 6,833 on 11/30/13 and 6,833 on 11/30/14.
- (7) SAR is exercisable as follows: 6,834 on 11/27/2013, 6,833 on 11/27/2014 and 6,833 on 11/27/2015.
- (8) SAR is exercisable as follows: 3,334 on 11/11/2014, 3,333 on 11/11/2015 and 3,333 on 11/11/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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