

MOOG INC.  
Form 4  
November 16, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BALL LAWRENCE J

(Last) (First) (Middle)

SENECA ST & JAMISOND RD

(Street)

EAST AURORA, NY 14052

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
MOOG INC. [MOGA/MOGB]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/11/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)  
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |  |
| Class A Common                  | 11/11/2016                           |  | S                              | V   | 10,000  | D  |  |
|                                 |                                      |  |                                |   | \$ 67.08  |  |  |
| Class B Common                  |                                      |  |                                |   | 6,791   | I  | 401 (k)                                    |
| (1)                             |                                      |  |                                |   |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Value of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---|
| Option to Buy <u>(2)</u>                   | \$ 42.45   |                                      |  |                                |   | 11/26/2010 11/26/2017                                    | Class A Common 20,250   |   |
| SAR <u>(3)</u>                             | \$ 41.82   |                                      |  |                                |   | <u>(4)</u> 11/30/2021                                    | Class A Common 20,500   |   |
| SAR <u>(3)</u>                             | \$ 36.41   |                                      |  |                                |   | <u>(4)</u> 11/27/2022                                    | Class A Common 20,500   |   |
| SAR <u>(3)</u>                             | \$ 61.69   |                                      |  |                                |   | <u>(4)</u> 11/11/2023                                    | Class A Common 10,000   |   |
| SAR <u>(3)</u>                             | \$ 74.38   |                                      |  |                                |   | <u>(4)</u> 11/11/2024                                    | Class A Common 10,000   |   |
| SAR <u>(5)</u>                             | \$ 63.04   |                                      |  |                                |   | <u>(4)</u> 11/17/2025                                    | Class A Common 3,333  |   |
| SAR <u>(5)</u>                             | \$ 65.9  |                                      |  |                                |   | <u>(4)</u> 11/17/2025                                    | Class B Common 6,667  |   |

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships |           |                |       |
|--|---------------|-----------|----------------|-------|
|  | Director      | 10% Owner | Officer        | Other |
| BALL LAWRENCE J<br>SENECA ST & JAMISON RD<br>EAST AURORA, NY 14052 |               |           | Vice President |       |

## Signatures

Timothy P. Balkin, as Power of Attorney for Lawrence J. Ball 11/15/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- (2) Option to buy granted under the 1998 and/or 2003 Incentive Stock Option Plan.
- (3) Stock Appreciation Rights (SAR) granted under the 2008 Stock Appreciation Rights Plan.
- (4) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- (5) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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