### Edgar Filing: BURGHARDT R. ERIC - Form 4

BURGHAR Form 4	DT R. ERIC										
November 1									OMB AF	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB	3235-0287	
Check th	iis box		Was	shington,	D.C. 20	549			Number:	January 31,	
if no long subject to Section 1 Form 4 of Form 5 obligatio may com <i>See</i> Instr 1(b).	ger o 16. or Filed purs tinue. Section 17(a	ection 10 Public Ut	<b>SECUR</b> 6(a) of the	Expires: 200 Estimated average burden hours per response 0							
(Print or Type ]	Responses)										
BURGHARDT R. ERIC Symbo				Name and			0	5. Relationship of Reporting Person(s) to Issuer			
(Last)					ansaction		1	(Check all applicable)			
(Mont				nth/Day/Year) 3/2018				Director 10% Owner X Officer (give title Other (specify below) below) Vice President			
(Street) 4. If Amendment, Date Original 6. Individual or J							6. Individual or Jo	oint/Group Filing(Check			
EAST AUR	RORA, NY 14052		Filed(Mon	th/Day/Year)				Applicable Line) _X_ Form filed by O Form filed by M Person	One Reporting Pe Iore than One Re		
(City)	(State)	Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Class A Common	11/13/2018			Code V $A^{(1)}$	Amount 350	(D) A (1)	Price $0 (2)$	975	D		
Class A Common	11/13/2018			F <u>(3)</u>	119	D	\$ 79.15	856	D		
Class B Common	11/13/2018			A <u>(1)</u>	701	A (1)	\$ 0 <u>(2)</u>	1,026 (4)	D		
Class B Common	11/13/2018			F <u>(3)</u>	239	D	\$ 80.19	787	D		
Class B Common								443	Ι	401 (k)	

#### Edgar Filing: BURGHARDT R. ERIC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	TransactionDerivativeCodeSecurities		6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
SAR (6)	\$ 41.82						(7)	11/30/2021	Class A Common	4,000
SAR (6)	\$ 36.41						(7)	11/27/2022	Class A Common	4,000
SAR (6)	\$ 61.69						(7)	11/11/2023	Class A Common	2,000
SAR (6)	\$ 74.38						(7)	11/11/2024	Class A Common	2,000
SAR (8)	\$ 63.04						(7)	11/17/2025	Class A Common	3,333
SAR (8)	\$ 65.9						(7)	11/17/2025	Class B Common	6,667
SAR (8)	\$ 71.648						(7)	11/15/2026	Class B Common	10,000
SAR (8)	\$ 82.31						(7)	11/14/2027	Class B Common	6,181
SAR (8)	\$ 80.19	11/13/2018		А	6,988	3	(7)	11/13/2028	Class B Common	6,988

## **Reporting Owners**

**Reporting Owners** 

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner

Officer

Vice President

Other

8. De Se (In

#### BURGHARDT R. ERIC SENECA ST AND JAMISON RD EAST AURORA, NY 14052

### Signatures

Timothy P. Balkin, as Power of Attorney for R. Eric Burghardt

\*\*Signature of Reporting Person

11/15/2018

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares issued pursuant to vesting of performance-based restricted stock units that were granted to the reporting person on November 17, (1)2015, upon achievement of performance goals under the 2014 Moog Inc. Long Term Incentive Plan.
- The performance-based restricted stock units were granted under an equity incentive compensation plan maintained by Moog Inc. and (2)therefore the reporting person paid no price for the performance-based restricted stock units.
- Shares withheld for taxes upon the settlement in shares of performance-based restricted stock units previously granted to the reporting (3) person.
- Includes 325 shares of Class B Common acquired under the Moog Inc. Employee Stock Purchase Plan on December 31, 2017 and July 1, (4) 2018.
- Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent statement to participants. (5)
- Stock Appreciation Right (SAR) granted under the 2008 Appreciation Rights Plan. (6)
- SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant. (7)
- (8) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.